

# Phases of Holographic QCD



*Josh Erlich*  
*College of William & Mary*

*w/ Dylan Albrecht*



# Outline

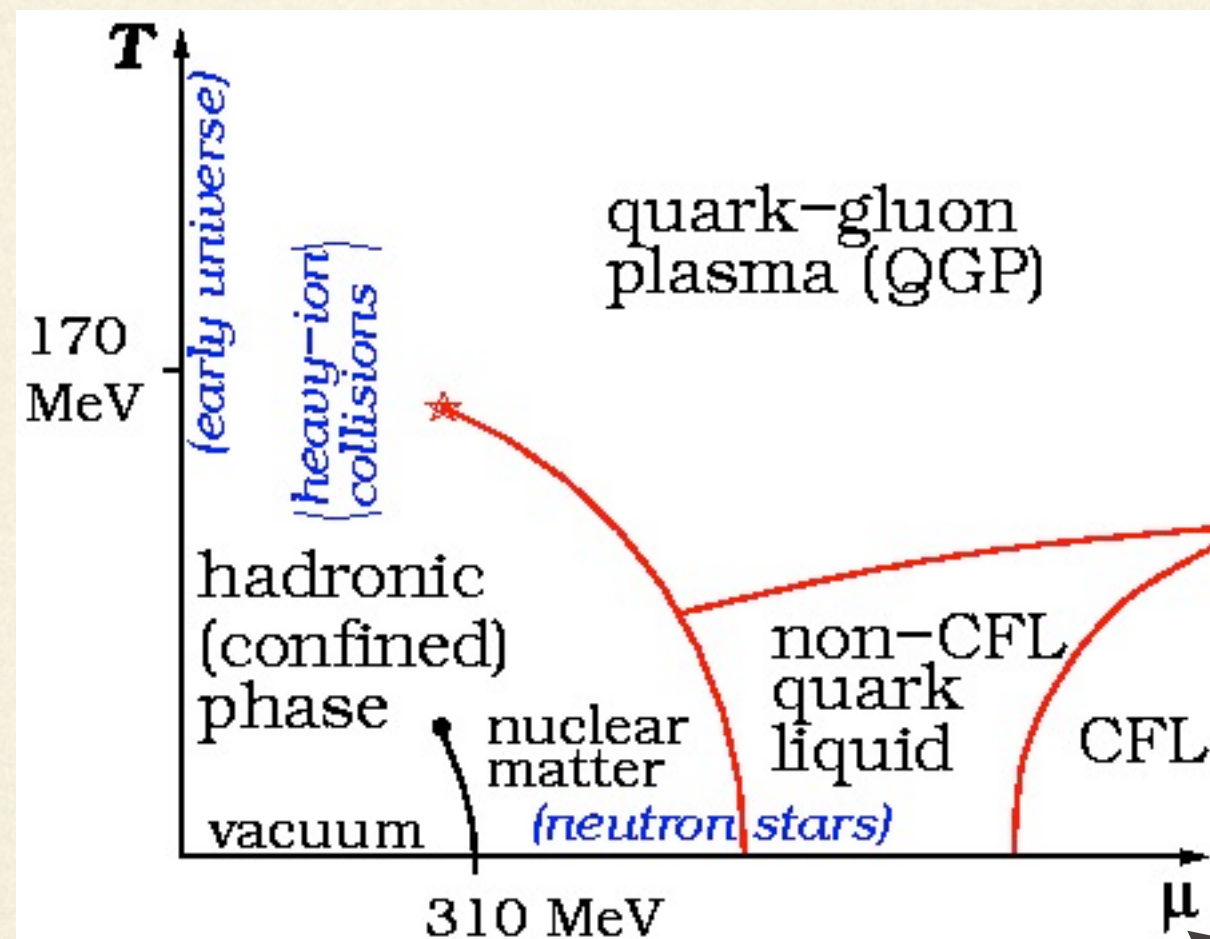
---

- ❖ The QCD Phase Diagram
- ❖ Holographic QCD
- ❖ Phases of Holographic QCD: an early look
- ❖ A speculation about baryogenesis



# Phases of QCD

According to Wikipedia



Baryon chemical potential



# The trouble with baryons

---

- ❖ Systems with baryon chemical potential are difficult to study on the lattice
- ❖ The basic problem is the nonvanishing phase of the fermion determinant in the functional integral for the partition function
- ❖ Ignoring the determinant (quenched approximation) gives incorrect phenomenology



# More of the QCD phase diagram

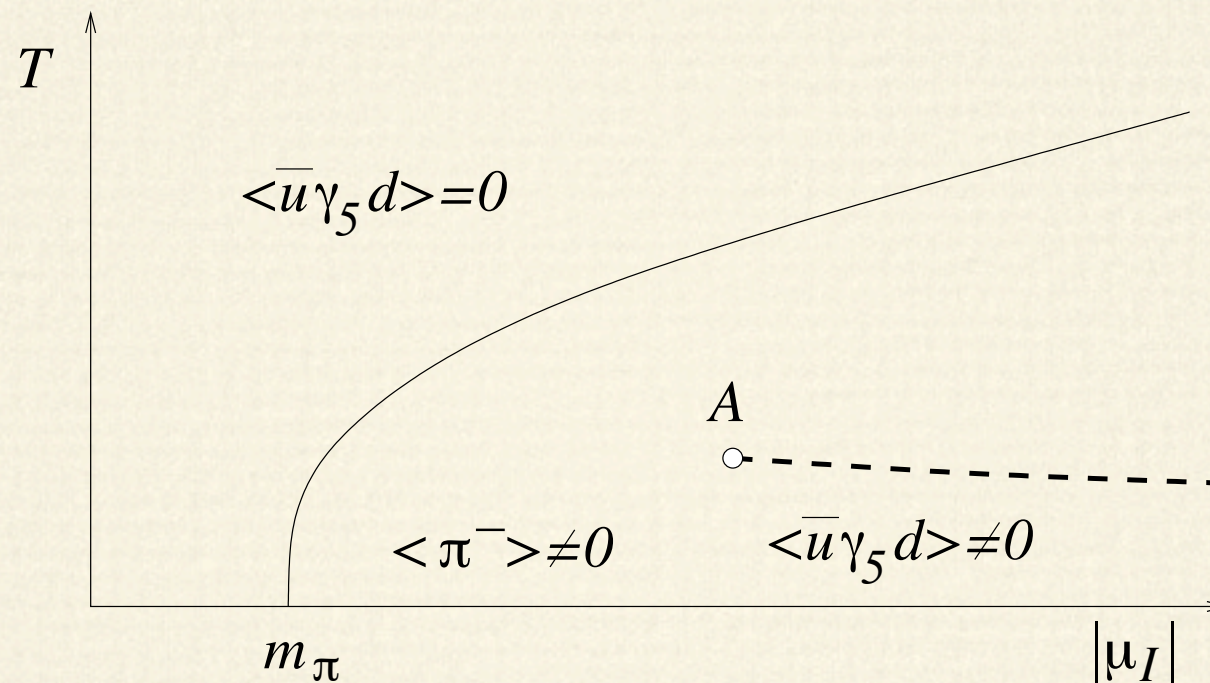


FIG. 1. Phase diagram of QCD at finite isospin density.

Son & Stephanov '00

Positive fermion determinant allows for lattice study  
Alford, Kapustin, Wilczek; Kogut, Sinclair; de Forcrand, Stephanov,  
Wenger; Detmold *et al.*



# Systems with Isospin

---

- ❖ Neutron Stars (Low temp, Large isospin)
- ❖ Quark-Gluon Plasma at RHIC, LHC  
(Higher temp, Smaller isospin)



# QCD w/ Isospin Chemical Potential

---

Small  $\mu_I$ ,  $T$ : Can use Chiral Lagrangian  
(Son&Stephanov, 2000)

$T = 0$ :

Chemical potential couples to isospin number density

$$J_0^{(3)} = \bar{\psi} \gamma^0 \tau^3 \psi$$

$$\mathcal{L}_{4D} = \frac{f_\pi^2}{4} \text{Tr} (\nabla_\nu \Sigma \nabla^\nu \Sigma^\dagger) + \frac{m_\pi^2 f_\pi^2}{4} \text{Tr} (\Sigma + \Sigma^\dagger)$$

$$\Sigma = \exp(2i\pi^a \tau^a / f_\pi)$$

$$\nabla_0 \Sigma = \partial_0 \Sigma - \frac{\mu_I}{2} (\tau_3 \Sigma - \Sigma \tau_3).$$



# QCD w/ Isospin Chemical Potential

---

Son-Stephanov ansatz:

$$\bar{\Sigma} = \cos \alpha + i(\tau_1 \cos \phi + \tau_2 \sin \phi) \sin \alpha$$

Results:  $\bar{\Sigma} \neq 1$  if  $\mu_I > m_\pi$

Phase transition is second order

$$c_s^2 > 1/3$$

↖ speed of sound



# The Sound Bound

Cherman, Cohen, Nellore;  
Hohler, Stephanov

High Temp systems are nearly conformal

$$T_{\mu}^{\mu} = 0 \rightarrow \epsilon = 3p$$

Energy      Pressure  
Density

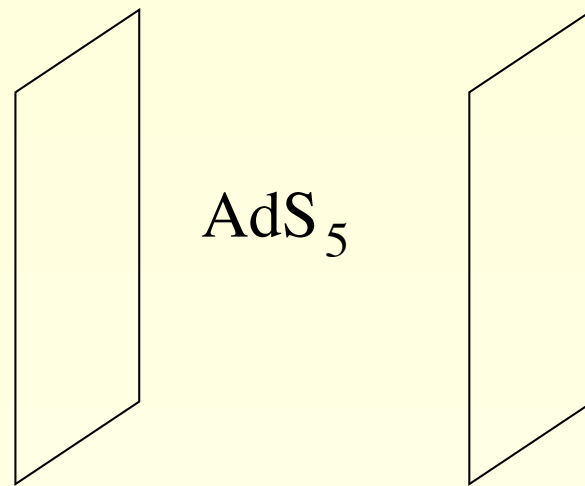
$$c_s^2 = \frac{dp}{d\epsilon}$$

Sound Bound Conjecture: All systems approach conformal limit from below:  $c_s^2 < 1/3$  at high T.



# Holographic QCD

- Model tower of resonances as Kaluza-Klein modes in an extra dimension (Son,Stephanov'04)
- Model pattern of chiral symmetry breaking by analogy with AdS/CFT correspondence
- *Optional*: Specify details of model (geometry of extra dimension, couplings) by matching to UV as best possible (e.g. Brodsky,De Teramond; JE *et al.*; Da Rold,Pomarol)

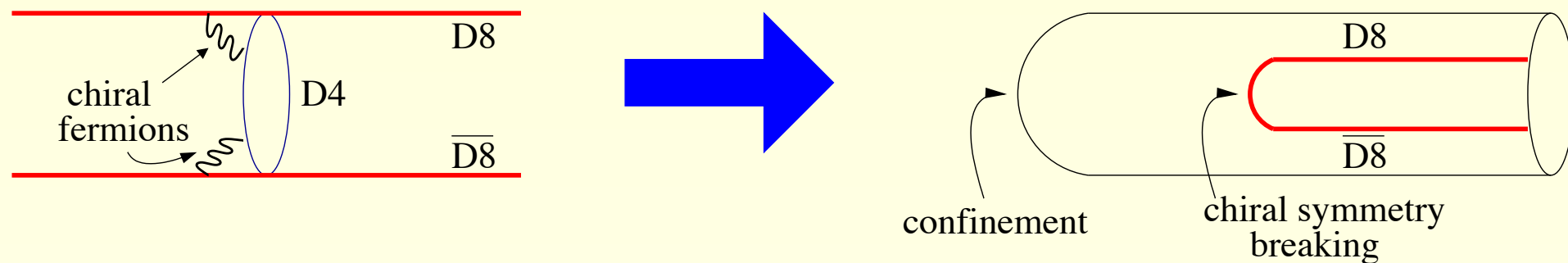




# Top-Down AdS/QCD

- String theory brane configuration  $\rightarrow$  gauge theory similar to QCD (e.g. Kruczenski *et al.*; Antonyan, Harvey, Kutasov; Sakai, Sugimoto)
- At large- $N$ , theory has weakly-coupled dual description via the AdS/CFT correspondence (Maldacena)

## The Sakai-Sugimoto Model





# Top-Down vs. Bottom-Up

---

## Top-Down AdS/QCD:

- Advantage: Both descriptions of theory are relatively well understood, duality is exact.
- Disadvantage: QCD with fundamental flavors does not have weakly-coupled AdS/CFT dual, so far even at large- $N$ .

## Bottom-Up AdS/QCD:

- Advantage: Freedom to match model to aspects of QCD.
- Disadvantage: Some features of model disagree with QCD (analogous to large- $N$  limit).



# The Hard Wall Model

## Step 1: Choose 5D gauge group and geometry.

- Tower of vector mesons are identified with tower of Kaluza-Klein gauge bosons.

SU(2) isospin  $\rightarrow$  5D SU(2) gauge theory

Conformal in the UV  $\rightarrow$  Anti-de Sitter space near its boundary



# The Hard Wall Model

## Step 1: Choose 5D gauge group and geometry.

- Tower of vector mesons are identified with tower of Kaluza-Klein gauge bosons.

SU(2) isospin  $\rightarrow$  5D SU(2) gauge theory

Conformal in the UV  $\rightarrow$  Anti-de Sitter space near its boundary

Can choose geometry by matching spectrum to Pade approx of SU(2) current-current correlator in deep Euclidean regime  $-q^2 \gg m_\rho^2$ .

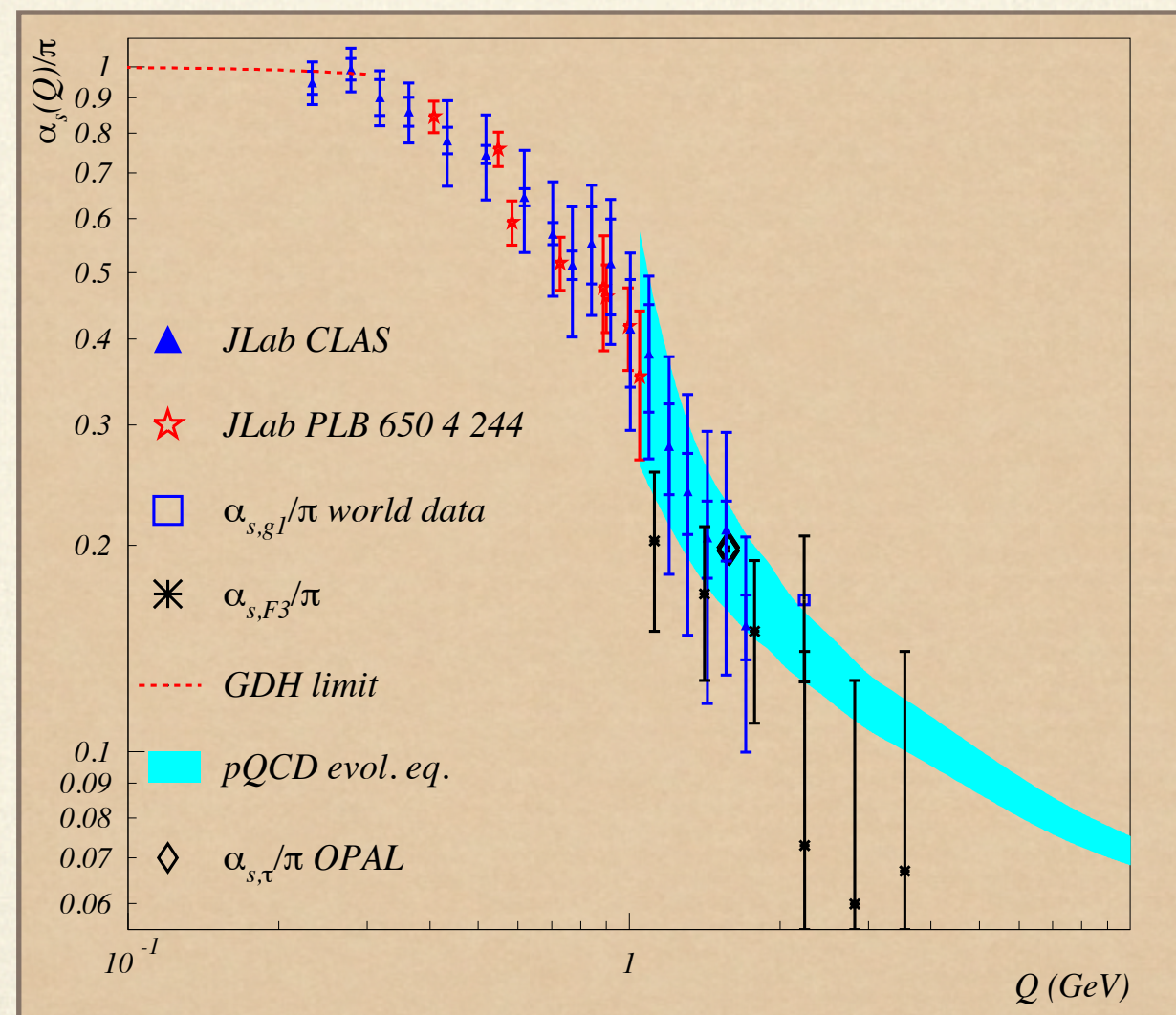
Result: geometry = slice of AdS space

(Shifman; JE, Kribs, Low; Falkowski, Perez-Victoria).



# Conformality at Low Energies?

Brodsky and collaborators motivate Anti-de Sitter space from approximate conformality of QCD at low energies. e.g. Brodsky and Shrock '08



From CLAS (Deur et al.) '08



# Modeling Chiral Symmetry

To include the full chiral symmetry, not just the vector subgroup,

$SU(2) \times SU(2)$  chiral symmetry  $\rightarrow$   $SU(2) \times SU(2)$  5D gauge group

Additional tower of gauge bosons  $\rightarrow$  tower of axial-vector mesons.  
(5D parity  $\rightarrow$  4D parity)

Spectrum includes vectors, axial vectors and pseudoscalars.



# ...and Chiral Symmetry Breaking

## Step 2: Include pattern of chiral symmetry breaking

Hint from AdS/CFT: 4D operator  $\rightarrow$  5D field

$\bar{q}_i q_j \rightarrow$  Scalar fields  $X_{ij}$ , bifundamental under  $SU(2) \times SU(2)$

Background profile for  $X_{ij}$ :

Non-normalizable mode  $\rightarrow$  source  $\mathcal{L}_{4D} \supset m_{ij} \bar{q}_i q_j$

Normalizable mode  $\rightarrow$  VEV  $\langle \bar{q}_i q_j \rangle$

The scalar field background explicitly and spontaneously breaks the chiral symmetry.



# More details of the Hard Wall Model

~~~~~

For definiteness, we need to choose 5D mass of scalar field.

AdS/CFT:  $m_X^2 = \Delta_{\bar{q}q}(\Delta_{\bar{q}q} - 4)$  in units of AdS curvature.

In the UV,  $\Delta_{\bar{q}q} = 3$ , so we choose  $m_X^2 = -3$ .

*Note:* This choice is made for definiteness, but is not necessary.



# Summary of the Hard Wall Model

In summary, the model is:

$SU(2) \times SU(2)$  gauge theory in slice of  $AdS_5$  with background bifundamental scalar field.

$$S = \int d^5x \sqrt{-g} \left( -\frac{1}{2g_5^2} \text{Tr} (L_{MN} L^{MN} + R_{MN} R^{MN}) + \text{Tr}(|D_M X|^2 - 3|X|^2) \right)$$

$$ds^2 = \frac{1}{z^2} (dx_\mu dx^\mu - dz^2), \quad \epsilon < z < z_{IR}$$

$$a(z) \equiv 1/z^2 \rightarrow$$

$$X_0(x, z) = \frac{m_q}{2} z + \frac{\langle \bar{q} q \rangle}{2} z^3$$

Model parameters:  $g_5, m_q, \langle \bar{q} q \rangle, z_{IR}$

(JE, Katz, Son, Stephanov; DaRold, Pomarol)



# Matching to UV

In the deep Euclidean regime  $-q^2 \gg m_\rho^2$ , perturbative QCD gives

$$i \int d^4x e^{iq \cdot x} \langle J_\mu^a(x) J_\nu^b(0) \rangle = (q_\mu q_\nu - g_{\mu\nu} q^2) \delta^{ab} \frac{N}{24\pi^2} \log(q^2)$$

We can express the correlator as a sum over resonances:

$$i \int d^4x e^{iq \cdot x} \langle J_\mu^a(x) J_\nu^b(0) \rangle = \sum \frac{F_n^2}{q^2 - m_n^2} \left( g_{\mu\nu} - \frac{q_\mu q_\nu}{m_n^2} \right) \delta^{ab}$$

Agreement of these expressions in the deep Euclidean regime is a Weinberg sum rule.

$m_n = n^{th}$  Kaluza-Klein mass

$F_n =$  Decay constant of  $n^{th}$  resonance



# Matching to UV

Matching 5D calculation w/ 4D perturbative calculation in UV  $\rightarrow$

$$g_5^2 = 12\pi^2/N.$$

*Note:* this choice is made for definiteness, but is not necessary.

We will use  $g_5 = 2\pi$  in examples.



# Results of Hard Wall Model

(with additional strange quark mass parameter)

| Observable      | Model A<br>( $\sigma_s = \sigma_q$ )<br>(MeV) | Model B<br>( $\sigma_s \neq \sigma_q$ )<br>(MeV) | Measured<br>(MeV) |
|-----------------|-----------------------------------------------|--------------------------------------------------|-------------------|
| $m_\pi$         | (fit)                                         | 134.3                                            | 139.6             |
| $f_\pi$         | (fit)                                         | 86.6                                             | 92.4              |
| $m_K$           | (fit)                                         | 513.8                                            | 495.7             |
| $f_K$           | 104                                           | 101                                              | $113 \pm 1.4$     |
| $m_{K_0^*}$     | 791                                           | 697                                              | 672               |
| $f_{K_0^*}$     | 28.                                           | 36                                               |                   |
| $m_\rho$        | (fit)                                         | 788.8                                            | 775.5             |
| $F_\rho^{1/2}$  | 329                                           | 335                                              | $345 \pm 8$       |
| $m_{K^*}$       | 791                                           | 821                                              | 893.8             |
| $F_{K^*}^{1/2}$ | 329                                           | 337                                              |                   |
| $m_{a_1}$       | 1366                                          | 1267                                             | $1230 \pm 40$     |
| $F_{a_1}^{1/2}$ | 489                                           | 453                                              | $433 \pm 13$      |
| $m_{K_1}$       | 1458                                          | 1402                                             | $1272 \pm 7$      |
| $F_{K_1}^{1/2}$ | 511                                           | 488                                              |                   |

From Abdidin and Carlson '09



# Isospin Chem Pot in the Hard Wall Model

$$S = \int d^5x \sqrt{-g} \text{Tr} \left\{ |DX|^2 + 3|X|^2 - \frac{1}{4g_5^2} (F_L^2 + F_R^2) \right\}$$

Scalar field background  $X_0(z) = \frac{1}{2} (m_q z + \sigma z^3) \equiv \frac{1}{2} v$

Vector combination of gauge fields  $V_M^a = 1/2(L_M^a + R_M^a)$

Gauge choice  $L_z^a = R_z^a = 0$

Linearized equation of Motion  $\partial_z \left( \frac{1}{z} \partial_z V_\mu^a \right) - \frac{1}{z} \partial_\alpha \partial^\alpha V_\mu^a = 0$

Background Solution  $V_0^3(z) = c_1 + \frac{c_2}{2} z^2$

Source for  $J_0^{(3)}$   $\rightarrow c_1 = \mu_I$



# Pion Condensation in the Hard Wall Model

## Goldstone Modes

$$\begin{aligned} X &= X_0 \exp [i2\pi^a T^a] \\ &= X_0 (\cos b + i (n^a \sigma^a) \sin b) \end{aligned}$$

For simplicity, temporarily decouple the gauge field fluctuations:  $g_5 \rightarrow 0$

Linearized equations of motion:

$$-m_\pi^2 \pi^{0,\pm} = \frac{1}{v^2 a^3} \partial_z (v^2 a^3 \partial_z \pi^{0,\pm})$$

Energy in pion configuration:

$$V_{eff, g_5=0} = \int_\epsilon^{z_m} dz v^2 / z^3 \frac{1}{2} \left( m_\pi^2 \pi(z)^2 - \mu_I^2 n^c n^d (\delta^{cd} - \delta^{c3} \delta^{d3}) \left( \pi(z)^2 - \frac{\pi(z)^4}{3} + \dots \right) \right)$$



# Pion Condensation in the Hard Wall Model

$$V_{eff, g_5=0} = \int_{\epsilon}^{z_m} dz v^2 / z^3 \frac{1}{2} \left( m_{\pi}^2 \pi(z)^2 - \mu_I^2 n^c n^d (\delta^{cd} - \delta^{c3} \delta^{d3}) \left( \pi(z)^2 - \frac{\pi(z)^4}{3} + \dots \right) \right)$$

For  $|\mu_I| > m_{\pi}$  it is energetically favorable for the pion field to turn on. In other words, the pions condense.

Normalize the pion field such that  $\pi^a(z) = \tilde{\pi}(z) \pi^a$ , with

$$\int_{\epsilon}^{z_m} dz v^2 a^3 \tilde{\pi}(z)^2 = 1 \quad \leftarrow \text{So that the pion kinetic term is canonically normalized}$$

For  $|\mu_I| > m_{\pi}$  the minimum energy configurations are

$$\pi^+ \pi^- = \frac{3}{4\tilde{\eta}} \left( 1 - \frac{m_{\pi}^2}{\mu^2} \right) \quad \text{where} \quad \tilde{\eta} = \int_{\epsilon}^{z_m} dz v^2 a^3 \tilde{\pi}(z)^4$$



# Properties of the Pion Condensate

---

$$V_{eff, g_5=0}(\pi^\pm) = -\frac{3}{8\tilde{\eta}}\mu_I^2 \left(1 - \frac{m_\pi^2}{\mu_I^2}\right)^2$$

Isospin number density:

$$n_I = -\frac{\partial V_{eff}}{\partial \mu_I} = \frac{3\mu_I}{4\tilde{\eta}} \left(1 - \frac{m_\pi^4}{\mu_I^4}\right)$$

The number density encodes information about observables in the pion condensate phase.



# Properties of the Pion Condensate

---

To express results in terms of observables, we compare with the holographic calculation of  $f_\pi$ .

Define the axial gauge field  $A_\mu^a = (L_\mu^a - R_\mu^a)/2$

Linearized eq of motion  $\left[ \partial_z (a \partial_z A_\mu^a) + \frac{q^2}{z} A_\mu^a - v^2 a^3 g_5^2 A_\mu^a \right]_\perp = 0$

Bulk-to-boundary propagator  $\partial_z A(q, z)|_{z_m} = 0$  and  $A(q, \epsilon) = 1$

From holographic calculation of axial current 2-point function:

$$f_\pi^2 = -\frac{1}{g_5^2} \left. \frac{\partial_z A(0, z)}{z} \right|_{z=\epsilon}$$



# Properties of the Pion Condensate

---

$$f_\pi^2 = -\frac{1}{g_5^2} \left. \frac{\partial_z A(0, z)}{z} \right|_{z=\epsilon}$$

Expand in  $g_5$ :

$$\begin{aligned} f_\pi^2 &= \int_\epsilon^{z_m} dz v(z)^2 / z^3 \\ &\approx \frac{\sigma^2 z_m^4}{4} + m_q \sigma z_m^2 + m_q^2 \log(z_m/\epsilon) \end{aligned}$$

Approximating the pion wavefunction as uniform:

$$n_I \approx \frac{3}{4} f_\pi^2 \mu_I \left( 1 - \frac{m_\pi^4}{\mu_I^4} \right)$$

Agrees with chiral Lagrangian  
except for factor of 3/4.



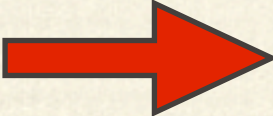
# Speed of Sound

~~~~~

## Pressure and energy density

$$p(\mu_I) = \int_{m_\pi}^{\mu_I} n_I d\tilde{\mu} = \frac{3f_\pi^2 (\mu_I^2 - m_\pi^2)^2}{8\mu_I^2},$$

$$\varepsilon(\mu_I) = \int_0^{n_I} \mu_I d\tilde{n} = \frac{3f_\pi^2}{8\mu_I^2} (\mu_I^2 - m_\pi^2) (\mu_I^2 + 3m_\pi^2)$$

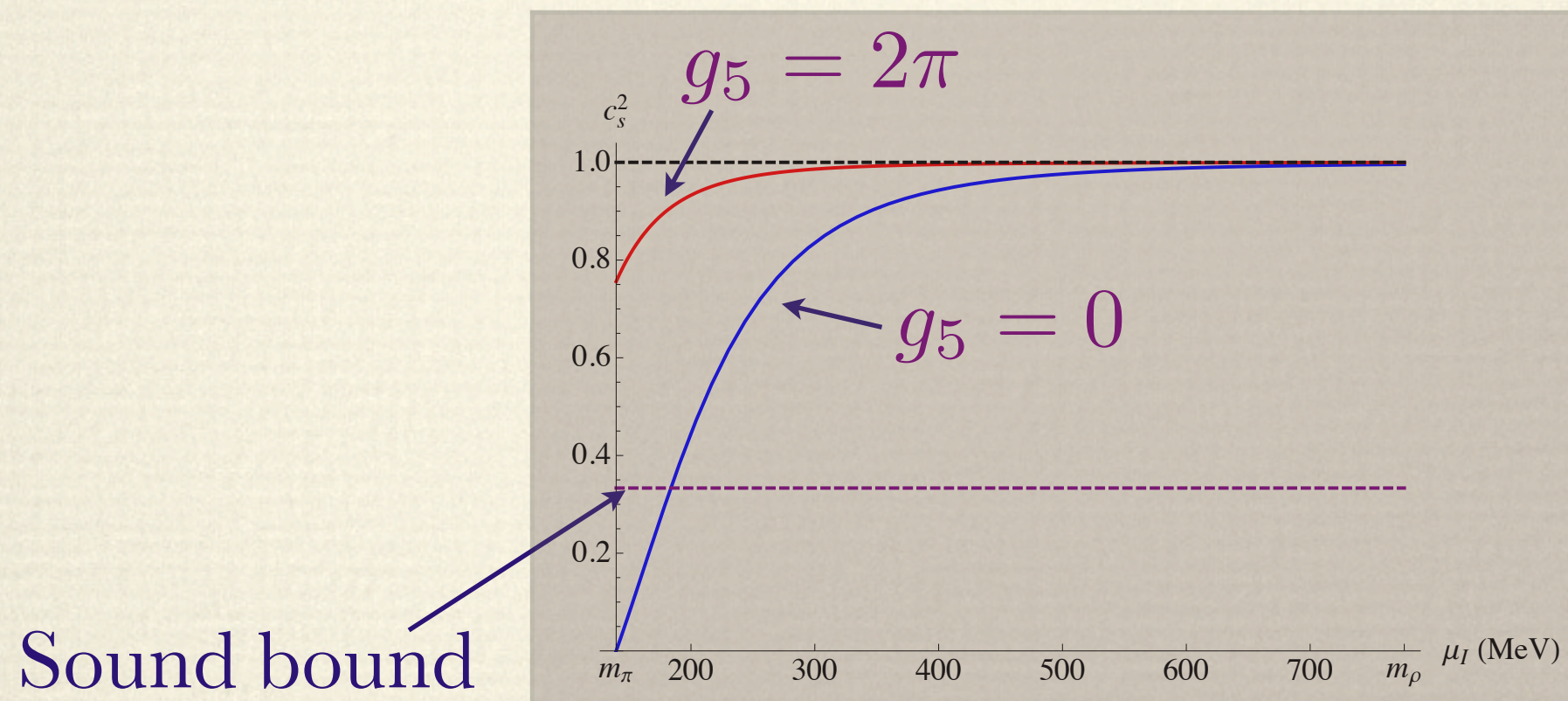

$$\frac{p}{\varepsilon} = \frac{\mu_I^2 - m_\pi^2}{\mu_I^2 + 3m_\pi^2}$$

$$c_s^2 = \frac{dp}{d\varepsilon} = \frac{\mu_I^4 - m_\pi^4}{\mu_I^4 + 3m_\pi^4}$$



# Speed of Sound

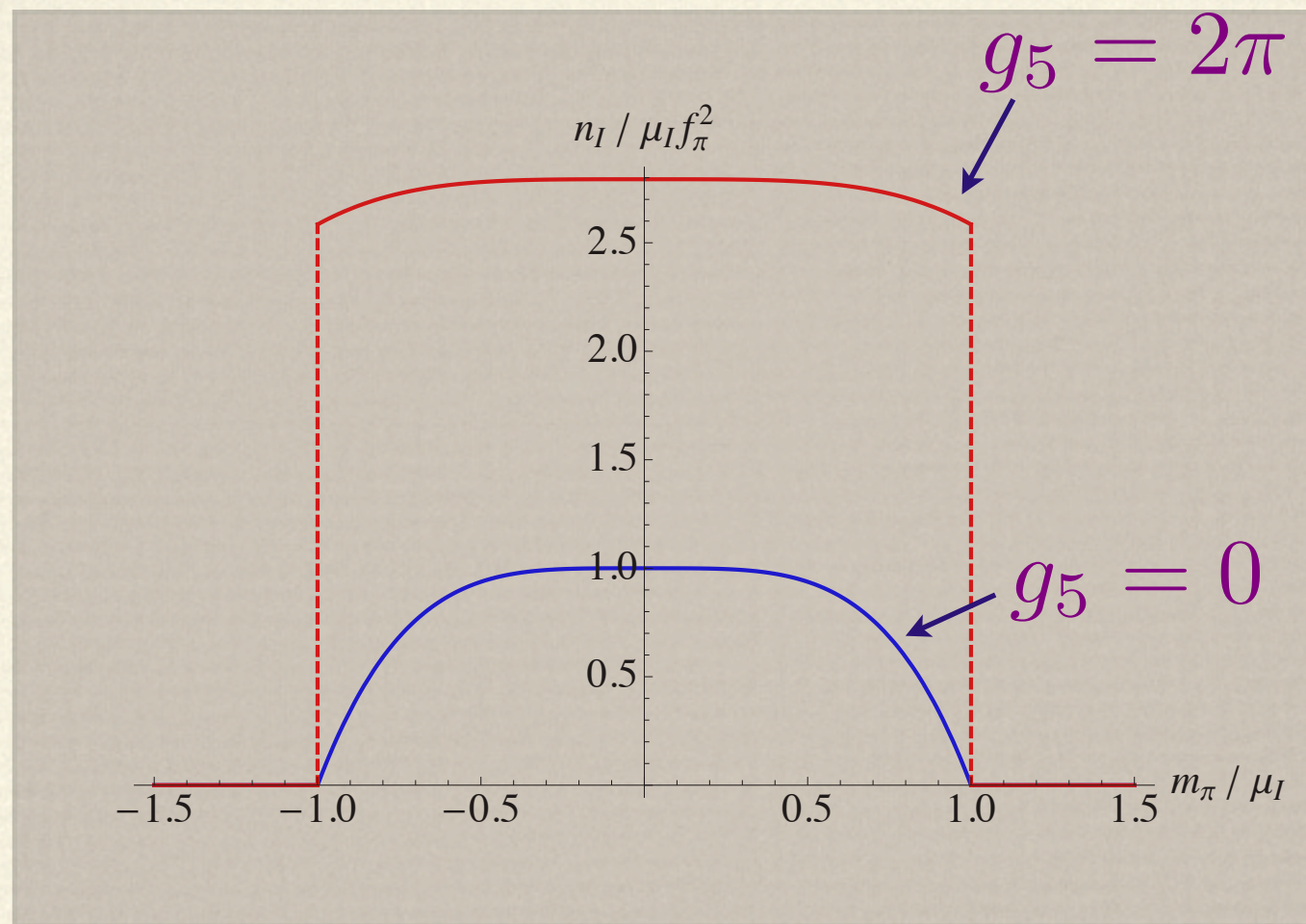
Turning the 5D gauge coupling back on gives qualitatively different results:



Albrecht, JE '10



# Order of the pion condensate transition



Except in the limit  $g_5 = 0$ , the isospin density is discontinuous at the transition

➡ Holographic QCD predicts a first order transition.



# Why the unusual behavior?

Chiral perturbation theory, the Nambu-Jona-Lasinio model, and lattice calculations all indicate that the pion condensation transition is second order.

(Son, Stephanov; Splittorff *et al.*; Toublan, Kogut; He, Zhuang; Abuki *et al.*; de Forcrand *et al.*; Detmold *et al.*)

If holographic QCD properly includes chiral symmetry breaking, it should agree with chiPT.

There is another difference from chiPT:  
the GOR relation is modified differently if the chiral condensate is made (unphysically) complex (R. Wilcox).



# Why the unusual behavior?

---

Perhaps we have misidentified the pion.

$$X = \frac{1}{2}(m_q z + \sigma z^3) \exp[i2\pi^a T^a]$$

Goldstone fluctuations of both the condensate and the quark mass?

Looks like mixing of the pions with the longitudinal W, Z  
→ Correct quantum numbers, but wrong physics.

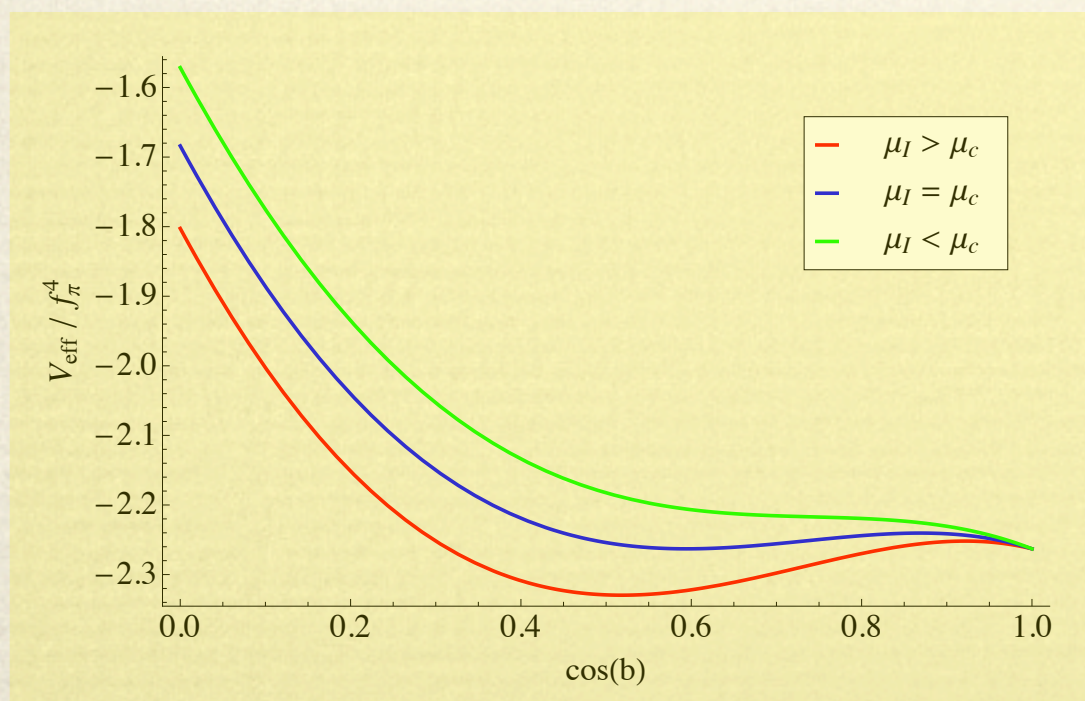


# A comparison with chiPT

$$\mathcal{L} = \frac{f_\pi^2}{4} \text{Tr} [D_\mu \Sigma D^\mu \Sigma^\dagger] + \frac{m_\pi^2 f_\pi^2}{4} \text{Tr} [\Sigma + \Sigma^\dagger] + \alpha_1 (\text{Tr} [D_\mu \Sigma D^\mu \Sigma^\dagger])^2 + \alpha_2 \text{Tr} [D_\mu \Sigma D_\nu \Sigma^\dagger] \text{Tr} [D^\mu \Sigma D^\nu \Sigma^\dagger],$$

$$V_{eff}(\cos b) = -\frac{\mu_I^2 f_\pi^2}{2} (1 - \cos^2 b) (1 - n^3 n^3) - m_\pi^2 f_\pi^2 \cos b - a_1 \frac{\mu_I^4 f_\pi^2}{4} (1 - \cos^2 b)^2 (1 - n^3 n^3)^2$$

$$\text{where } a_1 \equiv \frac{16}{f_\pi^2} (\alpha_1 + \alpha_2)$$



For  $f_\pi^2 a_1 > 0.22$ , the transition is first order.

(Expt:  $f_\pi^2 a_1 \sim 10^{-3}$ )



# Vector Meson Condensation

---

Domokos and Harvey '07

Anomalous symmetries lead to Chern-Simons interactions in the 5D model.

Anomalous baryon number leads to a coupling between the rho and  $a_1$ :

$$\frac{N_c}{24\pi^2} \frac{3}{8} \int d^4x dz \epsilon^{MNPQ} (\hat{A}_0^L \text{Tr} F_{MN}^L F_{PQ}^L - \hat{A}_0^R \text{Tr} F_{MN}^R F_{PQ}^R)$$

As a result of rho- $a_1$  mixing an instability appears for large enough baryon chemical potential, leading to vector meson condensation - breaks rotation invariance!



# Electroweak Symmetry Breaking, Cosmology?

---

Holographic QCD does not yet do a great job predicting details of the QCD phase diagram.

However, a first order technipion condensation transition may be relevant for extra-dimensional models of EWSB.

Speculation: Can condensation of CP-odd technipions be related to baryogenesis?



# More of the QCD Phase Diagram

---

AdS/CFT teaches us how to model finite temperature (include a black hole in the extra dimension - Witten '98)

Perhaps the soft-wall model (Karch *et al.*) can shed some light on QCD at high temp and large chemical potentials. However, holographic QCD does a poor job for most things at high energy (Strassler; Csaki, Reece, Terning).



# Comparison with Top-Down Models

---

Stringy AdS/QCD models at finite temperature and density have also been explored.

Karch, Kulaxizi, Parnachev; Erdmenger *et al.*; Evans *et al.*; Parnachev; Aharony *et al.*

It is difficult to include nonvanishing quark masses in these models, so the pion condensation transition typically occurs at zero temperature.

Violation of the sound bound has also been noticed in top-down models.

The chiral symmetry breaking and deconfinement transitions can be separated, even with vanishing chemical potential.



# Summary

---

Chemical potentials are readily included in holographic models.

Holographic predictions for the phase diagram of QCD have had mixed success so far.

Pions condense, but a puzzle remains in the matching to chiral perturbation theory. Perhaps the pion has been misidentified as a mixture of the physical pion with the Goldstone modes of the Higgs doublet.