

# GENEVA: A New Framework For Event Generation

Frank Tackmann

University of California, Berkeley  
Lawrence Berkeley National Laboratory

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Work with Christian Bauer and Jesse Thaler  
arXiv:0712.????



# Outline

- 1 Introduction
- 2 GENEVA Framework
  - Philosophy
  - GENEVA in a Toy Model
- 3 Techniques
- 4 Preliminary Results

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# Motivation

## At LHC and ILC

- Growing need and availability of precise theoretical predictions
  - ▶ More and more NLO or even NNLO calculations
  - ▶ Subleading log resummation, power corrections (e.g. in SCET)
  - ▶ Multi-leg matrix elements
- Shift towards less postdiction/tuning and more prediction
  - ▶ Would like to combine most accurate available descriptions for different parts of phase space
- Make theory results available to experiments
  - ▶ More easily and more quickly
  - ▶ Need a generic way to use *inclusive parton-level* calculations to get *exclusive hadron-level* events

# Combining Matrix Elements and Parton Showers

## Basic Strategies

- Subtractive: Expand shower and subtract it from matrix elements, e.g.
  - ▶ MC@NLO [Frixione, Webber] and similar NLO [Krämer, Mrenna, Nagy, Soper]
  - ▶ VINCIA [Giele, Kosower, Skands]
- Multiplicative: Apply correction weights, e.g.
  - ▶ Old PYTHIA way to correct first emission
  - ▶ CKKW to put in Sudakovs
- Others: MLM, POWHEG [Nason]

## Partonic Calculations vs. Algorithmic Tools

- So far, special algorithms for specific problems
- Separate partonic calculations from algorithms
  - ▶ Calculations should not have to know specifics of implementations

# GENEVA: Generate Events Analytically

## Goals of GENEVA

- Provide *generic* and *straightforward* framework to map inclusive parton-level calculations into exclusive hadron-level events
  - ▶ Let user think in terms of partonic cross sections
  - ▶ Avoid having to think about algorithms on case by case basis
- Use most accurate available prediction for each part of phase space

## Idea Behind: Reweighting all the way

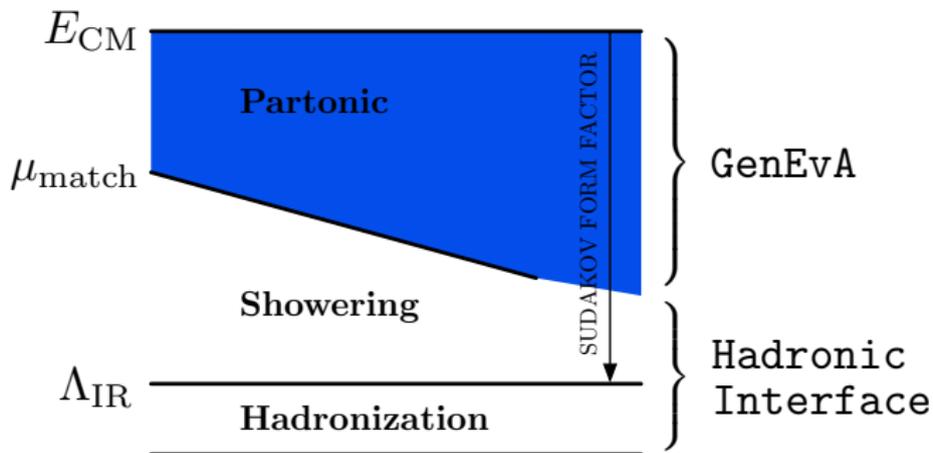
- 1 Use **parton shower as phase-space generator** to distribute points (events) in multiplicity, flavor and phase space
- 2 Determine *exact* probability  $dP$  for each point (event) to be generated
- 3 Reweight to desired distribution  $d\sigma$  on event-by-event basis

$$w = d\sigma/dP$$

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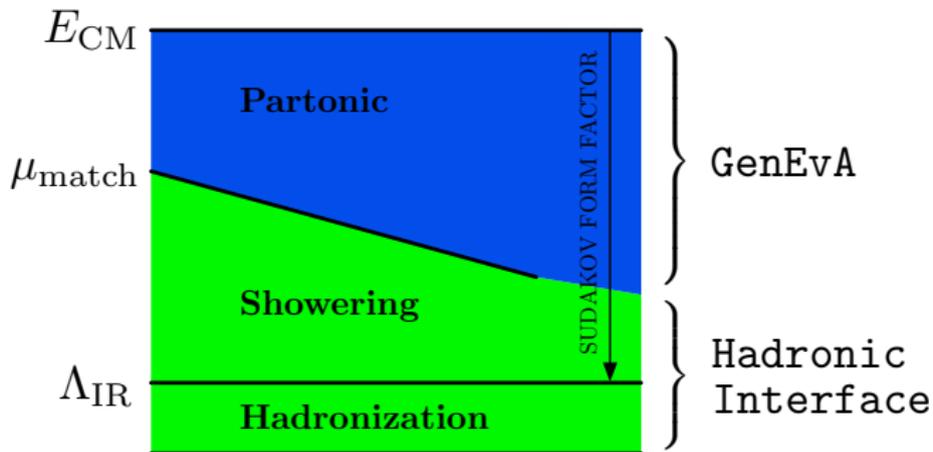
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# Three Regimes of Event Generation



- **Partonic Regime:** Includes full field theory information
  - ▶ Partonic calculation with the notion of a matching scale

# Three Regimes of Event Generation



- **Partonic Regime:** Includes full field theory information
  - ▶ Partonic calculation with the notion of a matching scale
- **Showering Regime:** Defined in terms of splitting probabilities
  - ▶ Parton shower that can start showering at a given scale
- **Hadronization:** e.g. PYTHIA, HERWIG

# Effective Field Theory Picture

## Partonic Regime

- Equivalent to consecutive matching of QCD onto SCET [Bauer, Schwartz]
  - ▶ Match full QCD with  $n$  emissions onto SCET
  - ▶ Run in SCET between scales of  $n$  and  $n + 1$  emissions
- At LL can use QCD matrix elements multiplied by LL Sudakovs

## Showering Regime

- Equivalent to additional emissions and LL running in SCET [Bauer, Schwartz]
  - ▶  $\mu_{\text{match}}$  is matching scale of last full QCD emission
- Identify evolution variable (e.g.  $\sqrt{Q^2}$  or  $p_T$ ) of parton shower with running matching scale
  - ▶ Clean separation between **partonic** and **showering** regimes

# Dependence on Matching Scale $\mu_{\text{match}}$

## Showering has double-logarithmic dependence on scale $\mu_{\text{match}}$

- (Leading) scale dependence is property of QCD not a specific shower
- Cancellation is theoretical not algorithmic problem
- Sufficient to include necessary scale dependence in calculations

## In GENEVA

- $\mu_{\text{match}}$  dependence in **partonic regime** can be included
  - ▶ Analytically: using log-resummed calculation (e.g. SCET)
  - ▶ Numerically: on-the-fly via parton shower phase-space generation
- Value of  $\mu_{\text{match}}$  is fluid and determined on event-by-event basis
  - ▶ Increase  $\mu_{\text{match}}$ : **fewer partonic emissions**, **more showering**
  - ▶ Decrease  $\mu_{\text{match}}$ : **more partonic emissions**, **less showering**
  - ▶ Smooth transition between **partonic** and **showering** regimes

# Master Formula

$$\sigma = \sigma_p^{(0)}(\mu_{\text{match}}^{(0)}) \text{MC}(\mu_{\text{match}}^{(0)})$$

$\text{MC}(\mu_{\text{match}})$ : Showering starting at  $\mu_{\text{match}}$

Partonic cross sections:

- $\sigma_p^{(0)}(\mu_{\text{match}}^{(0)})$ : No emissions above scale  $\mu_{\text{match}}^{(0)}$ 
  - ▶  $\sigma_p^{(0)}(E_{\text{CM}})$  is total inclusive cross section
  - ▶ Choose  $\mu_{\text{match}}^{(0)} = E_{\text{CM}}$  to avoid dead zone

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- $\sigma_p^{(1)}(\mu_1; \mu_{\text{match}}^{(1)})$ : One emission at  $\mu_1$ , no further ones above  $\mu_{\text{match}}^{(1)}$ 
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  - ▶ Choose  $\mu_{\text{match}}^{(1)} = \mu_1$  to avoid dead zone
- All IR singularities in  $\sigma_p^{(i)}$  cancel *analytically*
- Everything boils down to calculating partonic cross sections

# Benefits of GENEVA Approach

## Reweighting allows free choice of $d\sigma$

- Use *any* matrix element: multi-leg, NLO, NNLO, ...
- Use *any* matching/resummation scheme
  - ▶ Fixed-order matrix elements times your favourite Sudakov
  - ▶ Analytically resummed expressions (NLL, NNLL)

## Phase space is covered exactly once

- No double-counting or negative weights
- Easy to combine descriptions for different parts of phase space, e.g.
  - ▶ 2+3 partons: analytic NNLO matrix element
  - ▶ 4+5 partons: One-loop recursion relations [Bern et al.; Ellis et al.]
  - ▶ 6 partons: MADGRAPH
  - ▶ 7 partons: AMEGIC++
  - ▶ 8 partons: ALPGEN
  - ▶ 9 partons: O'MEGA
  - ▶ 10+ partons: Tree-level recursion relations [Berends, Giele; BCF]
  - ▶ 15+ partons: Parton Shower with quantum interference [Nagy, Soper]

# GENEVA in a Toy Model

Toy cross sections ( $0 < x < 1$ )

$$\frac{d\sigma_B}{dx} = B\delta(x), \quad \frac{d\sigma_V}{dx} = a \left( \frac{B}{\epsilon} + V \right) \delta(x), \quad \frac{d\sigma_R}{dx} = aB \frac{R(x)}{x^{1+\epsilon}}$$

$$\sigma_{\text{total}} = B + aV + aB \int_0^1 dx \frac{R(x) - 1}{x} \quad [R(x \rightarrow 0) \rightarrow 1]$$

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$$\sigma_{\text{total}} = B + aV + aB \int_0^1 dx \frac{R(x) - 1}{x} \quad [R(x \rightarrow 0) \rightarrow 1]$$

Toy shower (identify  $x \equiv \mu$ )

$$Q(x) \xrightarrow{x \rightarrow 0} R(x), \quad \Delta_Q(x_1, x_2) = \exp \left( -a \int_{x_2}^{x_1} dx \frac{Q(x)}{x} \right)$$

$$\begin{aligned} \text{MC}(x_{\text{start}}) &= \Delta_Q(x_{\text{start}}, x_{\text{end}}) + \int_{x_{\text{end}}}^{x_{\text{start}}} dx a \frac{Q(x)}{x} \Delta_Q(x_{\text{start}}, x) \text{MC}(x) \\ &= \Delta_Q(x_{\text{start}}, x_{\text{end}}) + [1 - \Delta_Q(x_{\text{start}}, x_{\text{end}})] = 1 \end{aligned}$$

# Partonic Cross Sections at Tree Level

## Tree-level generator

Take 
$$\sigma_p^{(0)}(x_{\text{match}}) = B$$

$$\sigma_p^{(1)}(x) = aB \frac{R(x)}{x}$$

Get 
$$\sigma = B + aB \int_{x_{\text{match}}}^1 dx \frac{R(x)}{x}$$

$$\sigma^{(1)}(x) = aB \frac{1}{x} \begin{cases} R(x) & (x > x_{\text{match}}) \\ Q(x) \Delta_Q(x_{\text{match}}, x) & (x < x_{\text{match}}) \end{cases}$$

- $\sigma$  correct to  $\mathcal{O}(1)$ , and  $\sigma^{(1)}(x)$  to  $\mathcal{O}(a)$
- Logarithmic  $x_{\text{match}}$  dependence in  $\sigma$  and  $\sigma^{(1)}(x)$

# Partonic Cross Sections at Tree Level

## Tree-level generator Sudakov improved

Take

$$\sigma_p^{(0)}(x_{\text{match}}) = B \Delta_Q(1, x_{\text{match}})$$

$$\sigma_p^{(1)}(x) = aB \frac{R(x)}{x} \Delta_Q(1, x)$$

Get

$$\sigma = B + aB \int_{x_{\text{match}}}^1 dx \frac{R(x) - Q(x)}{x} \Delta_Q(1, x)$$

$$\sigma^{(1)}(x) = aB \frac{1}{x} \begin{cases} R(x) \Delta_Q(1, x) & (x > x_{\text{match}}) \\ Q(x) \Delta_Q(1, x_{\text{match}}) \Delta_Q(x_{\text{match}}, x) & (x < x_{\text{match}}) \end{cases}$$

- $\sigma$  correct to  $\mathcal{O}(1)$ , and  $\sigma^{(1)}(x)$  to  $\mathcal{O}(a)$
- Logarithmic  $x_{\text{match}}$  dependence in  $\sigma$  and  $\sigma^{(1)}(x)$  cancels
  - ▶ ME/PS merging [CKKW, MLM], but no special algorithm needed
  - ▶ Any  $\tilde{Q}(x \rightarrow 0) \rightarrow 1$  works, gives  $\Delta_{\tilde{Q}}$  with correct LL

# Partonic Cross Sections at NLO

## NLO Slicing

Take  $\sigma_p^{(0)}(x_{\text{match}}) = \tilde{B}$

$$\tilde{B} = \sigma_{\text{total}} - aB \int_{x_{\text{match}}}^1 dx \frac{R(x)}{x}$$

$$\sigma_p^{(1)}(x) = aB \frac{R(x)}{x}$$

Get  $\sigma = \sigma_{\text{total}}$

$$\sigma^{(1)}(x) = a \frac{1}{x} \begin{cases} BR(x) & (x > x_{\text{match}}) \\ \tilde{B}Q(x) \Delta_Q(x_{\text{match}}, x) & (x < x_{\text{match}}) \end{cases}$$

- By construction  $\sigma = \sigma_p^{(0)}(1) = \sigma_{\text{total}}$ , independent of  $x_{\text{match}}$
- Two sources of logarithmic  $x_{\text{match}}$  dependence in  $\sigma^{(1)}(x)$

# Partonic Cross Sections at NLO

**NLO Slicing Sudakov improved = NLO Subtraction** [MC@NLO: Frixione, Webber]

Take  $\sigma_p^{(0)}(x_{\text{match}}) = \tilde{B} \Delta_Q(1, x_{\text{match}})$

$$\tilde{B} = \sigma_{\text{total}} - aB \int_{x_{\text{match}}}^1 dx \frac{R(x) - Q(x)}{x}$$

$$\sigma_p^{(1)}(x) = aB \frac{R(x) - Q(x)}{x} + a\tilde{B} \frac{Q(x)}{x} \Delta_Q(1, x)$$

Get  $\sigma = \sigma_{\text{total}}$

$$\sigma^{(1)}(x) = a \frac{1}{x} \begin{cases} BR(x) + (\tilde{B} - B)Q(x) \Delta_Q(1, x) & (x > x_{\text{match}}) \\ \tilde{B}Q(x) \Delta_Q(1, x_{\text{match}}) \Delta_Q(x_{\text{match}}, x) & (x < x_{\text{match}}) \end{cases}$$

- By construction  $\sigma = \sigma_p^{(0)}(1) = \sigma_{\text{total}}$ , independent of  $x_{\text{match}}$  (still)
- Two sources of logarithmic  $x_{\text{match}}$  dependence in  $\sigma^{(1)}(x)$  cancel

# Partonic Cross Sections at NLO

## Simple NLO [inspired by POWHEG: Nason]

Take  $\sigma_p^{(0)}(x_{\text{match}}) = \sigma_{\text{total}} \Delta_T(1, x_{\text{match}})$

$$\sigma_p^{(1)}(x) = a \sigma_{\text{total}} \frac{T(x)}{x} \Delta_T(1, x)$$

$$T(x) = \frac{B}{\sigma_{\text{total}}} R(x) \quad \Delta_T = \exp\left(-a \int dx \frac{T(x)}{x}\right)$$

Get  $\sigma = \sigma_{\text{total}}$

$$\sigma^{(1)}(x) = a \frac{1}{x} \begin{cases} BR(x) \Delta_T(1, x) & (x > x_{\text{match}}) \\ \sigma_{\text{total}} Q(x) \Delta_T(1, x_{\text{match}}) \Delta_Q(x_{\text{match}}, x) & (x < x_{\text{match}}) \end{cases}$$

- By construction  $\sigma = \sigma_p^{(0)}(1) = \sigma_{\text{total}}$ , independent of  $x_{\text{match}}$
- No logarithmic  $x_{\text{match}}$  dependence in  $\sigma^{(1)}(x)$

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# The Parton Shower as Phase Space Generator

## Advantages

- Automatically covers all of multiplicity, flavor, phase space
- Automatically has the right singularity structure
- As a by-product, resums leading logarithms
- It's fast!

## Challenges

- Need to know precise probability distribution  $dP$   
Use analytic parton shower algorithm [Bauer, FT, arXiv:0705.1719]
  - ▶ Exact momentum conservation and phase-space coverage
  - ▶ Full analytic control over  $dP$
- Must take into account that phase space is covered multiple times by parton shower

# The Event Weight

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# The Event Weight

$$w \equiv w(\Phi) = \frac{\sigma(\Phi)}{\mathcal{P}[\Sigma(\Phi)]J[\Sigma(\Phi)]}$$

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- Mapping  $\Sigma \rightarrow \Phi \equiv \Phi(\Sigma)$ , Jacobian  $J(\Sigma) = d\Sigma/d\Phi$
- Each  $\Phi$  can have multiple  $\Sigma_i(\Phi)$  that map to it
  - ▶ Have to sum over all parton shower histories  $\Sigma_i(\Phi)$  that map to the same point  $\Phi$  in phase space.

# Overcounting

$$w \equiv w(\Phi) = \frac{\sigma(\Phi)}{\sum_i \mathcal{P}[\Sigma_i(\Phi)] J[\Sigma_i(\Phi)]}$$

Summing over  $\mathcal{P}[\Sigma_i(\Phi)]$  is hard

- Requires to explicitly construct all  $\Sigma_i(\Phi)$  for given  $\Phi$
- Naively grows like  $n!$
- Same problem in subtraction methods

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- Define  $\sigma(\Sigma) = \frac{\sigma[\Phi(\Sigma)]}{J(\Sigma)} \hat{\alpha}(\Sigma)$  with  $\hat{\alpha}(\Sigma) = \frac{\alpha(\Sigma)}{\sum_i \alpha(\Sigma_i)}$

# Overcounting

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Instead make weight function of  $\Sigma$

- Define  $\sigma(\Sigma) = \frac{\sigma[\Phi(\Sigma)]}{J(\Sigma)} \hat{\alpha}(\Sigma)$  with  $\hat{\alpha}(\Sigma) = \frac{\alpha(\Sigma)}{\sum_i \alpha(\Sigma_i)}$
- $\hat{\alpha}(\Sigma)$  distributes  $\sigma(\Phi)$  among  $\Sigma_i(\Phi)$ , can be chosen freely
  - ▶ Trivial but inefficient:  $\alpha(\Sigma) = 1$
  - ▶ Ideal but hard:  $\alpha(\Sigma) = \mathcal{P}(\Sigma)J(\Sigma)$
- Pick  $\alpha(\Sigma) \approx \mathcal{P}(\Sigma)J(\Sigma)$  such that  $\sum_i \alpha(\Sigma_i)$  looks like sum of graphs
  - ▶ Compute with ALPHA algorithm [Caravaglios, Moretti; Mangano, Pittau (ALPGEN)]
  - ▶ Only grows like  $2^n$

# Matrix Elements

## Fixed-order tree-level matrix elements

- Various numerical algorithms/tools for ME with many final partons
- GENEVA can supply Sudakov factors for LL resummation numerically
  - ▶ Schematically  $\mathcal{P}(\Sigma) \equiv Q(\Sigma)\Delta(\Sigma)$
  - ▶ Keep  $\Delta(\Sigma)$  generated by parton shower during phase-space generation

$$w(\Sigma) = \frac{\sigma(\Sigma)\Delta(\Sigma)}{\mathcal{P}(\Sigma)} = \frac{\sigma(\Sigma)}{Q(\Sigma)}$$

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## NLO and resummed matrix elements

- Supply NLO calculations with LL Sudakovs analytically (see toy model)
- Analytic NLL or NNLL ME (e.g. from SCET) already include correct LL

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# Proof of Concept Version

In C++:  $e^+e^- \rightarrow nj$  with  $j = \{g, u, d, s, c\}$

- Use virtuality as evolution variable and running matching scale
- Use MADGRAPH tree-level matrix elements (currently up to  $n = 6$ )
  - ▶ Pure matrix elements
  - ▶ Sudakov improved resummed matrix elements
- NLO
  - ▶ One-loop  $2j$  + tree-level  $3j$  + LL resummation
  - ▶ + additional MADGRAPH tree-level matrix elements
- Showering regime covered by underlying analytic parton shower

## On paper

- Matched NNLO for toy model

# Weighted vs. Unweighted samples

$$N_{\text{eff}} = \frac{[\sum_n w_n]^2}{\sum_n w_n^2} \gg N_{\text{unw}} = \frac{\sum_n w_n}{w_{\text{max}}}$$

- $N_{\text{unw}}$ : expected number of events after unweighting
  - ▶ Poor efficiency from rare events with large weights
  - ▶ Systematic error due to unknown true  $w_{\text{max}}$  or truncation
- $N_{\text{eff}}$ : measures statistical power of weighted sample
  - ▶ Number of events of a *statistically equivalent* unweighted sample
  - ▶ If  $N_{\text{eff}}/N$  too small, can partially unweight until  $N_{\text{eff}} \lesssim N$

$e^+e^- \rightarrow 5j$	$N_{\text{eff}}/N$	$N_{\text{unw}}/N$	
MADEVENT	0.89	0.11	(partially unweighted)
		0.50	(truncated)
GENEVA	0.40	0.03	
	0.89	0.12	(partially unweighted)

# Comparison with MadGraph/MadEvent

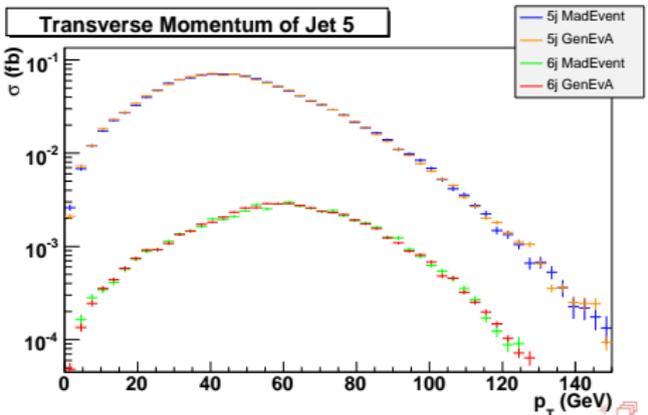
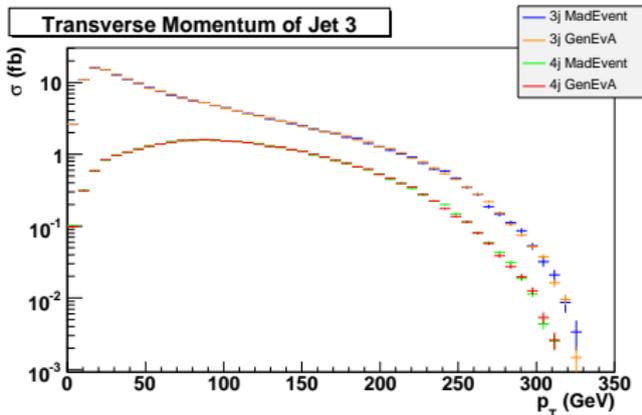
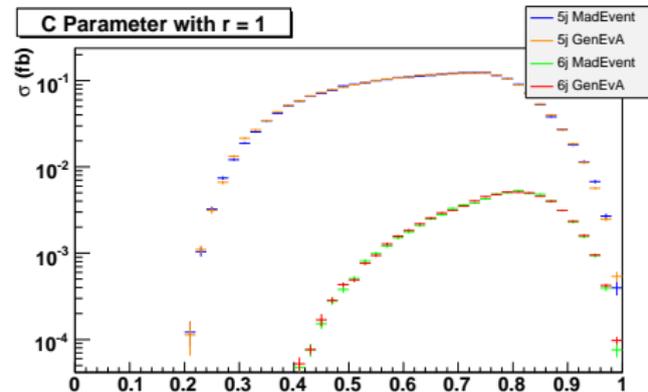
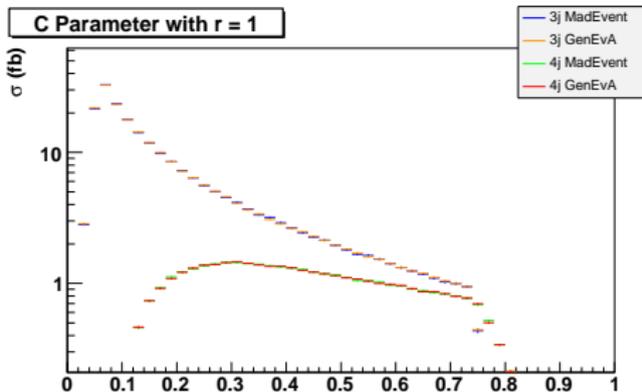
Reweight to pure MADGRAPH ME  
and compare with MADEVENT

Cross section in **ab** for

- $E_{CM} = 1000 \text{ GeV}$
- $\Lambda_{IR} = 100 \text{ GeV}$

process	MADEVENT	GENEVA
$4j$	$36483 \pm 49$	$36439 \pm 69$
$u\bar{u}gg$	$14055 \pm 32$	$14003 \pm 44$
$d\bar{d}gg$	$3490 \pm 9$	$3498 \pm 22$
$u\bar{u}c\bar{c}$	$283.4 \pm 1.3$	$273 \pm 7$
$u\bar{u}d\bar{d}$	$175.9 \pm 0.9$	$184 \pm 6$
$u\bar{u}u\bar{u}$	$131.9 \pm 0.9$	$135 \pm 4$
$5j$	$2540.5 \pm 3.3$	$2550 \pm 6$
$u\bar{u}ggg$	$909.8 \pm 2.1$	$916 \pm 3$
$d\bar{d}ggg$	$227.4 \pm 1.0$	$229 \pm 2$
$u\bar{u}c\bar{c}g$	$54.44 \pm 0.31$	$54 \pm 1$
$u\bar{u}d\bar{d}g$	$33.96 \pm 0.31$	$35 \pm 1$
$u\bar{u}u\bar{u}g$	$25.41 \pm 0.16$	$25 \pm 1$

# Comparison with MadGraph/MadEvent



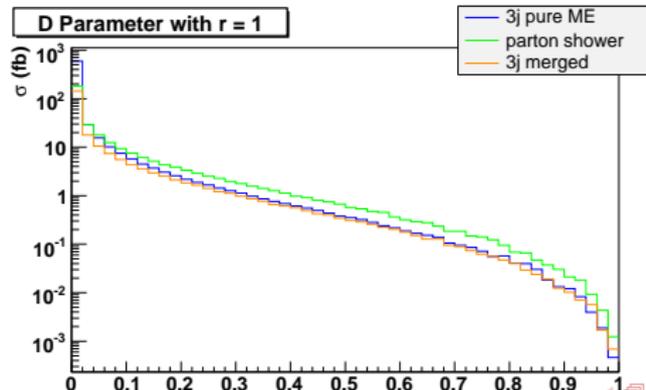
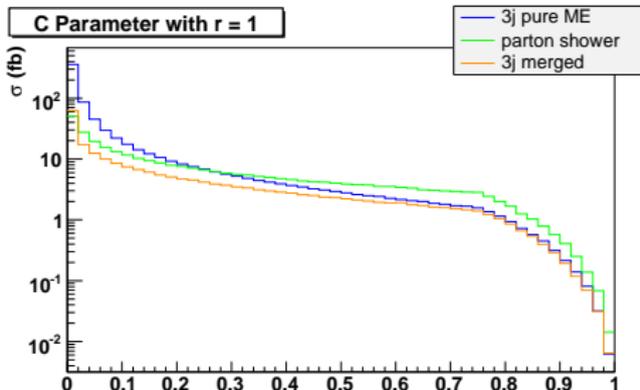
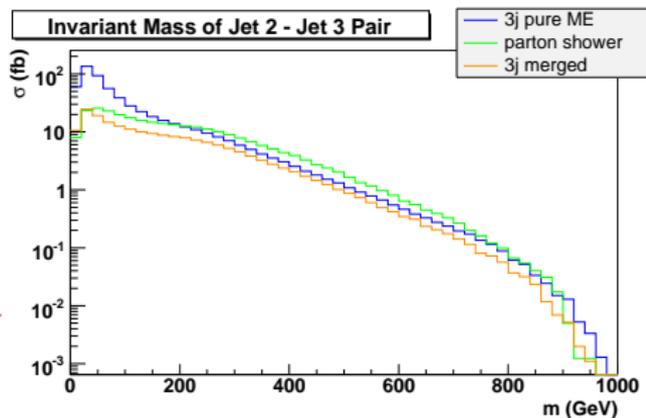
# Matching Matrix Elements and Parton Shower

## Match MADGRAPH ME

### Compare

- pure 3j (+ parton shower)
- pure 2j (parton shower only)
- matched 3j

$$E_{CM} = 1000 \text{ GeV}$$
$$\mu_{\text{match}} = 50 \text{ GeV}$$
$$\Lambda_{IR} = 10 \text{ GeV}$$

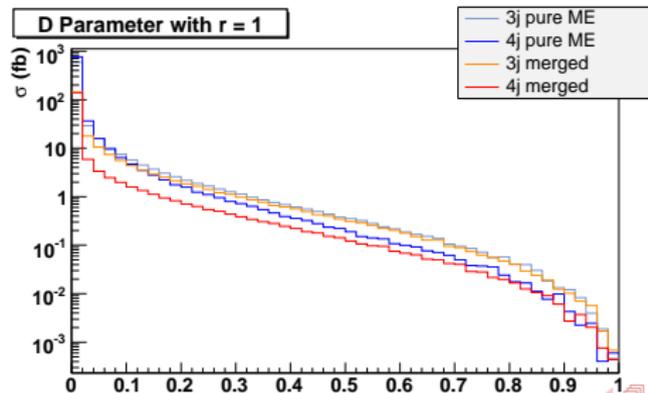
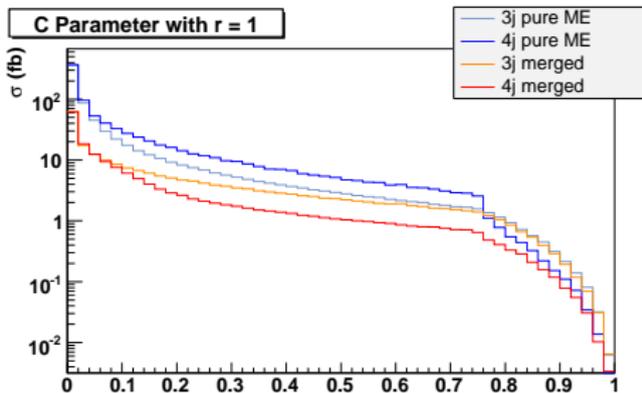
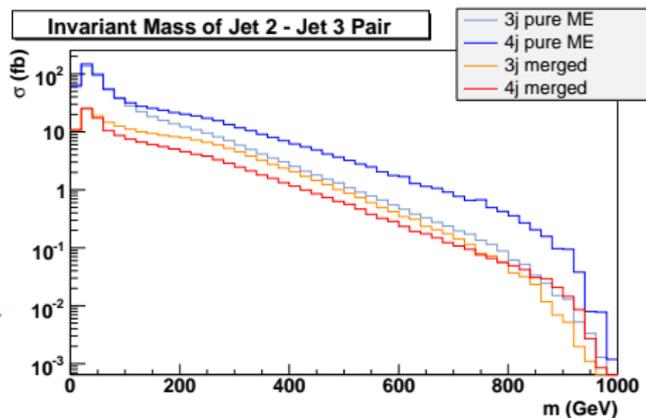


# Matching Matrix Elements and Parton Shower

## Match MADGRAPH ME

### Compare

- pure 3j (+ parton shower)
  - pure 4j (+ parton shower)
  - matched 3j
  - matched 4j
- $E_{CM} = 1000 \text{ GeV}$   
 $\mu_{\text{match}} = 50 \text{ GeV}$   
 $\Lambda_{IR} = 10 \text{ GeV}$



# Fully Inclusive Sample

## Match MADGRAPH ME

Inclusive sample matched up to **6j**

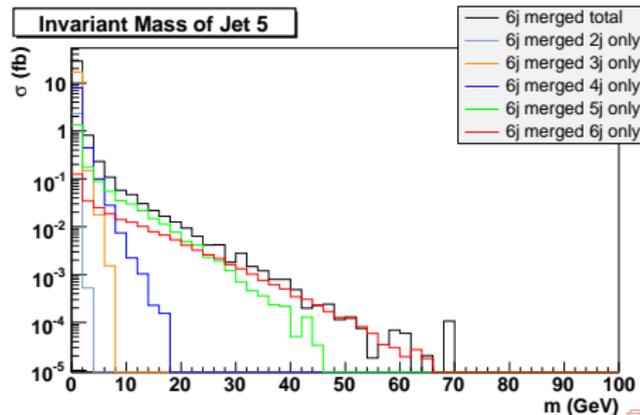
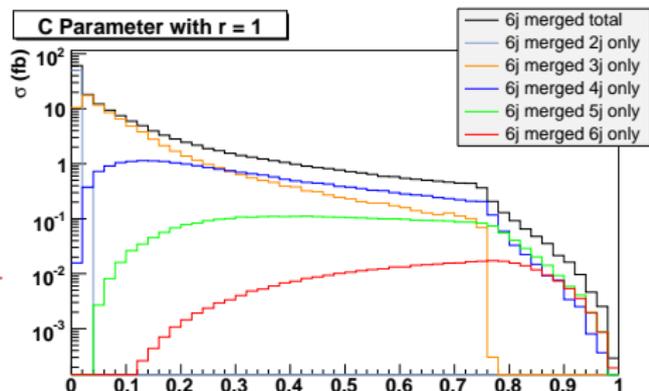
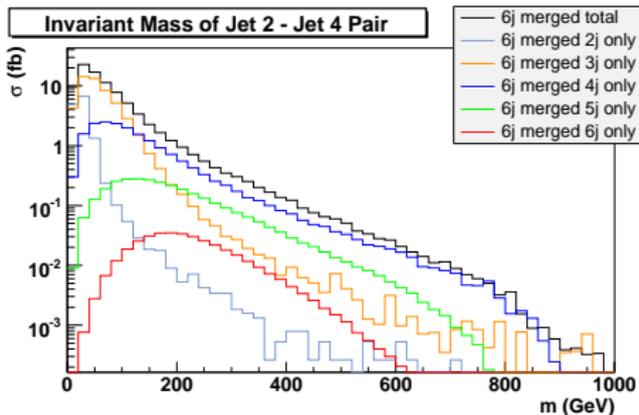
Contributions of individual

**2j, 3j, 4j, 5j, 6j**

$$E_{CM} = 1000 \text{ GeV}$$

$$\mu_{\text{match}} = 50 \text{ GeV}$$

$$\Lambda_{\text{IR}} = 10 \text{ GeV}$$



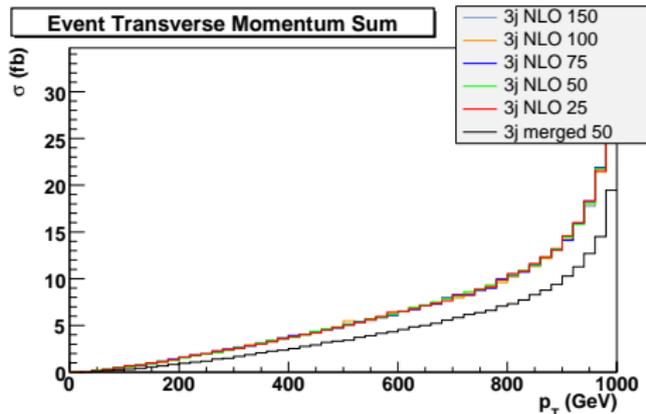
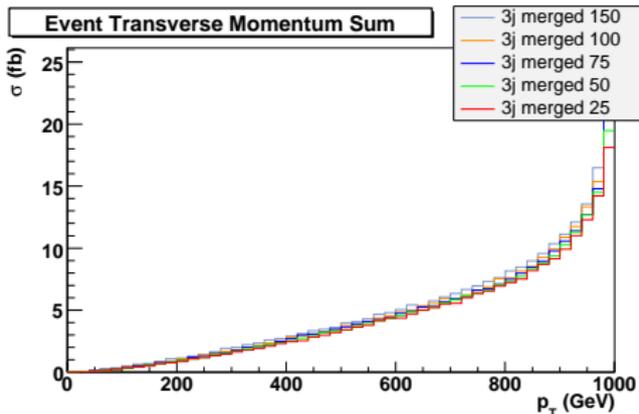
# NLO

## Compare

- $3j$  merged
- $3j$  fully matched at NLO

for different  $\mu_{\text{match}} = 150, 100, 75, 50, 25 \text{ GeV}$

$$E_{\text{CM}} = 1000 \text{ GeV}$$
$$\Lambda_{\text{IR}} = 10 \text{ GeV}$$



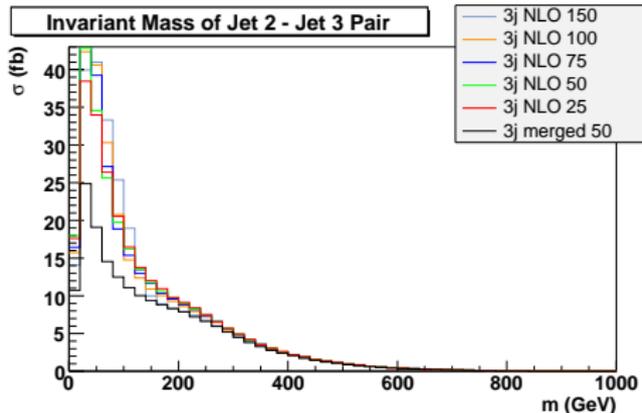
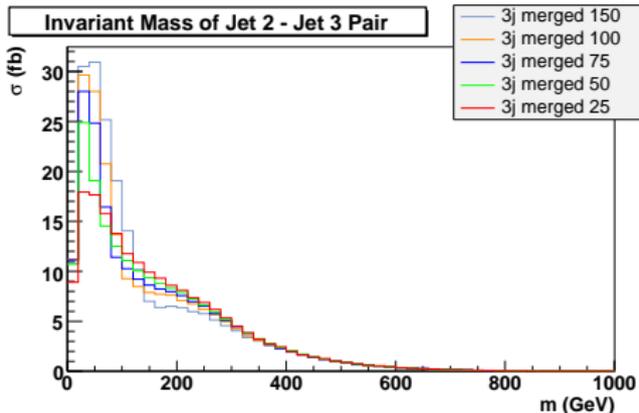
# NLO

## Compare

- $3j$  merged
- $3j$  fully matched at NLO

for different  $\mu_{\text{match}} = 150, 100, 75, 50, 25 \text{ GeV}$

$E_{\text{CM}} = 1000 \text{ GeV}$   
 $\Lambda_{\text{IR}} = 10 \text{ GeV}$

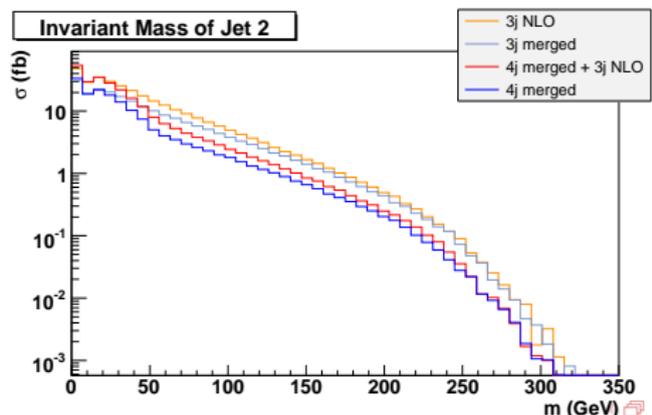
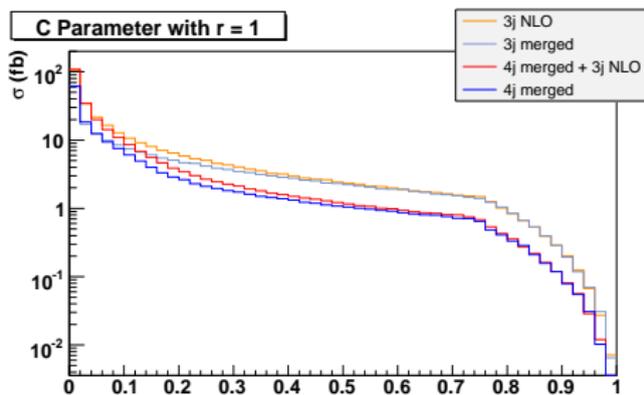


# NLO with Additional Tree-level

## Compare

- $3j$  matched at NLO
- $3j$  merged
- $4j$  merged with  $3j$  at NLO
- $4j$  merged

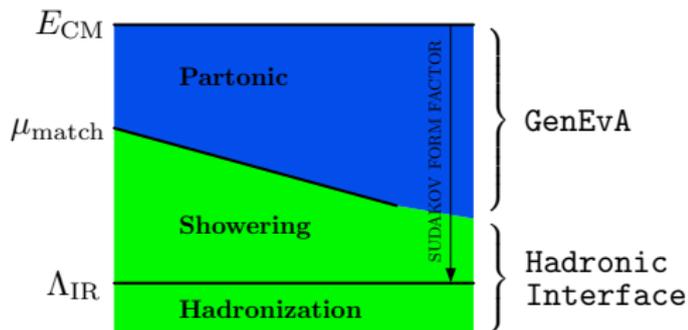
$$E_{\text{CM}} = 1000 \text{ GeV}$$
$$\Lambda_{\text{IR}} = 10 \text{ GeV}$$



# Conclusions and Outlook

GENEVA is a *framework* to turn theory calculations into exclusive events

- Analytic parton shower serves as efficient phase space generator
- Can use any partonic calculation
- Simple matching, independent of jet algorithm and parton shower
- Easy to combine different calculations (e.g. NLO + more tree-level ME)



# Conclusions and Outlook

## In the future

- Reweighting approach allows to
  - ▶ study uncertainties using single simulated event sample
  - ▶ update events to newest theory results even *after detector simulation*
- Extend philosophy to full range of interesting processes
  - ▶ *pp*, *W/Z/t* production, SUSY, ...

