

# *5D UED: Flat and Flavorless*

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Based on [arXiv:1007.0025](https://arxiv.org/abs/1007.0025) [[JHEP01\(2011\)089](#)]

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February 10, 2011

Fermilab Theory Seminar

# Outline

- Motivation
  - Anarchic flavor in a warped extra dimension (RS-GIM)
- Flat extra dimension with KK-parity
  - How to add fermion masses (“split UED”)
    - Light fermionic modes
    - (Pseudo)Goldstones
- Bounds from flavor physics
  - No analog of RS-GIM
  - How to (not) circumvent these bounds  $\Rightarrow$  RS
- Summary

# Hierarchy Problem vs. Flavor Physics

- “Tension” between EWSB & flavor

Unitarity

$$m_{Higgs} \lesssim 1 \text{ TeV}$$

Flavor

$$\Lambda_{new} \gtrsim 10^4 - 10^5 \text{ TeV}$$

⇒ We need **new physics**  $\lesssim 1 \text{ TeV}$  to ensure that Higgs is light, but it **must not violate flavor**.

- Does a model naturally respect flavor?

⇒ In general: No. In some cases: Yes.

## Reminder: Flavor in RS

### Randall-Sundrum

- warped metric

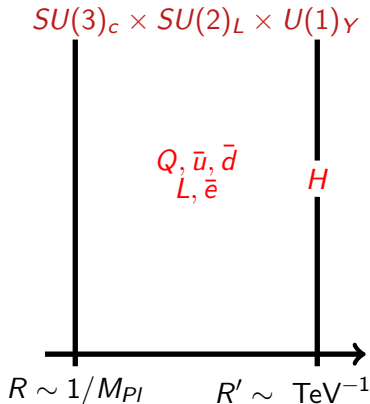
$$ds^2 = \left(\frac{R}{z}\right)^2 (dx^2 - dz^2)$$

- Higgs on the IR brane.

- SM fields in the bulk.

- Higgs mass is suppressed

$$\text{by } \frac{R'}{R} \sim 10^{16}$$



## Flavor in RS: The fermion wave functions

- What about flavor in this model?
- Give fermions a **bulk mass**

$$S \supset \int d^5x \left(\frac{R}{z}\right)^4 \frac{c^i}{z} \bar{\Psi}_i \Psi_i \quad (\text{for } Q, u, d)$$

⇒ KK-decomposition

$$\Psi = \begin{pmatrix} \chi \\ \bar{\psi} \end{pmatrix} = \sum_n \begin{pmatrix} g_n(y) \chi_n(x) \\ f_n(y) \bar{\psi}_n(x) \end{pmatrix} \quad \begin{array}{l} \leftarrow \text{lefthanded} \\ \leftarrow \text{righthanded} \end{array}$$

equations of motion

$$\begin{aligned} g'_n - \frac{2-c}{z} g_n - m_n f_n &= 0 \\ f'_n - \frac{2+c}{z} f_n + m_n g_n &= 0 \end{aligned}$$

# Flavor in RS: The fermion wave functions

## Zero mode solutions

$$g_0 \sim \left(\frac{z}{R}\right)^{2-c} \quad \text{and} \quad f_0 \sim \left(\frac{z}{R}\right)^{2+c}$$

- Obtain a **chiral spectrum** by imposing **boundary conditions**

$$\begin{aligned} [++] : f_0 &\equiv 0 \quad @ \quad (z = R, R') \Rightarrow g_0 \text{ - mode} \\ [--] : g_0 &\equiv 0 \quad @ \quad (z = R, R') \Rightarrow f_0 \text{ - mode} \end{aligned}$$

- Normalization,  $\int dz \left(\frac{R}{z}\right)^4 g_0(z)^2 = 1$ , tells us where the mode is localized

$$g_0 \begin{cases} \text{UV} & \text{for } c > 1/2 \\ \text{IR} & \text{for } c < 1/2 \end{cases}, f_0 \begin{cases} \text{UV} & \text{for } c < -1/2 \\ \text{IR} & \text{for } c > -1/2 \end{cases}$$

# Flavor in RS: (Anarchic) Yukawa couplings

## Normalized zero mode solutions

$$g_0 \sim z^{2-c} f(c) \quad \text{and} \quad f_0 \sim z^{2+c} f(-c)$$

$$\text{with } f(c) = \sqrt{\frac{1-2c}{1-(R'/R)^{2c-1}}}$$

- $f(c)$  strongly hierarchical for SM fermions.
- Yukawa couplings: after EWSB

$$\mathcal{L}_Y = -\frac{v}{\sqrt{2}} \frac{R^4}{R'^3} \left[ \bar{\Psi}_q \tilde{Y}_u \Psi_u + \bar{\Psi}_q \tilde{Y}_d \Psi_d + h.c. \right] \Big|_{z=R'}$$

$\tilde{Y}_u, \tilde{Y}_d$  are both  $\mathcal{O}(1)$ , anarchic flavor matrices.

## Flavor in RS: The SM masses

⇒ Mass matrices are given by

$$\begin{aligned} m_u &= \frac{v}{\sqrt{2}} f_q \tilde{Y}_u f_u \\ m_d &= \frac{v}{\sqrt{2}} f_q \tilde{Y}_d f_d \end{aligned} \quad \text{with} \quad f_c = \text{diag}[\{f(c_i)\}]$$

- Now usual SM prescription applies

$$m^{SM} = U_L m U_R^\dagger \quad \text{and} \quad V_{CKM} = U_{Lu}^\dagger U_{Ld}$$

$$\Rightarrow \left(m_{u,d}^{SM}\right)_{ii} \sim \frac{v}{\sqrt{2}} Y_* f_{q_i} f_{u_i, d_i}$$

⇒ We get mass hierarchy, but what about new FCNC contributions?



## Flavor in RS: Hierarchy of $f_i$ 's

- First let us check of what order the  $f_i$ 's are.
- For **left-handed fields**:  $f_i$ 's are determined from  $V_{CKM}$

$$|U_{ij}| \sim \frac{f_i}{f_j} \quad \Rightarrow \quad |(V_{CKM})_{ij}| = |(U_{Lu}^\dagger U_{Ld})_{ij}| \sim \frac{f_{q_i}}{f_{q_j}} \quad i \leq j.$$

$$V_{CKM} \sim \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & \lambda^3 \\ \lambda & 1 - \frac{\lambda^2}{2} & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 - \frac{\lambda^2}{2} \end{pmatrix}$$

$$\frac{f_{q_2}}{f_{q_3}} \sim \lambda^2, \quad \frac{f_{q_1}}{f_{q_3}} \sim \lambda^3 \quad \text{with } \lambda \sim \sin \theta_c \sim 0.2$$

# Flavor in RS: Hierarchy of $f_i$ 's

- Right-handed  $f_{u_i, d_i}$ 's fixed by fermion masses hierarchy:

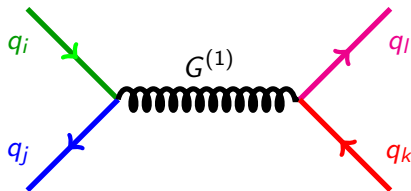
$$\left(m_u^{SM}\right)_{ii} \sim \frac{v}{\sqrt{2}} Y_* f_{q_i} f_{u_i}$$

$$\left(m_d^{SM}\right)_{ii} \sim \frac{v}{\sqrt{2}} Y_* f_{q_i} f_{d_i}$$

$$\begin{aligned} \frac{f_{u_1}}{f_{u_3}} &\sim \frac{m_u}{m_t} \frac{1}{\lambda^3}, & \frac{f_{u_2}}{f_{u_3}} &\sim \frac{m_c}{m_t} \frac{1}{\lambda^2}, \\ \frac{f_{d_1}}{f_{u_3}} &\sim \frac{m_d}{m_t} \frac{1}{\lambda^3}, & \frac{f_{d_2}}{f_{u_3}} &\sim \frac{m_s}{m_t} \frac{1}{\lambda^2}, & \frac{f_{d_3}}{f_{u_3}} &\sim \frac{m_b}{m_t} \end{aligned}$$

# Flavor in RS: New Contributions to FCNC

- Strongest bound: FCNC due to KK-gluon exchange:



$$\sim g_{L,u}^{ij} \bar{u}_L^i \gamma^\mu G_\mu^{(1)} u_L^j + g_{L,d}^{kl} \bar{d}_L^k \gamma^\mu G_\mu^{(1)} d_L^l + (L \rightarrow R)$$

- Plug in the wave function: In original basis = diagonal

$$g_x \approx g_{s*} \left[ \underbrace{-\frac{1}{\log R'/R}}_{\text{universal}} + \underbrace{f_x^2 \gamma(c_x)}_{\text{non-universal: } \gamma \sim 1} \right]$$

⇒ Universal part does not contribute:  $U^\dagger \mathbb{1} U = \mathbb{1}$ .

⇒ Non-universal part: **New source of FCNCs.**

## Flavor in RS: RS-GIM

- How big is the **size of flavor violation**?
- Rotate with  $U \sim f_i/f_j$   
⇒ Off-diagonal KK-gluon couplings

$$g^{ij} \sim g_{s^*} f_i f_j \quad (\text{for } q, u, d)$$

RS - GIM  $\hat{=}$   $g^{ij}$  is automatically suppressed

- for L: by ratios of CKM-elements.
- for R: by mass hierarchy.

(For getting numbers, I will assume  $f_3 \sim 1$ .)

# Flavor in RS: How strong is RS-GIM?

- How strong is this suppression for  $\Delta F = 2$  operators?

## Effective Hamiltonian

$$\mathcal{H} = C^1(\bar{q}_L^i q_L^j)(\bar{q}_L^k q_L^l) + C^4(\bar{q}_R^i q_L^k)(\bar{q}_L^l q_R^j) + C^5(\bar{q}_R^i q_L^l)(\bar{q}_L^k q_R^j)$$

- Strongest bound comes from the Kaon system:  
 $|C_K^4|$  suppressed by  $10^4 - 10^5$  TeV.

$\Rightarrow$  in RS:

$$C_K^4 \sim \frac{g_{s^*}^2}{M^2} f_{q1} f_{q2} f_{d1} f_{d2} \sim \frac{g_{s^*}^2}{M^2} \frac{m_d m_s}{m_t^2}$$

$$\Rightarrow \boxed{M \sim 20 \text{ TeV}}$$

$\Rightarrow$  Can we implement this in flat space?

Does a similar mechanism exist in UED?

## Why look at a flat extra dimension?

- UED models do NOT address the hierarchy problem!
  - Nevertheless, interesting for model building and LHC phenomenology
    - KK-parity provides a dark matter candidate
    - UED can fake SUSY-spectra (1st KK-level  $\approx$  SUSY spectrum)
    - UED can fake gauge mediation signals (photons + missing  $E_T$ )
    - ...
- ⇒ UED is an interesting “straw man” to compare to SUSY.

How well could we distinguish these two theories at the LHC?

# What do we want to do?

## Goal:

A model of UED with KK-parity with

- 1 anarchic Yukawas
- 2 mass hierarchies from localization

(like RS-GIM)

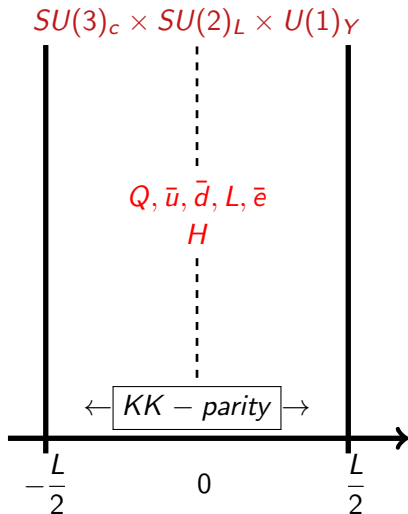
# UED with KK-parity

## UED

- Flat metric  
 $ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu - dy^2$
- All SM fields in the bulk.

- SM fields are flat.
- Add **KK-parity**:  $y \rightarrow -y$ 
  - + Improves EWPC.
  - + DM candidate.
- Usually, flavor put in by hand.

→ Is there a UED-GIM?





## UED with KK-parity: Fermions

- Let's add a **bulk mass for the fermions** like we did in RS:

$$S = \int d^4x \int dy \left[ \frac{i}{2} (\bar{\Psi} \Gamma^M \overleftrightarrow{\partial}_M \Psi) - m \bar{\Psi} \Psi \right]$$

- The wave functions obey

$$\begin{aligned} \frac{dg_n}{dy} + m g_n - m_n f_n &= 0 \\ \frac{df_n}{dy} - m f_n - m_n g_n &= 0 \end{aligned}$$

- KK-parity:  $y \rightarrow -y$
- $\Rightarrow g_n$  and  $f_n$  have opposite KK-parity.
- $\Rightarrow$  The **mass term violates KK-parity**, unless  $m \rightarrow -m$

# UED with KK-parity: Fermion zero mode

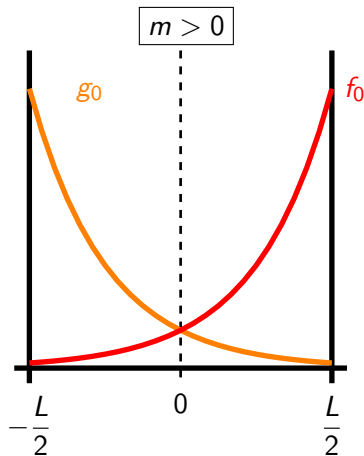
- Zero mode solutions

$$g_0 \sim e^{-my}$$

$$f_0 \sim e^{+my}$$

(BC  $\rightarrow$  Chiral spectrum)

$\Rightarrow$  not KK-Parity invariant.



## UED with KK-parity

- To maintain KK-parity while allowing bulk masses

$$m = m(y) = \begin{cases} \mu & , y < 0 \\ -\mu & , y > 0 \end{cases}$$

- For  $y \neq 0$ , we still have

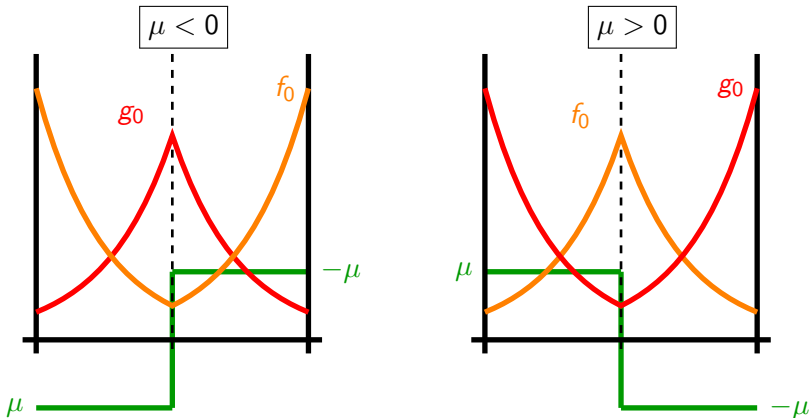
$$g'_n + m(y) g_n - m_n f_n = 0$$

$$f'_n - m(y) f_n - m_n g_n = 0$$

⇒ Invariant under KK-parity:  $m(y) \rightarrow -m(y)$

# UED with KK-parity: Fermion zero mode

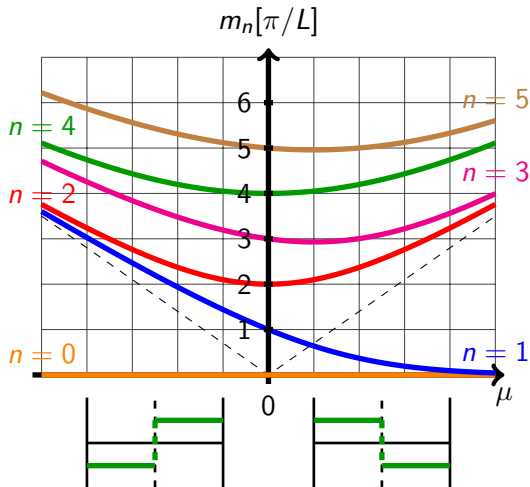
Different localization, depending on sign of  $\mu$ .



$\Rightarrow$  Could give mass hierarchy due to **small overlap**.

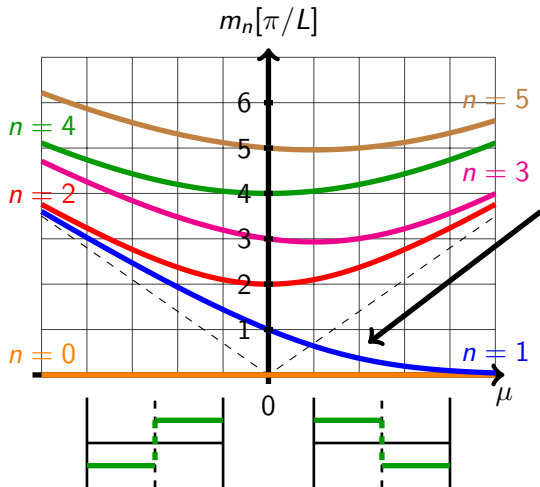
# Fermion spectrum: For a LH zero mode

- Let's examine the complete fermion spectrum:  
Lefthanded zero mode  $g_0$ .



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Lefthanded zero mode  $g_0$ .



$$m_1 \approx 2\mu e^{-\mu L/2}$$

$\Rightarrow$  too light  
(we need  $\mu L \approx 10$ )

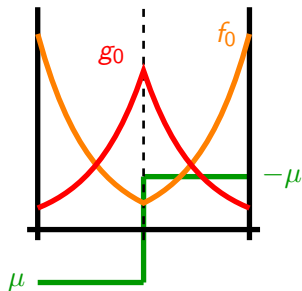
# Fermion spectrum: Origin of the extra light mode

- Origin: LH and RH modes have opposite behavior

For LH zero mode

$$\begin{aligned}g_n' + mg_n - m_n f_n &= 0 \\f_n' - mf_n - m_n g_n &= 0\end{aligned}$$

with BC:  $f_n(\pm L/2) = 0$



BC:  $f_0 \neq 0 \Rightarrow$  gets heavy

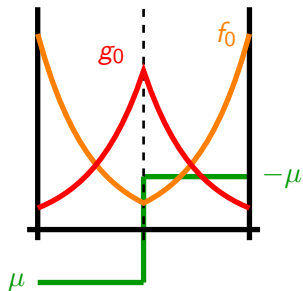
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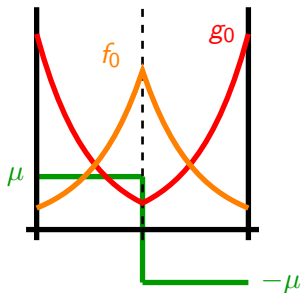
For LH zero mode

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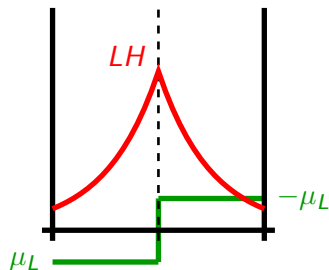


BC:  $f_0 \approx 0 \Rightarrow f_0$  remains light



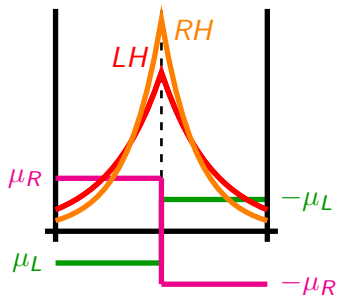
# Fermion spectrum: Consequences

- What does this mean for our model?
  - Need to choose  $\mu_L < 0$  to localize LH in the middle



# Fermion spectrum: Consequences

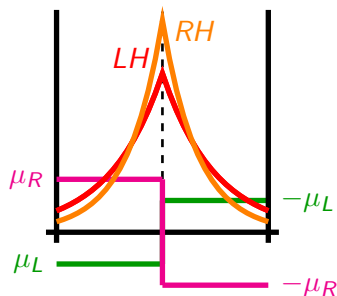
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  - Need to choose  $\mu_L < 0$  to localize LH in the middle
  - For same reason we need RH in middle ( $\mu_R > 0$ )



# Fermion spectrum: Consequences

- What does this mean for our model?

- Need to choose  $\mu_L < 0$  to localize LH in the middle
  - For same reason we need RH in middle ( $\mu_R > 0$ )
- ⇒ Need all SM localized at  $y = 0$  to avoid light modes.



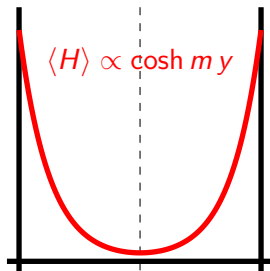
⇒ **No small overlap:** How will we get the hierarchy now?

# Localizing the Higgs

- To obtain hierarchy:  
Need to exponentially **localize the Higgs at the boundaries**  
(or put it directly on the boundary)

## Localizing the Higgs

- Add bulk potential  
 $\mathcal{V} = m^2 |H|^2$
- Add boundary potentials  
 $V \propto \lambda (|H|^2 - v^2)^2$



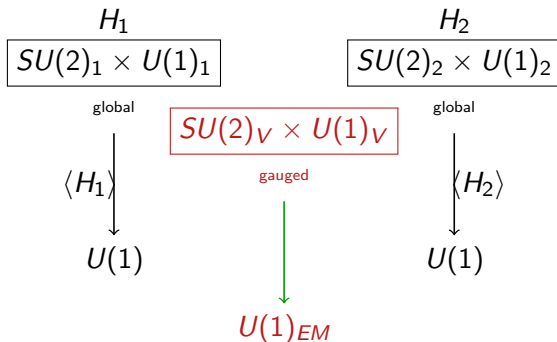
⇒ Gives hierarchy:

$$\mathcal{L}_Y \approx -\frac{v}{\sqrt{2}} \left[ \bar{\Psi}_q \tilde{Y}_u \Psi_u + \bar{\Psi}_q \tilde{Y}_d \Psi_d + h.c. \right] \Big|_{y=\pm \frac{L}{2}}$$

- BUT, also gives **very light, KK-odd mode**.

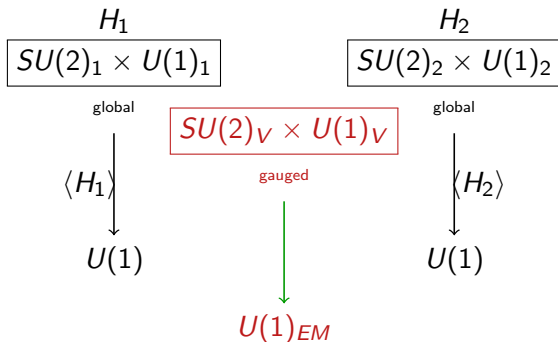
# Localizing the Higgs: Two site model

- Where are these (Pseudo)Goldstones from?
- For simplicity, consider a 2 site model:  $H \rightarrow H_1$  &  $H_2$
- Symmetry structure of 2 site model:



# Localizing the Higgs: Two site model

- Where are these (Pseudo)Goldstones from?
- For simplicity, consider a 2 site model:  $H \rightarrow H_1$  &  $H_2$
- Symmetry structure of 2 site model:



- Two independent  $H_i$  + global symmetries  $\doteq$  6 Goldstones
  - 3 KK-even:  $\pi_{\text{even}} \sim \pi_1 + \pi_2 \rightarrow$  get eaten
  - 3 KK-odd:  $\pi_{\text{odd}} \sim \pi_1 - \pi_2 \rightarrow$  remain in spectrum!

## Mass of Pseudo-Goldstones

Global  $[SU(1)_1 \times U(1)_1] \times [SU(2)_2 \times U(1)_2]$  is explicitly broken by

- Having localized Higgs, not 2-site model (small correction)

$$\langle H \rangle \propto \cosh(my) \Rightarrow m_0 \propto m e^{-mL/2}$$

- Gauging  $SU(2)_V \times U(1)_V$

→  $U(1)_A$  remains unbroken by this:  $\pi_{\text{odd}}^0$  does not get a mass.

- Introducing Yukawa couplings

$$\mathcal{L}_Y \sim \bar{\Psi}_q H_1 \Psi_u + \bar{\Psi}_q H_2 \Psi_u + (\text{down})$$

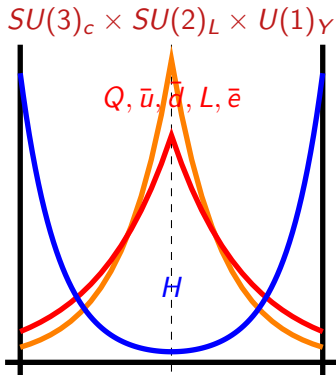
### Coleman-Weinberg potential

⇒ All the KK-odd Goldstones get mass from fermion (and gauge) loops.

# Recap: Setup

## UED with KK-parity

- Flat metric  
 $ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu - dy^2$
- All SM fields in the bulk.
- KK-parity:  $y \rightarrow -y$
- Fermion bulk mass:  
 $m(y) = -m(-y)$
- Higgs boundary potentials



→ Fermions and Higgs localized at different points



# Flavor in UED with KK-parity

- Now, we can proceed analogous to the RS case:

## Normalized zero mode solutions

$$g_0, f_0 \sim f(c) \exp \left[ -c \left( \frac{|y|}{L} - \frac{1}{2} \right) \right] \quad \text{with } c = \mu L$$

$$\text{with } f(c) = \sqrt{\frac{c}{e^c - 1}} = \frac{1}{\log R'/R} f_{RS}(c_{RS})$$

- Yukawa couplings are given by

$$\mathcal{L}_Y \approx -\frac{v}{\sqrt{2}} \left[ \bar{\Psi}_q \tilde{Y}_u \Psi_u + \bar{\Psi}_q \tilde{Y}_d \Psi_d + h.c. \right] \Big|_{y=\pm L/2}$$

- $\tilde{Y}_u, \tilde{Y}_d$  are both  $\mathcal{O}(1)$ , anarchic flavor matrices.

# Flavor in UED with KK-parity

⇒ Mass matrices are given by

$$\begin{aligned} m_u &= \frac{v}{\sqrt{2}} f_q \tilde{Y}_u f_u \\ m_d &= \frac{v}{\sqrt{2}} f_q \tilde{Y}_d f_d \end{aligned} \quad \text{with} \quad f_c = \text{diag}\{\{f(c_i)\}\}$$

- Now usual SM prescription applies

$$m^{SM} = U_L m U_R^\dagger \quad \text{and} \quad V_{CKM} = U_{Lu}^\dagger U_{Ld}$$

$$\Rightarrow \left(m_{u,d}^{SM}\right)_{ii} \sim \frac{v}{\sqrt{2}} Y_* f_{q_i} f_{u_i, d_i}$$

⇒ We got flavor hierarchy, but what about new FCNC contributions?

## Flavor in UED with KK-parity: Check the hierarchy of $f_i$ 's

- For **left-handed** fields:  $f_{q_i}$ 's are determined from the diagonalization matrices

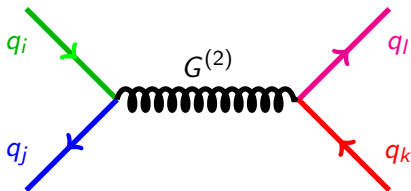
$$|U_{ij}| \sim \frac{f_i}{f_j} \quad \Rightarrow \quad |(V_{CKM})_{ij}| \sim \frac{f_{q_i}}{f_{q_j}} \quad i \leq j.$$

$$\frac{f_{q_2}}{f_{q_3}} \sim \lambda^2, \quad \frac{f_{q_1}}{f_{q_3}} \sim \lambda^3 \quad \text{with } \lambda \sim \sin \theta_c \sim 0.2$$

- Fermion masses hierarchy fixes **right-handed**  $f_{-u_i, d_i}$ 's

$$\begin{aligned} \frac{f_{u_1^c}}{f_{u_3^c}} &\sim \frac{m_u}{m_t} \frac{1}{\lambda^3}, & \frac{f_{u_2^c}}{f_{u_3^c}} &\sim \frac{m_c}{m_t} \frac{1}{\lambda^2}, \\ \frac{f_{d_1^c}}{f_{u_3^c}} &\sim \frac{m_d}{m_t} \frac{1}{\lambda^3}, & \frac{f_{d_2^c}}{f_{u_3^c}} &\sim \frac{m_s}{m_t} \frac{1}{\lambda^2}, & \frac{f_{d_3^c}}{f_{u_3^c}} &\sim \frac{m_b}{m_t} \end{aligned}$$

## Flavor in UED with KK-parity: FCNC



- Plug in the wave function: In original basis = diagonal

$$g_x \approx g^{4D} \sqrt{2} \left[ \underbrace{1}_{\text{universal}} - \underbrace{f_x^2 \gamma(c_x)}_{\text{non-universal}} \right]$$

- BUT, here  $\gamma \propto \frac{e^c}{c^3}$ , but to obtain mass hierarchy we need  $c \sim 1 \dots 15$ .

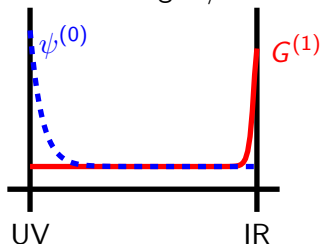
Unlike RS:  $\gamma \neq \mathcal{O}(1)$   $\Rightarrow$  NO protection from FCNCs.

# The origin of RS-GIM

- Why does this work in RS, but not in UED?
- In RS: Flavor violation comes from the **coupling to KK-gluons**

$$g_x = g^{5D} \int_R^{R'} dz \sqrt{-g} \left[ \psi^{(0)}(z) \right]^2 G^{(1)}(z) \approx g_{s*} \left[ -\frac{1}{\log R'/R} + f_x^2 \gamma(c_x) \right]$$

(In appropriate coordinates)



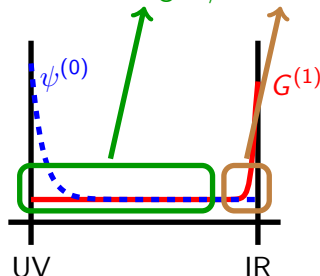
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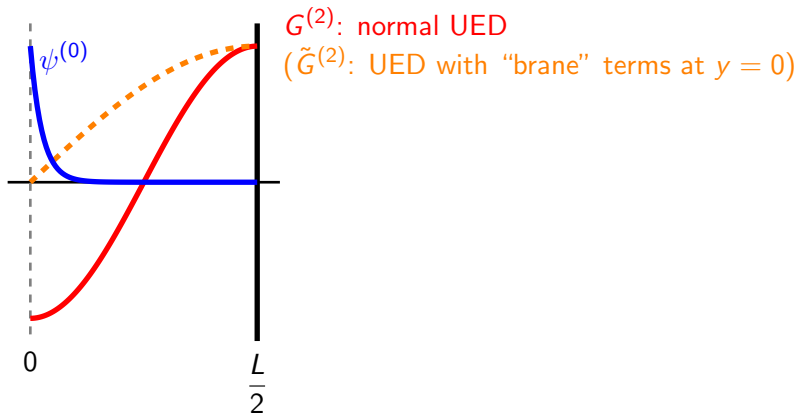
universal!



RS-GIM originates in **well-separated wave functions**

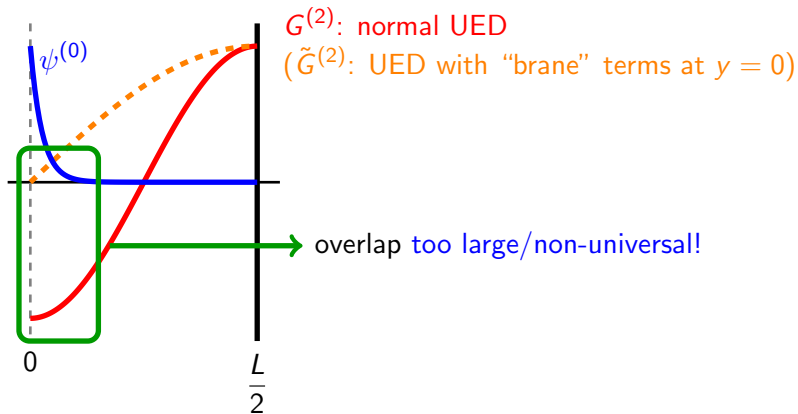
# Why “UED-GIM” does not exist

- In UED the KK-gluon wave functions are **not localized** (at least not strongly enough):



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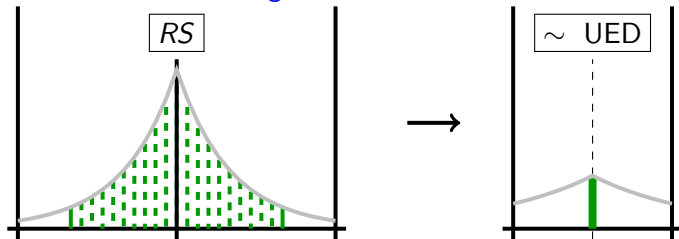
To work in UED need to **localize fermions even more!**



## RS vs. UED with KK-parity

- We can justify brane localized terms at  $y = 0$ :

→ Think of UED as integrated out RS



⇒ Effect of integrating out: Add “boundary” kinetic term

## RS vs. UED with KK-parity

- Add “boundary” kinetic term

$$S_{\text{boundary}} = \int d^5x \delta(y) \left\{ \frac{i}{2} \bar{\Psi} \Gamma^\mu \overleftrightarrow{\partial}_\mu \Psi \right\} \kappa L + \text{gauge kinetic term}$$

- Gauge kinetic terms turn out not to matter much.

- For fermions: only changes the function  $f(c, \kappa) = \sqrt{\frac{c}{(1 + c\kappa)e^c - 1}}$ .

→ Can suppress  $f \sim 1/\kappa$ , while keeping  $\gamma \sim \frac{e^c}{c} \sim 1$ .

However, this is basically the low energy version of RS and not UED!

# Conclusion

- UED with KK-parity can localize fermions (but only in the middle).
  - Localizing the Higgs on the boundaries gives Pseudo-Goldstones:  
Get masses at loop level ( $\rightarrow$  no problem ?)
- $\Rightarrow$  Obtain flavor hierarchy, but no protection from FCNC.
- Can work around this, but at cost of obtaining low-energy RS.

Anarchic flavor in UED is difficult.

The End

Thank you.