



RICE

A CLOSER LOOK:
SEARCHING FOR THE
RARE DECAY $B_S^0 \rightarrow \mu^+ \mu^-$

Michelle Prewitt – Rice University
on behalf of the D0 Collaboration
February 8th, 2013

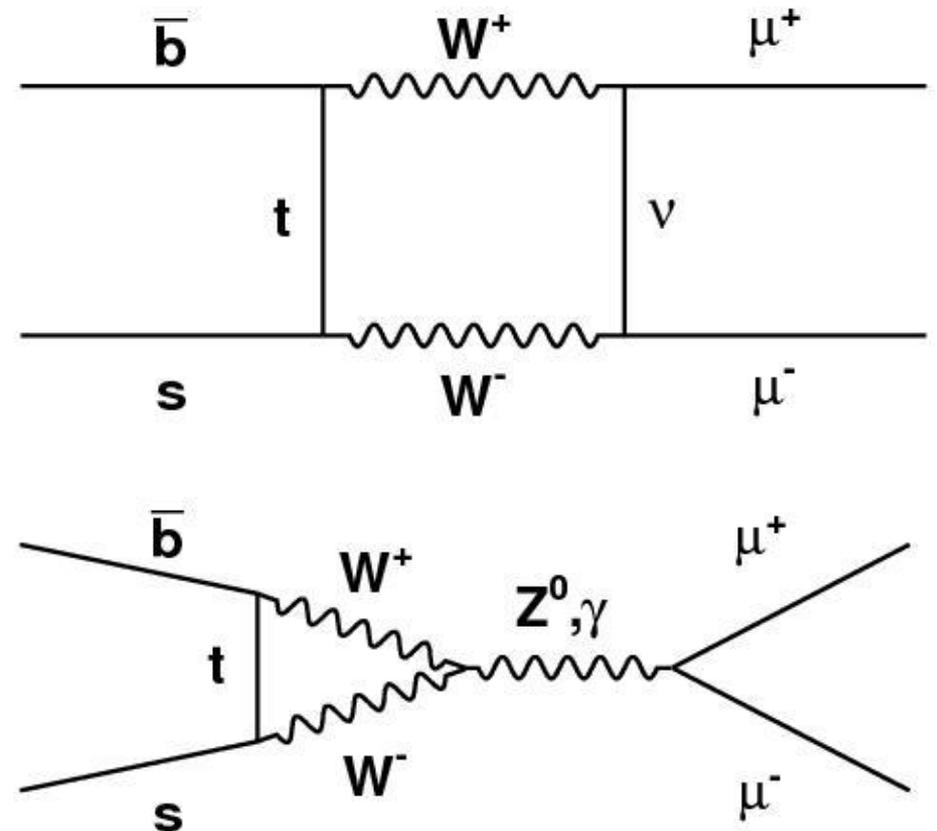


Fermilab Joint Theoretical –
Experimental Seminar

Standard Model

- Flavor changing neutral current restricted
- Helicity suppressed
- $\text{BR}(B_s^0 \rightarrow \mu^+ \mu^-) =$
 - $(3.2 \pm 0.2) \times 10^{-9}$
 - $(3.5 \pm 0.2) \times 10^{-9}$
(lifetime corrected)*

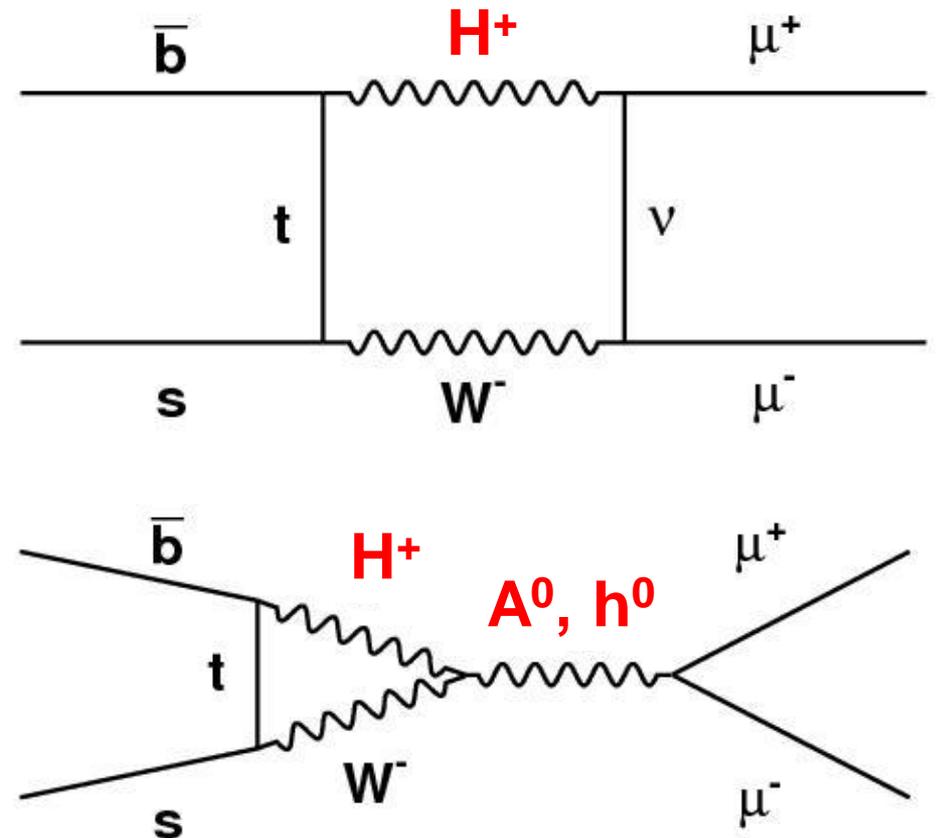
*A. J. Buras et al.,
Eur. Phys. J. C72, 2172
(2012).



Standard Model

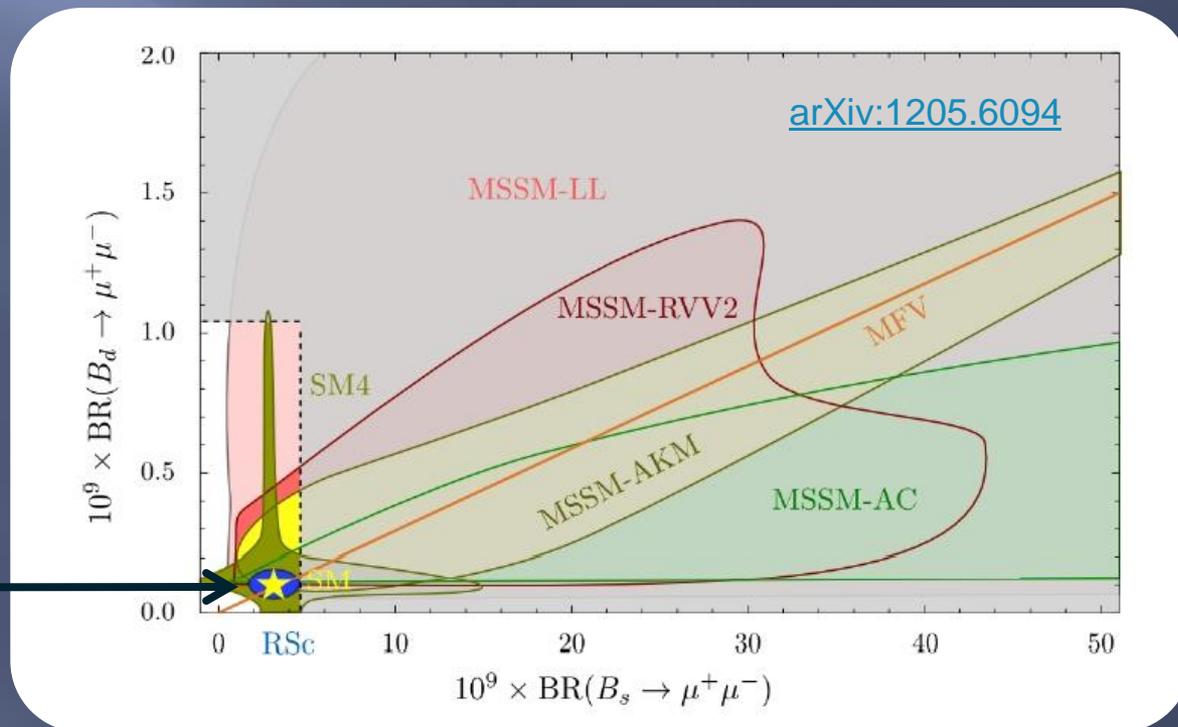
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Beyond the Standard Model

- Important decay for BSM physics because many models predict substantial enhancement or even suppression of the branching ratio

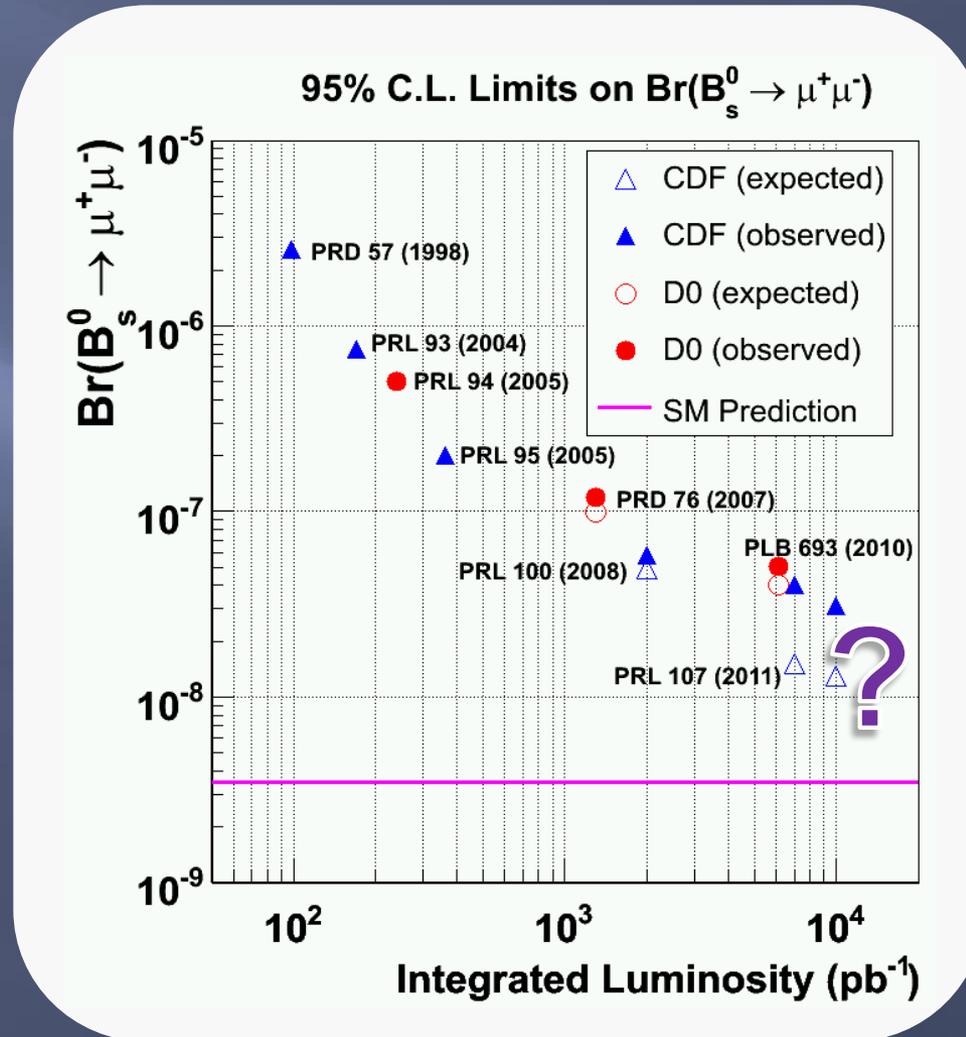


*Straub
Moriond 2012

Standard
Model



History of TeV Measurements



Current Experimental Situation

▣ LCHb:

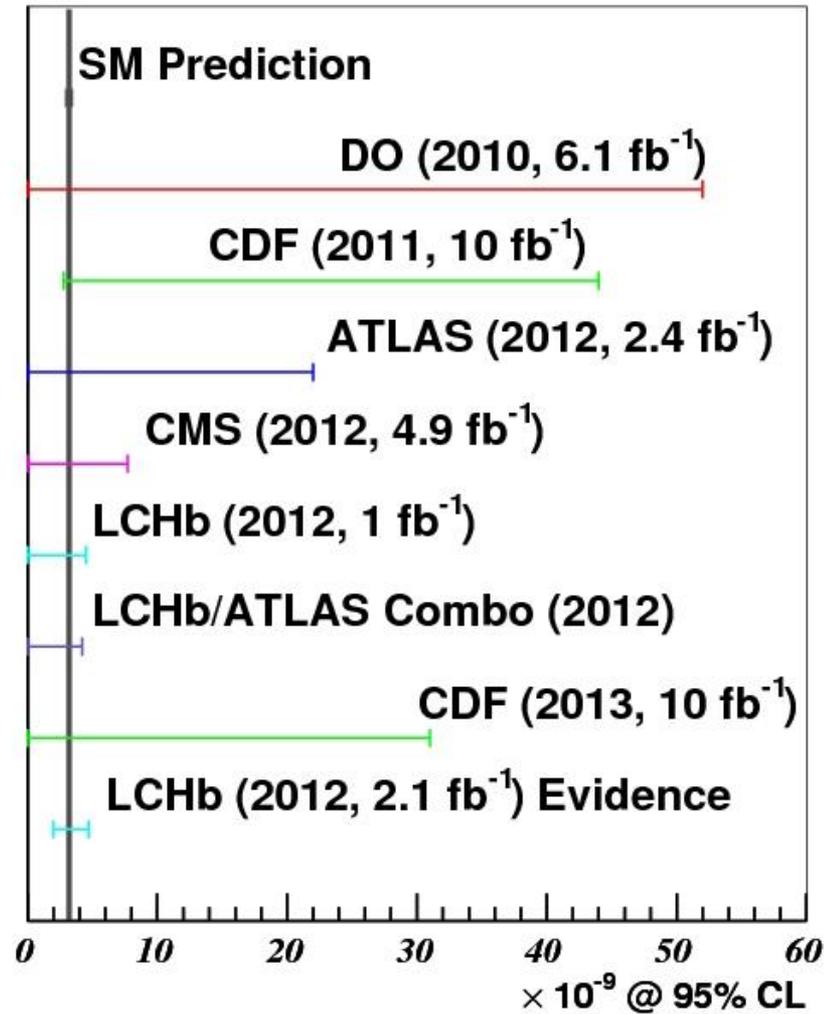
▪ Evidence

$$\text{BR}(B_s^0 \rightarrow \mu^+ \mu^-) = 3.2^{+1.5}_{-1.2} \times 10^{-9}$$

▣ CDF:

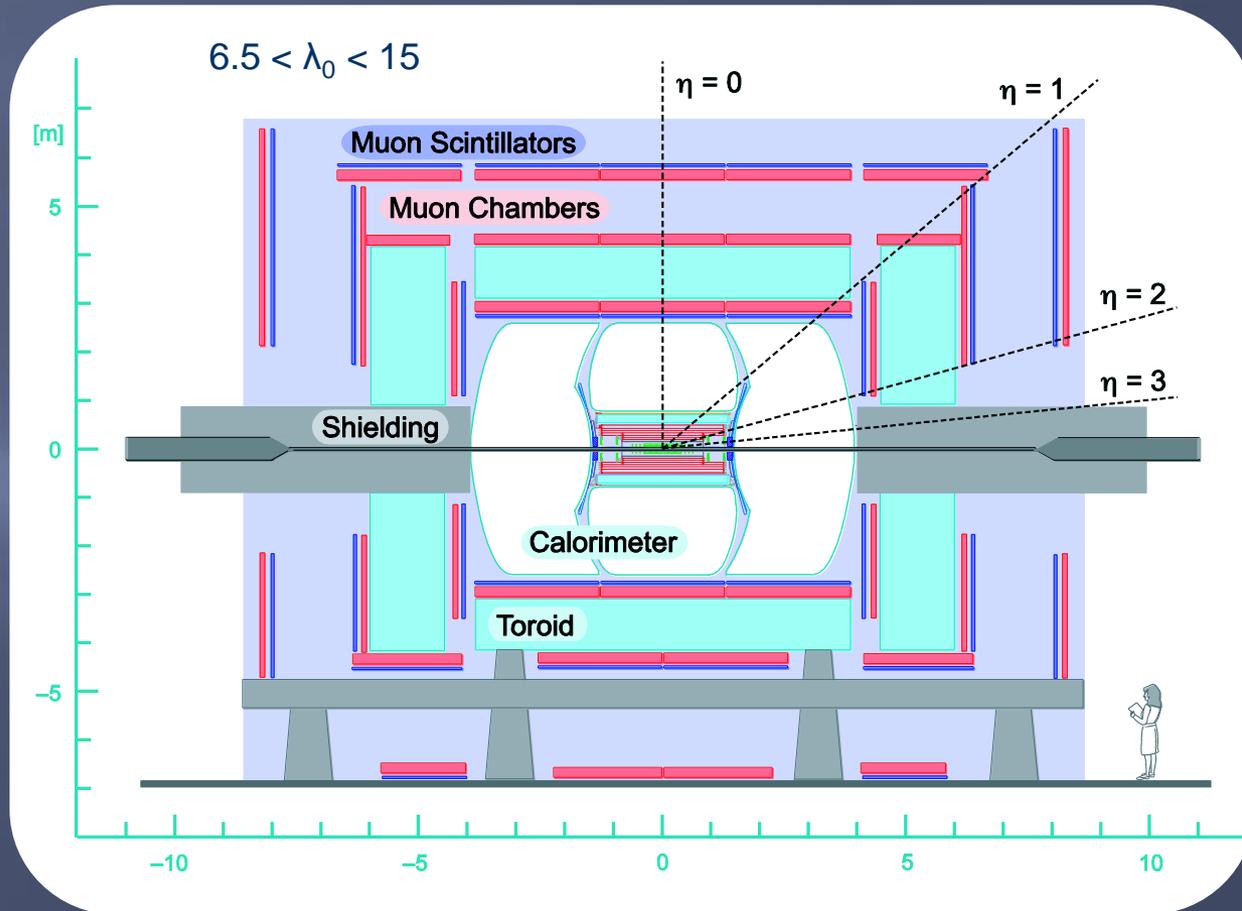
- “ we measure $\text{B}(B_s^0 \rightarrow \mu^+ \mu^-) = 1.3^{+0.9}_{-0.7} \times 10^{-8}$ and the following bounds are set, . . . $0.8 \times 10^{-9} < \text{B}(B_s^0 \rightarrow \mu^+ \mu^-) < 3.4 \times 10^{-8}$ at . . . 95% C.L., respectively. ”

BR($B_s \rightarrow \mu\mu$)

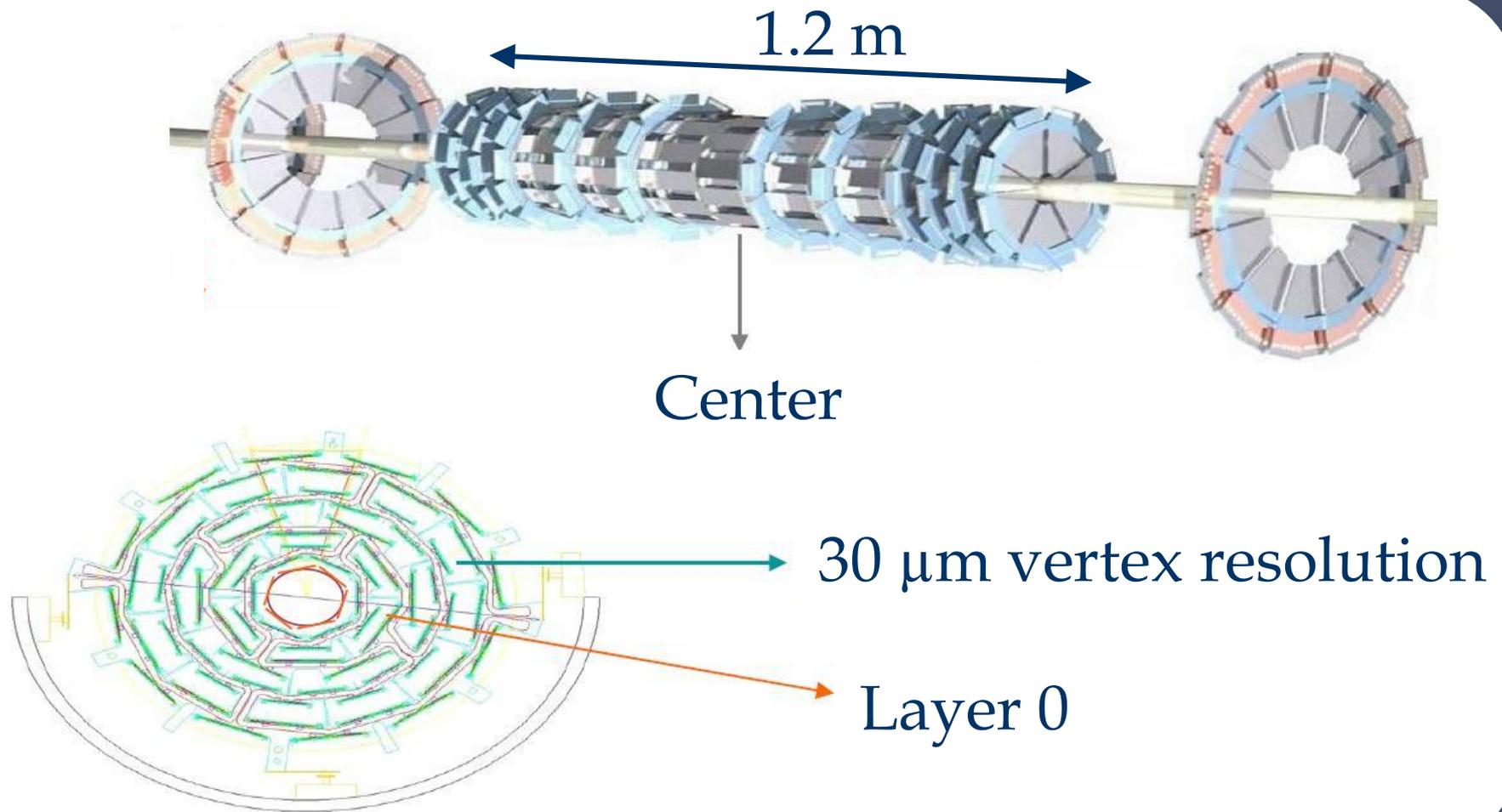


D0 Detector

- Silicon Microstrip Tracker
- Central Fiber Tracker
- Calorimeter
- Muon System
 - Coverage up to $|\eta| = 2$



Silicon Microstrip Tracker



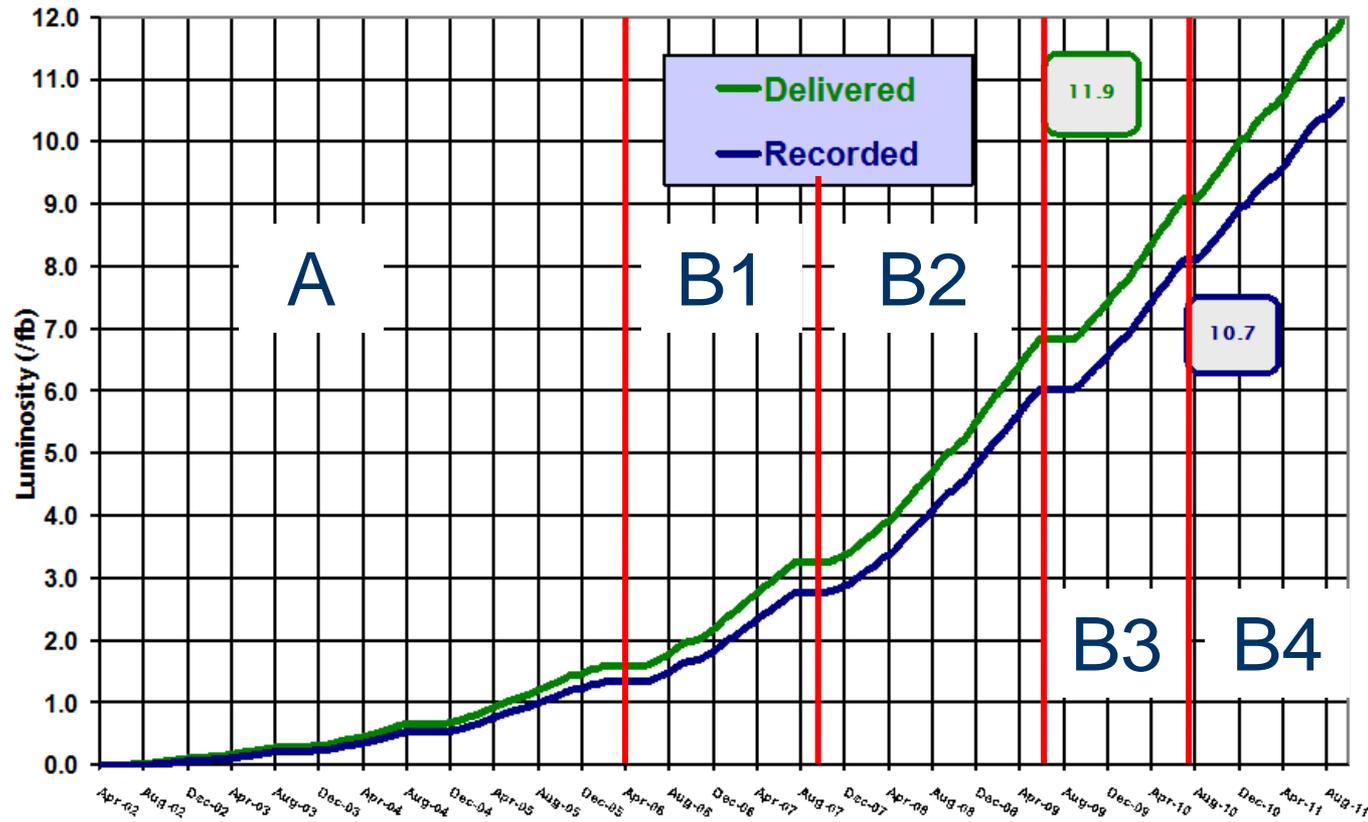
D0 Data

Thanks to all Operations and AD personnel!



Run II Integrated Luminosity

19 April 2002 - 30 September 2011



5 data epochs in Run II



D0 Results

| \int Luminosity | 95% BR($B_s \rightarrow \mu\mu$) < | Analysis Improvements |
|-----------------------|--------------------------------------|---------------------------|
| 240 pb ⁻¹ | 5×10^{-7} | Cut based |
| 1.3 fb ⁻¹ | 1.2×10^{-7} | Likelihood ratio |
| 6.1 fb ⁻¹ | 5.1×10^{-8} | NN, higher luminosity |
| 10.4 fb ⁻¹ | ??? | BDT, background rejection |

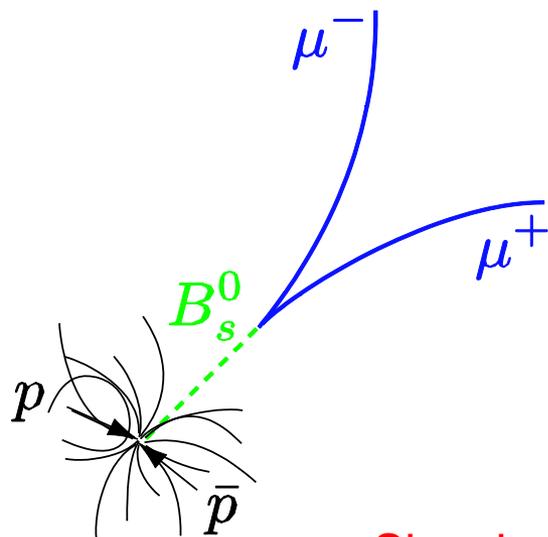
- New to this result:
- Full D0 data set
- Boosted Decision Tree (BDT)
- Improved background characterization



Thanks to
B. Casey
for figures!

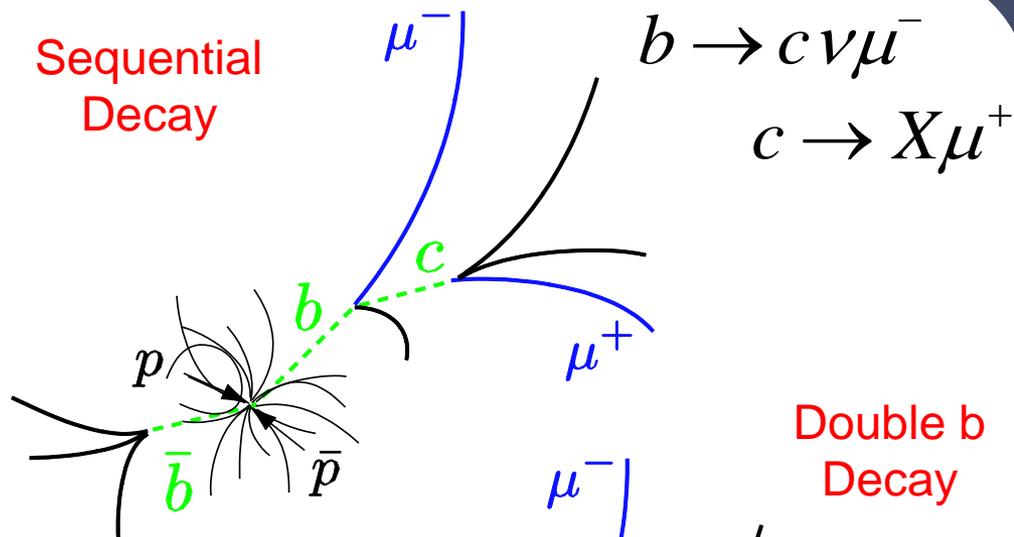
Signal vs Background

$$B_s^0 \rightarrow \mu^+ \mu^-$$



Signal

Sequential
Decay



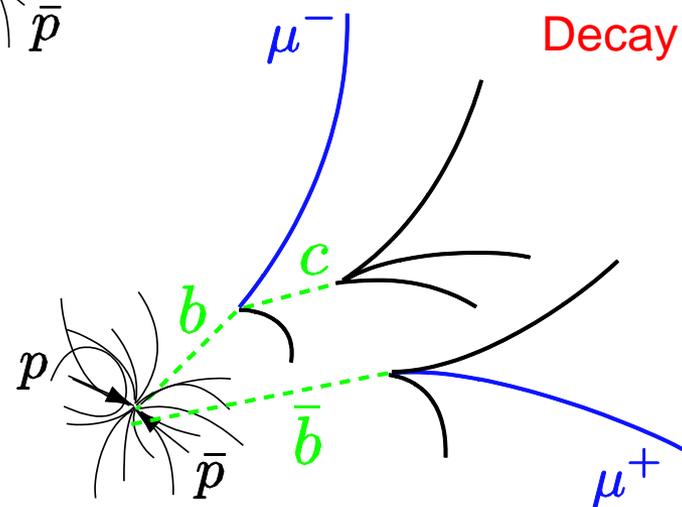
$$b \rightarrow c \nu \mu^-$$

$$c \rightarrow X \mu^+$$

Double b
Decay

$$b \rightarrow X \mu^-$$

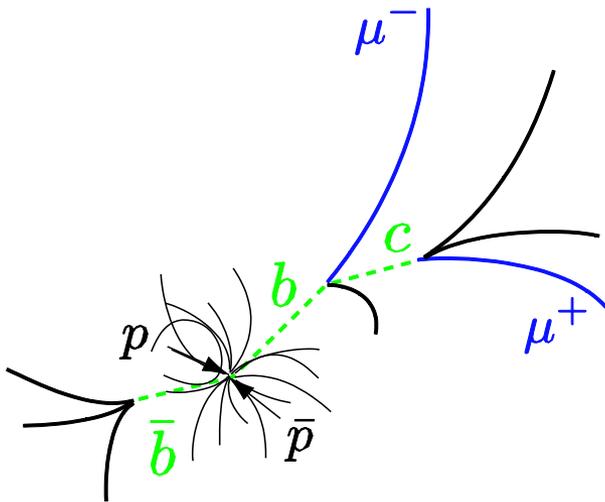
$$\bar{b} \rightarrow X \mu^+$$



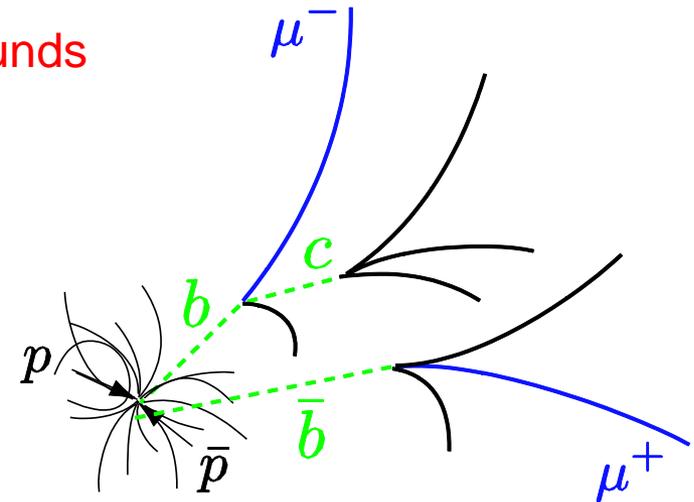
▣ New Variables



Additional Vertices

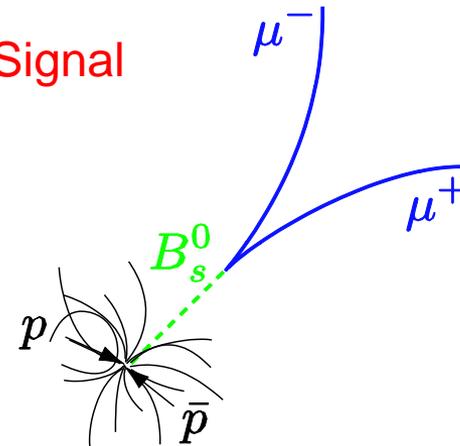


Dimuon Backgrounds

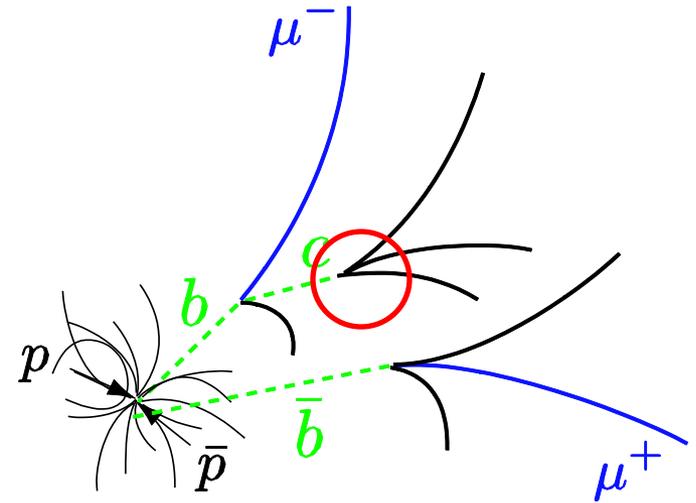
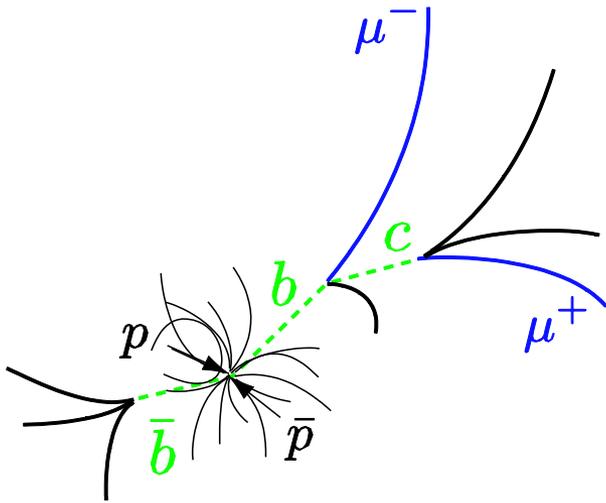


- Background has many more tracks around the dimuon vertex

Signal



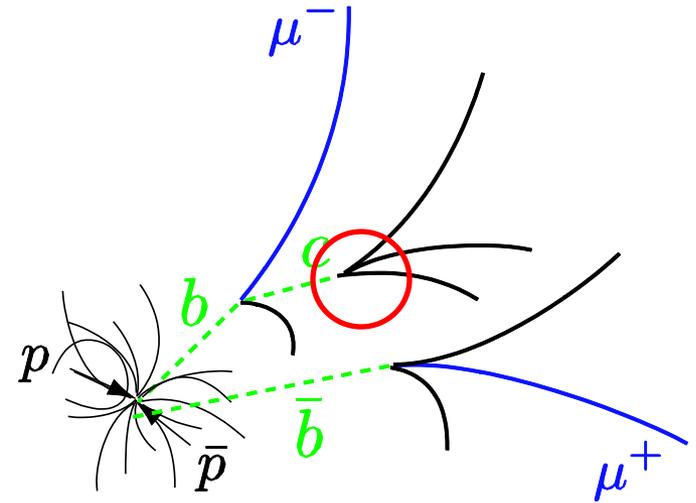
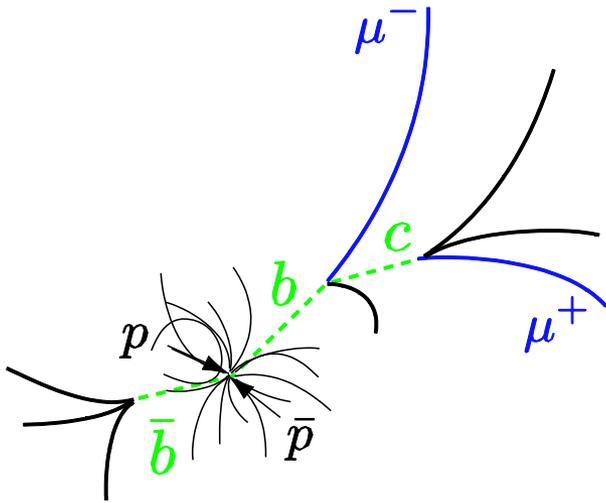
Additional Vertices



- ▣ Tertiary vertex



Additional Vertices



▣ Tertiary vertex

▣ Mass

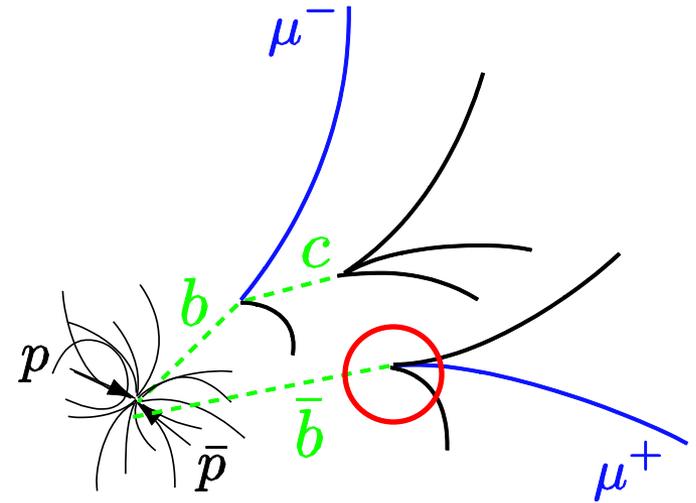
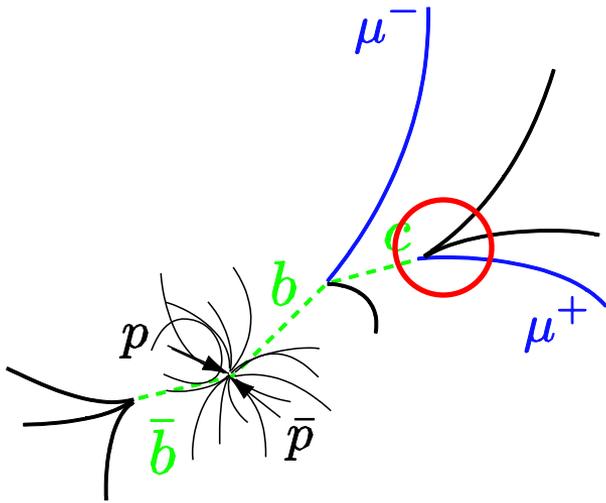
▣ χ^2

▣ Impact parameter

▣ and others . . .



Additional Vertices



▣ Vertex with muons

▣ Mass

▣ χ^2

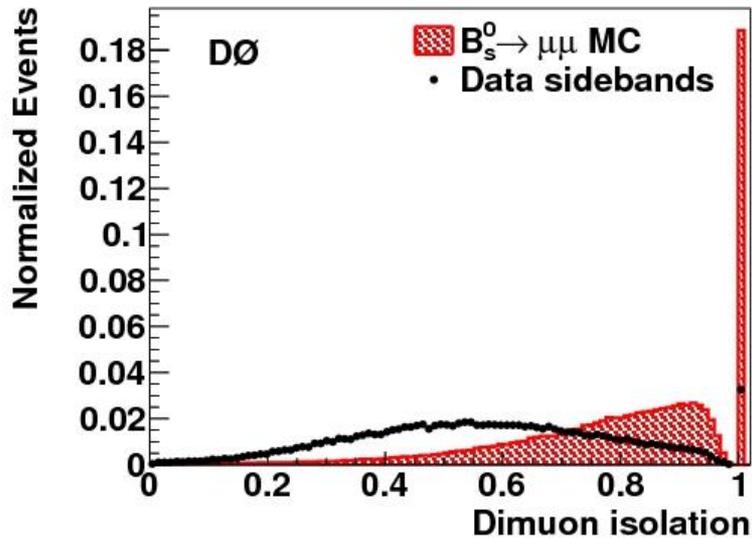
▣ Impact parameter

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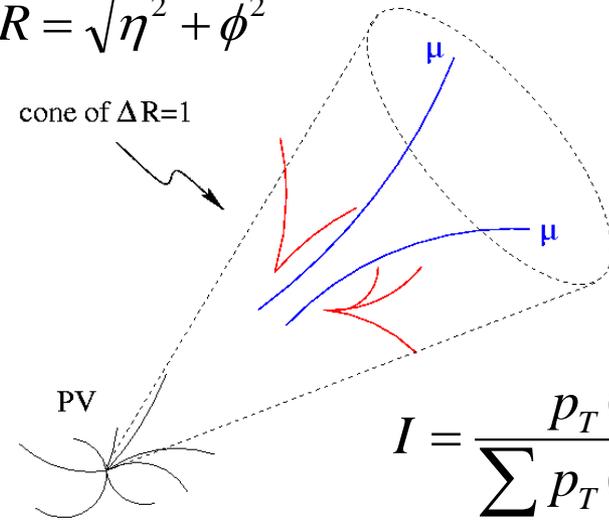
Isolation

- Tracker based isolation
- Dimuon
- Individual muons



$$R = \sqrt{\eta^2 + \phi^2}$$

cone of $\Delta R=1$

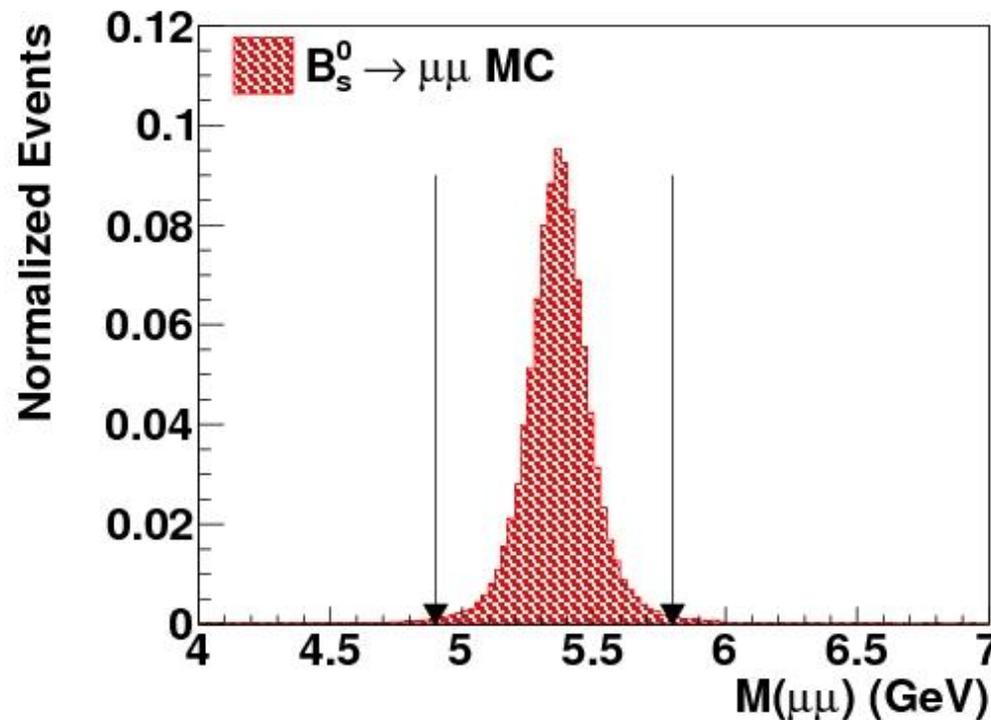


$$I = \frac{p_T(B)}{\sum p_T(\text{cone})}$$



Analysis Outline

- ▣ Blinded analysis
 - Dimuon mass range of 4.0 – 7.0 GeV
 - Blinded from 4.9 – 5.8 GeV



Mass
resolution
 $\sigma = 125$ MeV



Analysis Outline

- ▣ Blinded analysis
 - Dimuon mass range of 4.0 – 7.0 GeV
 - Blinded from 4.9 – 5.8 GeV
- ▣ Normalization mode
 - $B^{\pm} \rightarrow J/\psi K^{\pm}$ with $J/\psi \rightarrow \mu^+ \mu^-$



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- ▣ New variables to fight the backgrounds



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- ▣ BDT
 - Use data sidebands as background for training



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 - Use data sidebands as background for training
- ▣ Optimize cuts



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- ▣ Optimize cuts
- ▣ Estimate signal and background, then limits

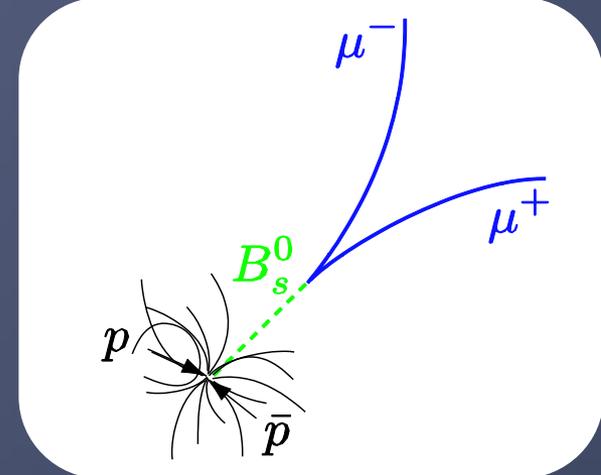


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 - $B^{\pm} \rightarrow J/\Psi K^{\pm}$ with $J/\Psi \rightarrow \mu^+ \mu^-$
- ▣ New variables to fight the backgrounds
- ▣ BDT
 - Use data sidebands as background for training
- ▣ Optimize cuts
- ▣ Estimate signal and background, then limits
- ▣ Results



Event Selection

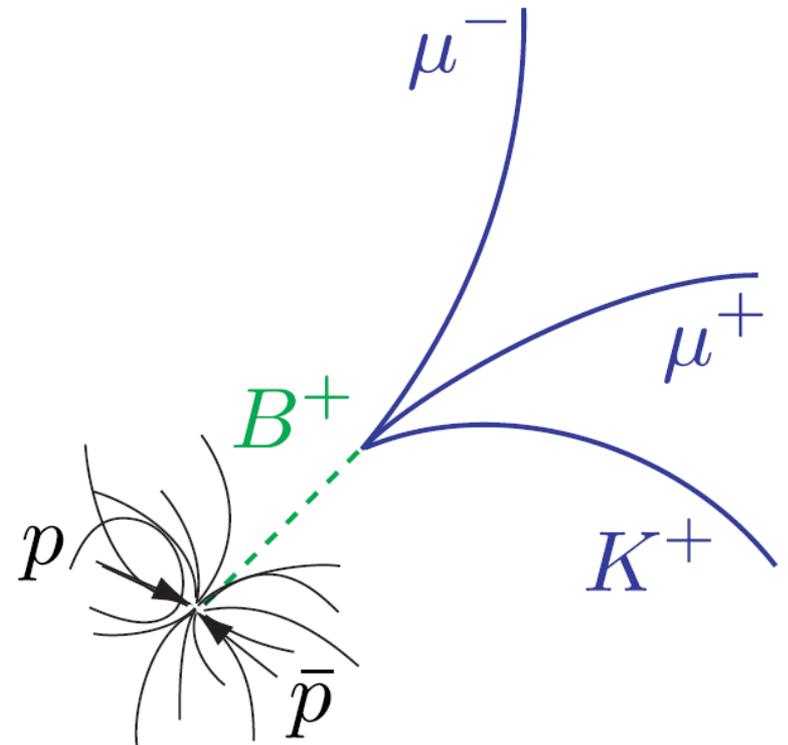


- ▣ Inclusive trigger strategy
- ▣ 2 oppositely charged muons
- ▣ Muon must have at least 2 hits in SMT and CFT and a match in the muon system
- ▣ Muon $p_T > 1.5$ GeV and $|\eta| < 2$
- ▣ B $p_T < 20$ GeV
- ▣ B vertex $\chi^2/\text{dof} < 14$
- ▣ B decay length significance > 3
- ▣ Additionally in the normalization mode
 - ▣ K^\pm must have $p_T > 1.0$ GeV and $|\eta| < 2$



Normalization Mode

- ▣ $B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-$
- ▣ Find the dimuon vertex and add an additional track
- ▣ Allows cancellation of many of the systematics
- ▣ Used to validate Monte Carlo

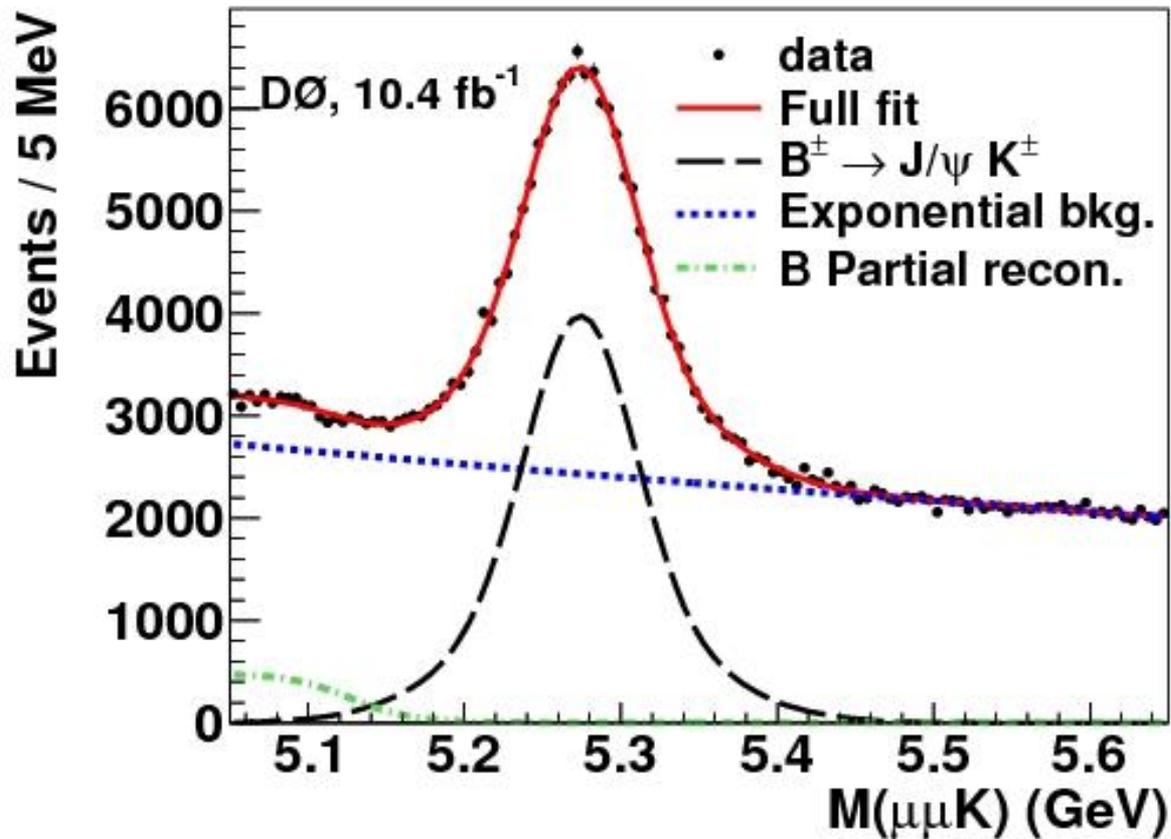


Signal Monte Carlo

- ▣ Used PYTHIA integrated with EVTGEN for event generation
- ▣ Detector response simulated using GEANT
- ▣ Overlay data to model multiple events
- ▣ Primary $b\bar{b}$ production and gluon splitting
- ▣ Use B^\pm to validate the B_s system
- ▣ Reweight
 - p_T
 - Isolation
 - Width of the dimuon mass
- ▣ All variables validated after reweighting

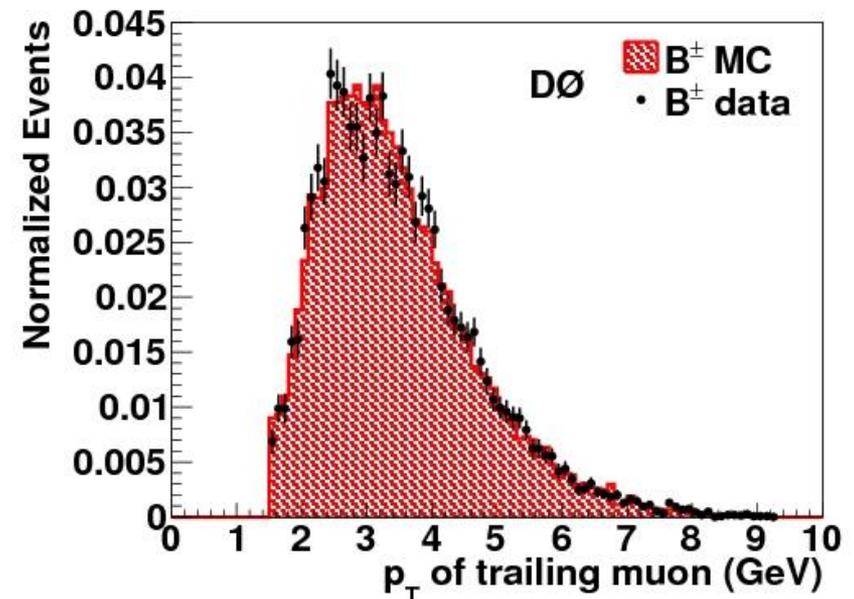
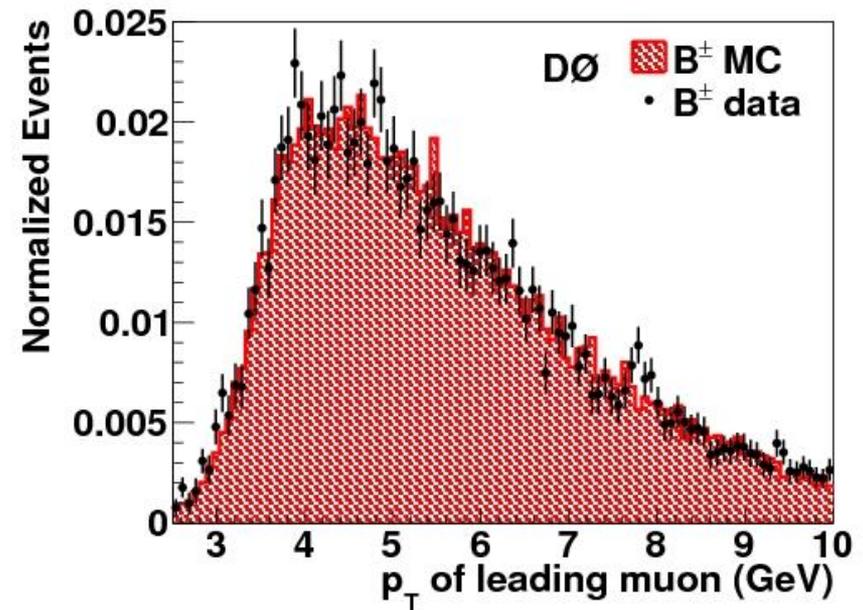


B[±] Data



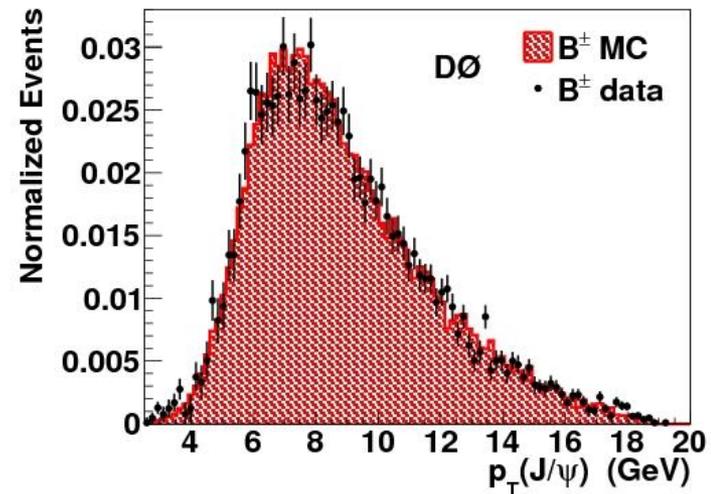
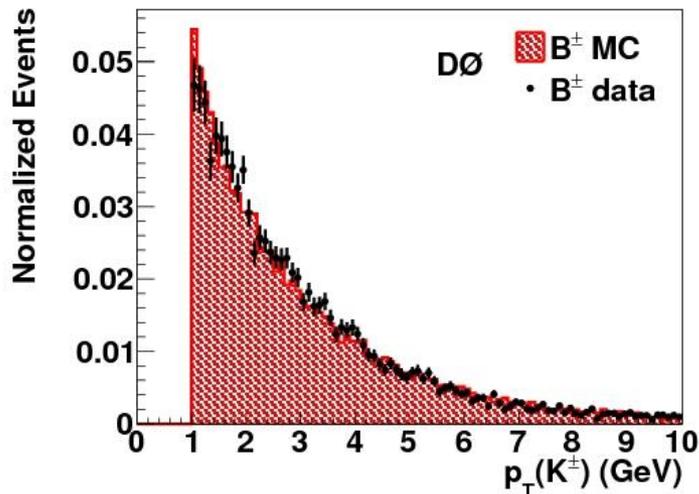
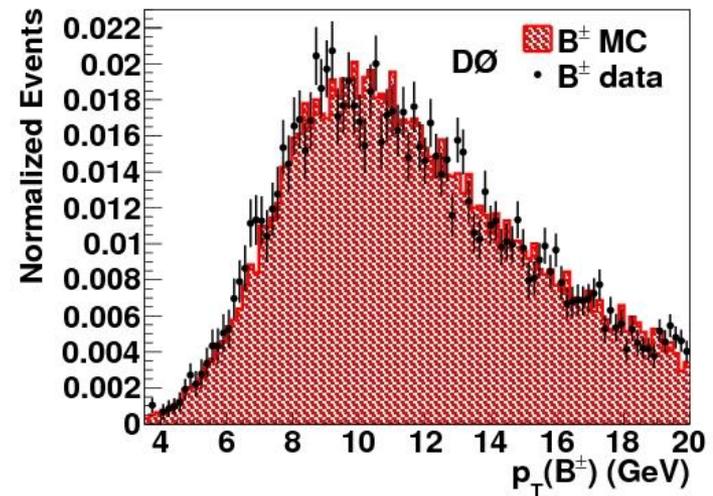
p_T Reweighting

- Reproduce trigger efficiencies
 - Leading and trailing muon
- Slight reweighting above the trigger turn on for the B p_T
- Weights done for each data epoch

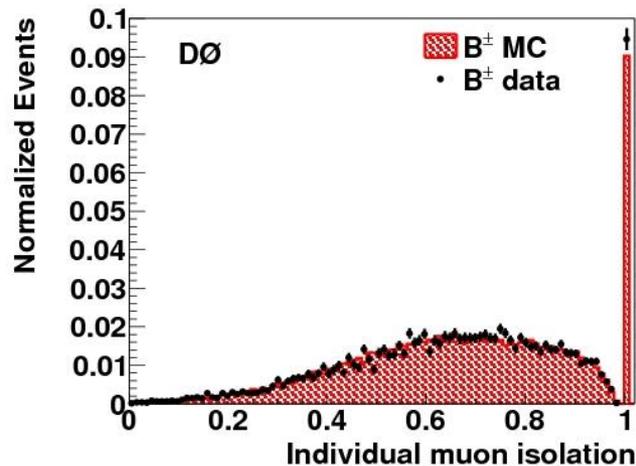
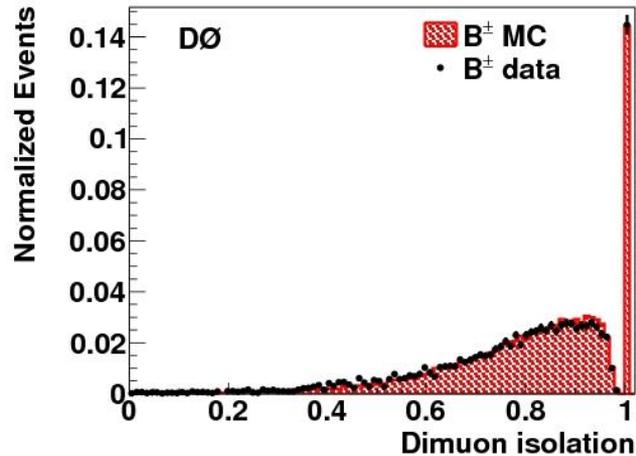


p_T Reweighting Validation

- After reweighting the muons, the other p_T distributions came out correct



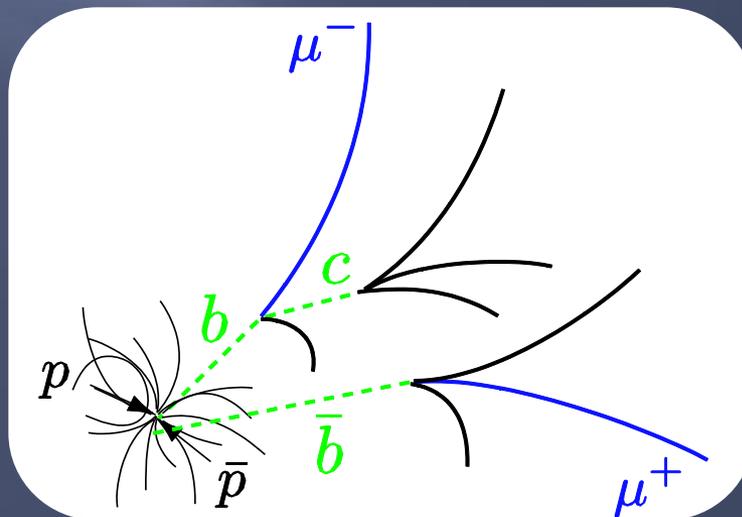
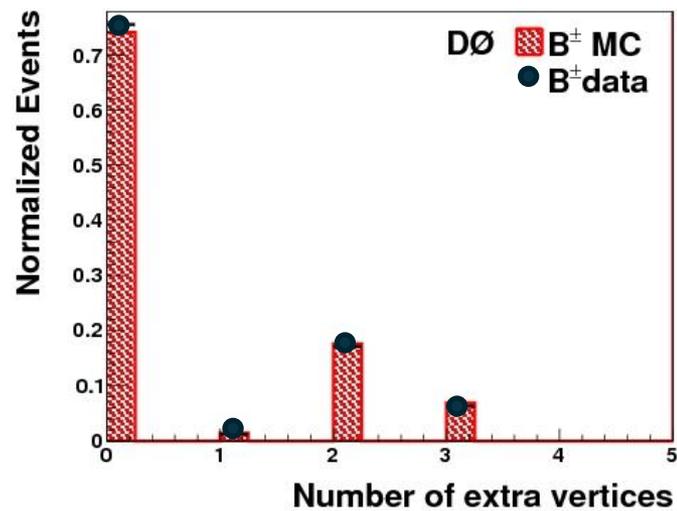
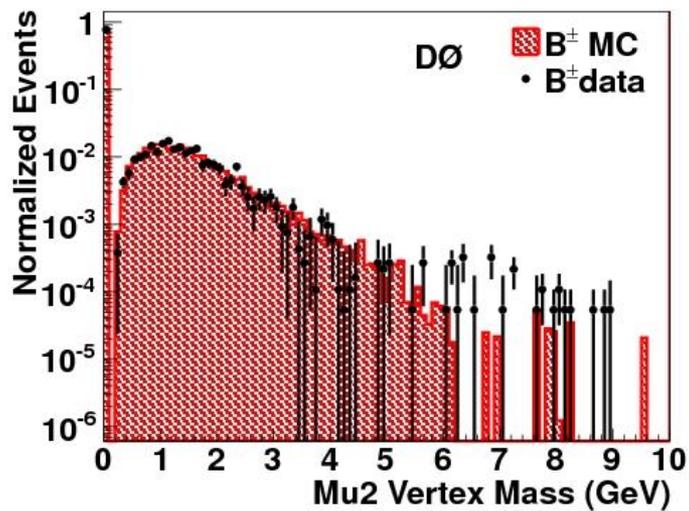
Isolation Reweighting



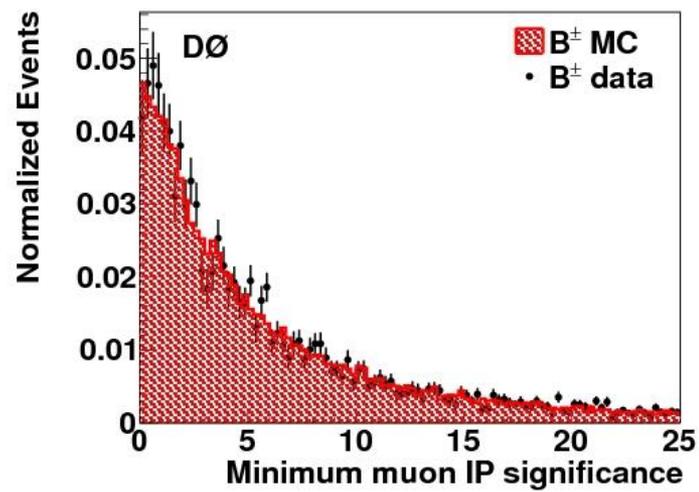
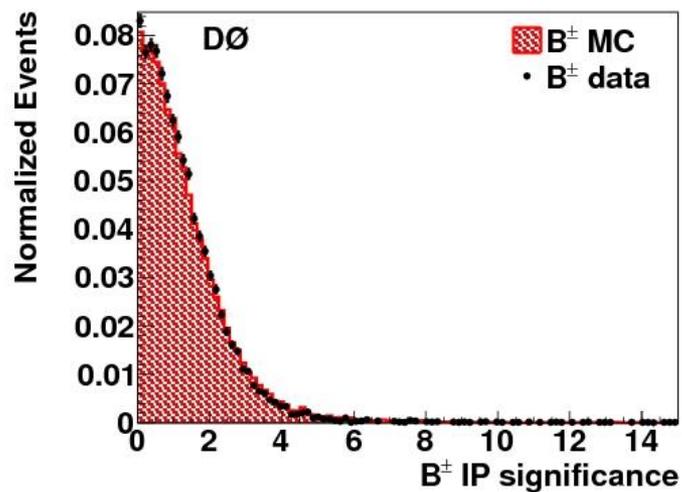
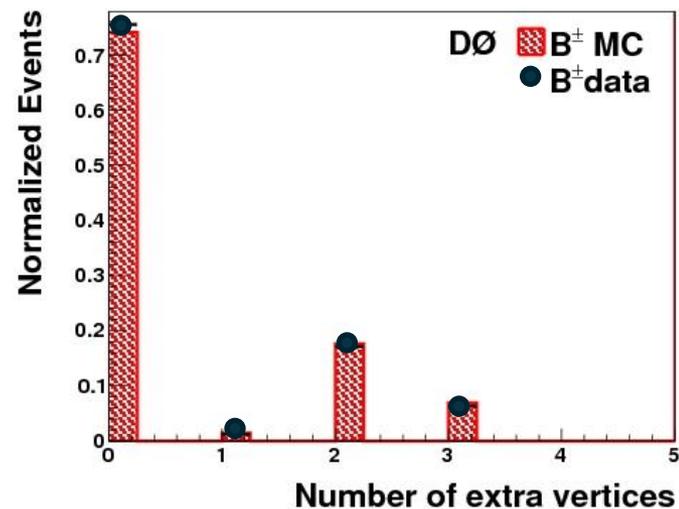
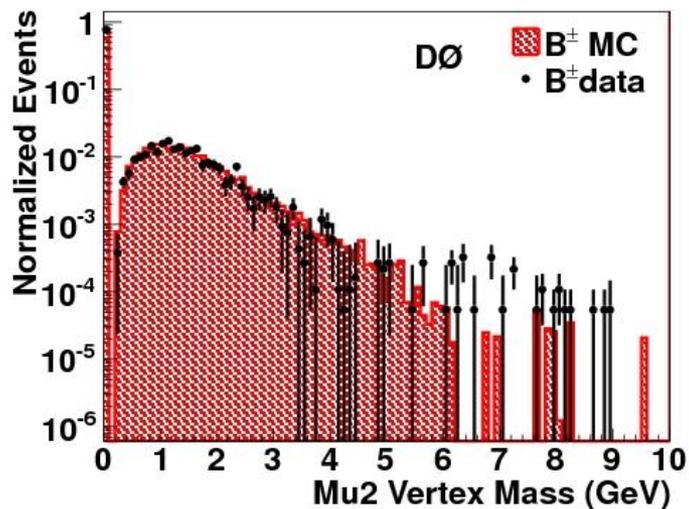
- Isolation shape matched between MC and data
- MC overestimated the number of totally isolated events (peak at 1)
- For the B, the number of events in the I=1 bin was scaled down in the MC to match the data
- After B reweighting, obtain good agreement in the individual muon isolation variable for both muons



MC Validation



MC Validation



$$\frac{IP}{\sigma_{IP}}$$



Single Event Sensitivity

$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

- ▣ The single event sensitivity (SES) is the branching ratio at which you expect 1 event in your data sample
- ▣ The SES gives us the number of expected B_s events in the data



Single Event Sensitivity

$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

- Number of B^\pm in the data



Single Event Sensitivity

$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

- Number of B^\pm in the data
- Ratio of reconstruction efficiency for finding a B^\pm over the efficiency for finding a B_s
 - Determined from MC with checks from data



Single Event Sensitivity

$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

- Number of B^\pm in the data
- Ratio of reconstruction efficiency for finding a B^\pm over the efficiency for finding a B_s
- Ratio of the fragmentation fraction of a b going to a B^\pm versus a B_s



Single Event Sensitivity

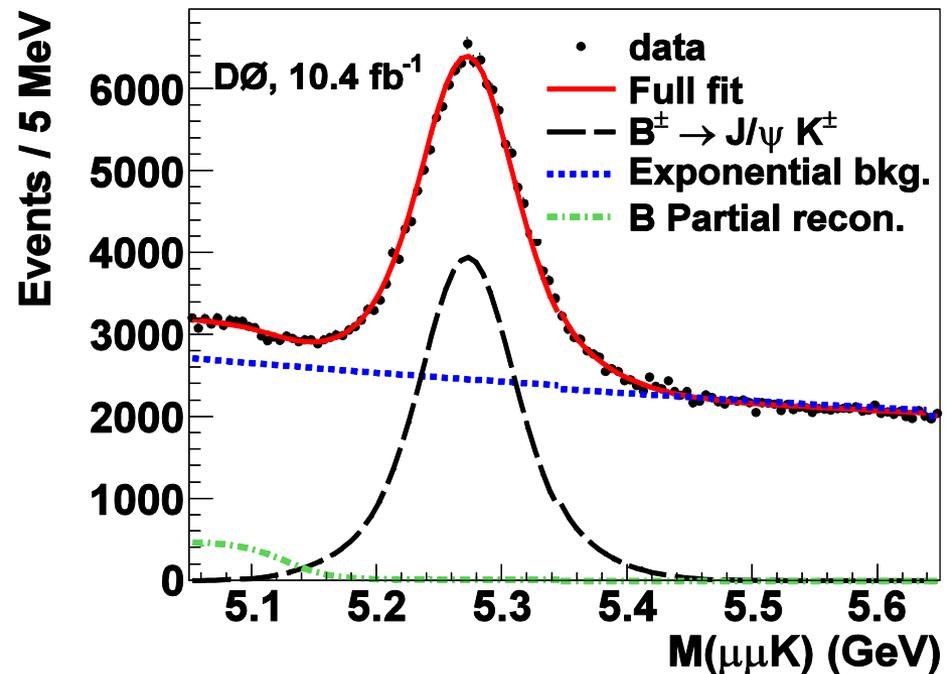
$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

- Number of B^\pm in the data
- Ratio of reconstruction efficiency for finding a B^\pm over the efficiency for finding a B_s
- Ratio of the fragmentation fraction of a b going to a B^\pm versus a B_s
- Branching ratio of a $B^\pm \rightarrow J/\psi K^\pm$ with $J/\psi \rightarrow \mu^+ \mu^-$



Determining Number of B^\pm

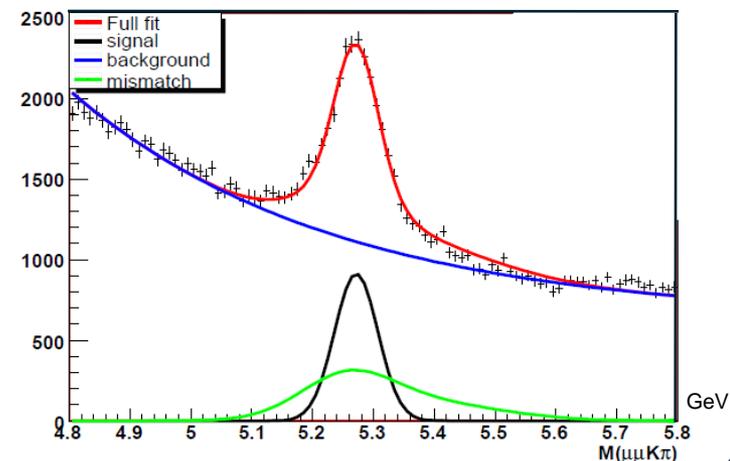
- Background fit with an exponential plus a hyperbolic tan (to model partially reconstructed B's at lower mass)
- B^\pm fit with double Gaussian
- Systematics
 - Event selection
 - Fit function
 - p_T reweight



3rd Track Efficiency Calculation

$$\frac{\varepsilon(B_d^0 \rightarrow J/\psi K^{0*}, K^{0*} \rightarrow K\pi)}{\varepsilon(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)} \Rightarrow \frac{\varepsilon(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)}{\varepsilon(B_s^0 \rightarrow \mu^+ \mu^-)}$$

- Many systematics in the efficiency ratio cancel out, but the efficiency of finding the 3rd track in the B^\pm decay does not
- Use a 4 track system to determine this value
- Data/MC efficiency ratio for finding the 3rd track is on average 0.88 ± 0.06 , varies with data epoch



$$SES = (3.36 \pm 0.29) \times 10^{-10}$$

$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

- Number of B^\pm
 - $(87.4 \pm 3.0) \times 10^3$
- Efficiency of finding B^\pm and B_s
 - $(13.0 \pm 0.5)\%$
- Fragmentation ratio
 - HFAG 2012
 - $1/(0.263 \pm 0.017)$
- Branching ratio
 - PDG
 - $(6.01 \pm 0.21) \times 10^{-5}$

The efficiencies were determined for each data epoch individually, so the value given is an average.



$$SES = (3.36 \pm 0.29) \times 10^{-10}$$

$$SES = \frac{1}{N(B^\pm)} \times \frac{\varepsilon(B^\pm)}{\varepsilon(B_s^0)} \times \frac{f(b \rightarrow B^\pm)}{f(b \rightarrow B_s^0)} \times BR(B^\pm \rightarrow J/\psi K^\pm, J/\psi \rightarrow \mu^+ \mu^-)$$

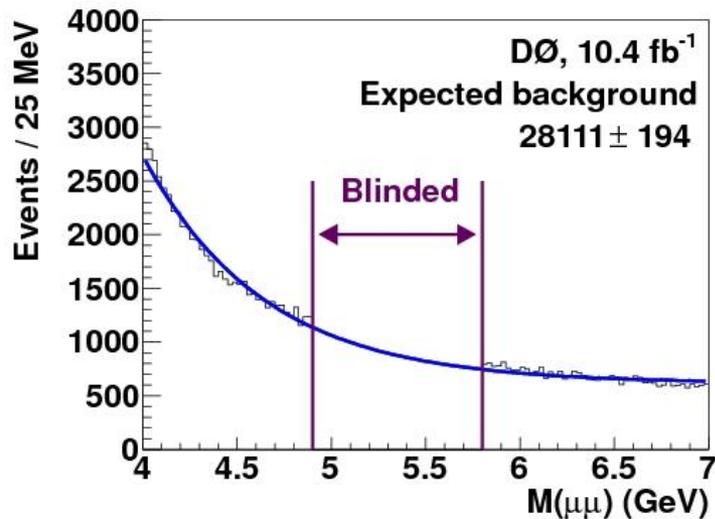
- ▣ Number of B^\pm
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 - HFAG 2012
 - $1/(0.263 \pm 0.017)$
- ▣ Branching ratio
 - PDG
 - $(6.01 \pm 0.21) \times 10^{-5}$

Expect 10.4 ± 1.1
 $B_s \rightarrow \mu^+ \mu^-$ events
 in the blinded
 region of the data
 (before BDT cuts)



Recap

- ▣ Selected our data
- ▣ Validated our MC
- ▣ Determined the expected number of B_s
- ▣ But we are trying to find ~ 10 events in this

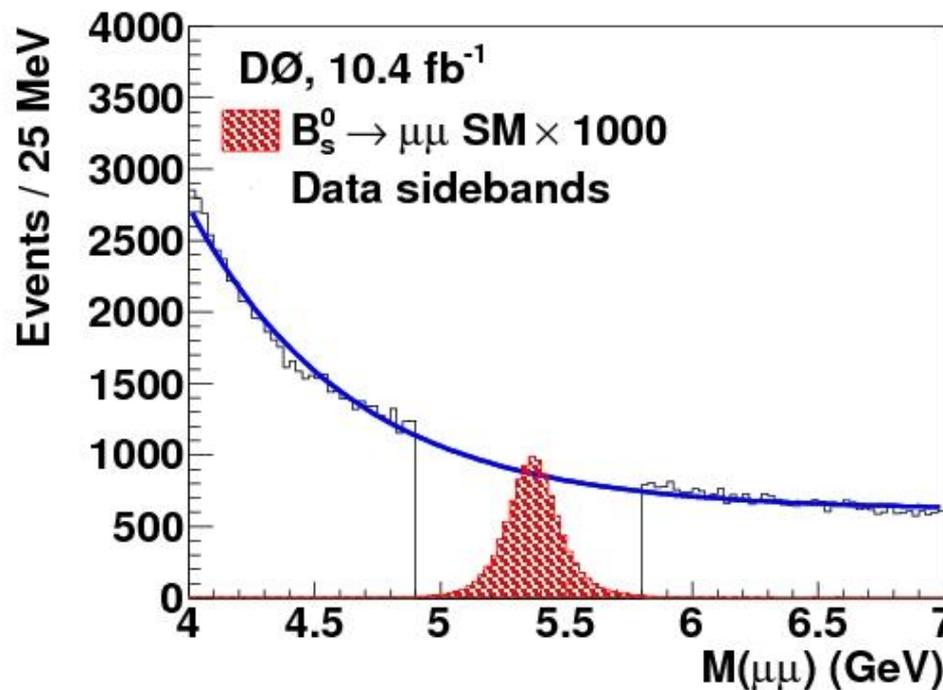


Dimuon mass distribution from data fit with an exponential plus a constant to determine the number of events in the blinded region.



Boosted Decision Tree

- Use a boosted decision tree (BDT) from TMVA
 - Data sidebands are used as background
 - B_s MC is used as signal

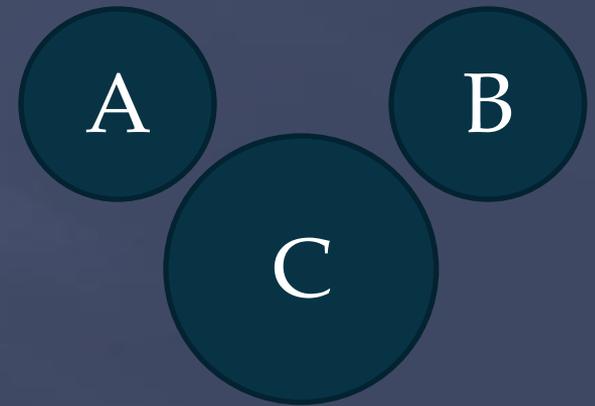


Mass
resolution
 $\sigma = 125$ MeV



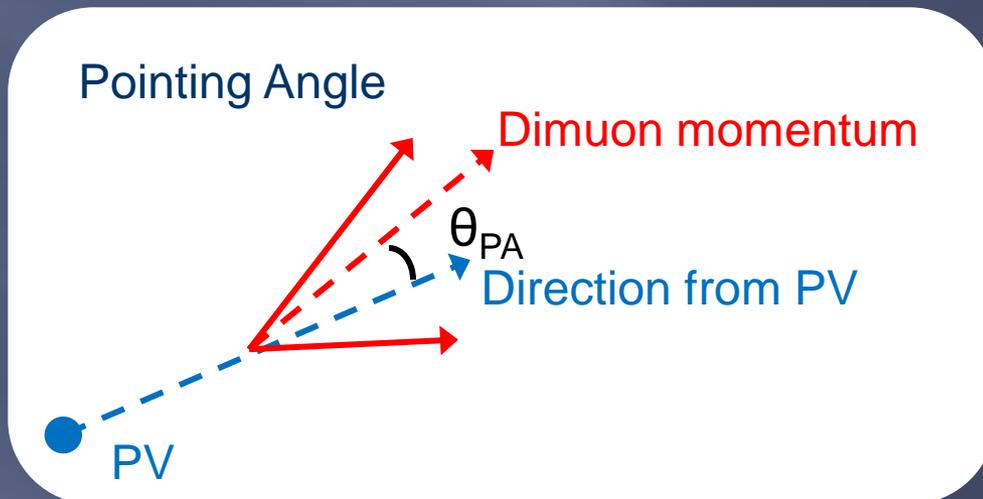
Boosted Decision Tree

- ▣ Use a boosted decision tree (BDT) from TMVA
 - Data sidebands are used as background
 - B_s MC is used as signal
- ▣ The data sidebands and the MC are separated into 3 samples
 - A (25%) for training the BDT
 - B (25%) for optimizing the cuts
 - C (50%) for estimating the results



Optimizing the BDT(s)

- ▣ Make additional requirements before BDT training
 - Cosine of 2D pointing angle > 0.95
 - Cosine of 3D pointing angle > 0.90
 - Dimuon $p_T > 5$ GeV



Cuts reduce background by 96% and keep 78% signal.



Optimizing the BDT(s)

- ▣ Make additional requirements before BDT training
 - Cosine of 2D pointing angle > 0.95
 - Cosine of 3D pointing angle > 0.90
 - Dimuon $p_T > 5$ GeV
- ▣ Use 2 different BDTs to discriminate against the 2 types backgrounds
 - Sequential decay
 - Double b decay
- ▣ 30 variables

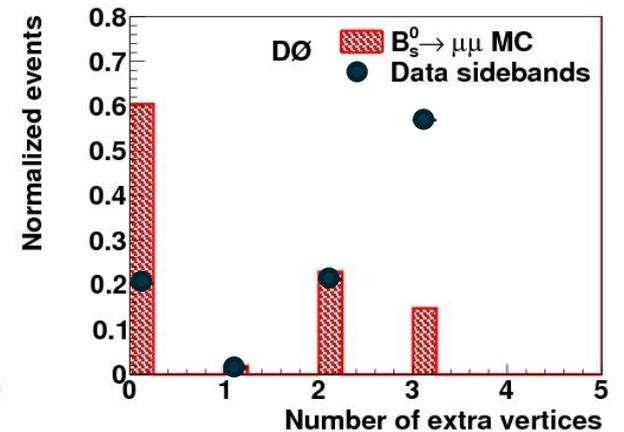
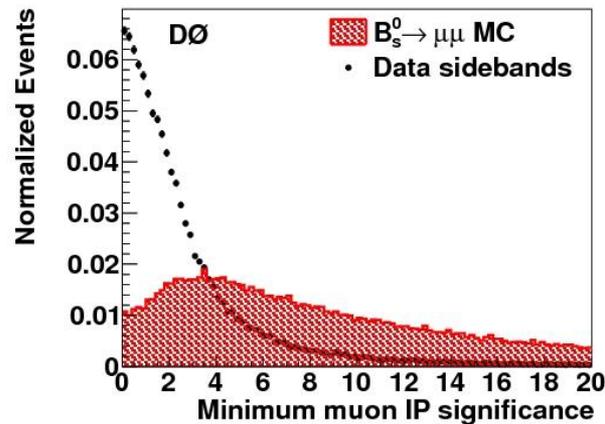
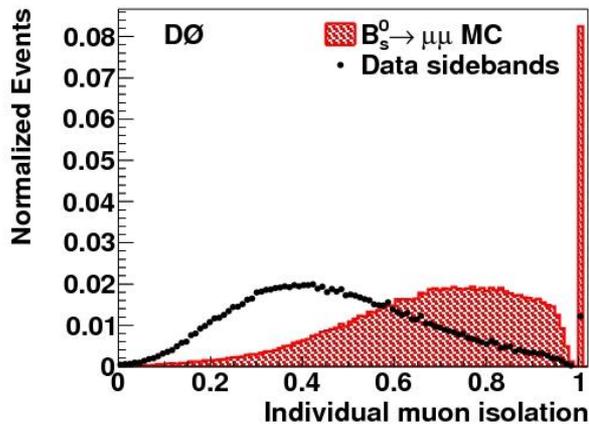
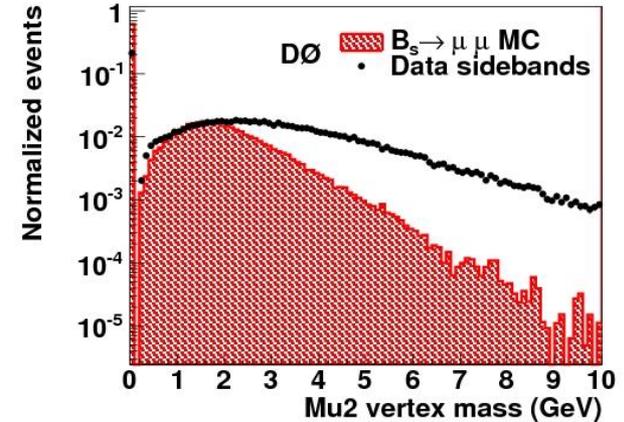
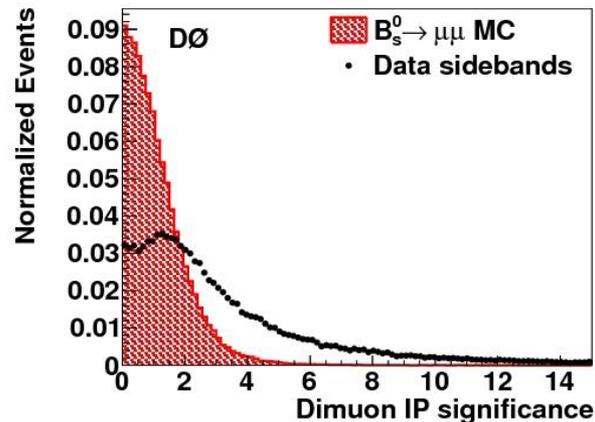
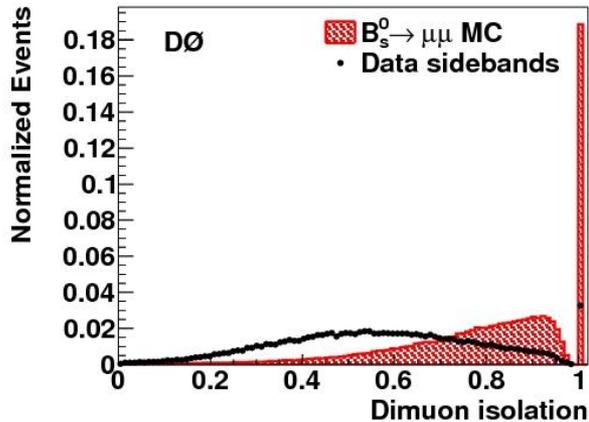


Most Important BDT Variables

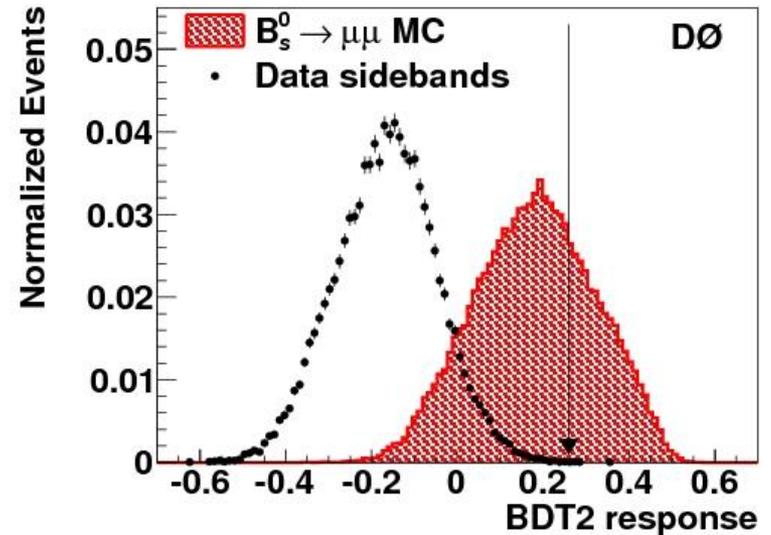
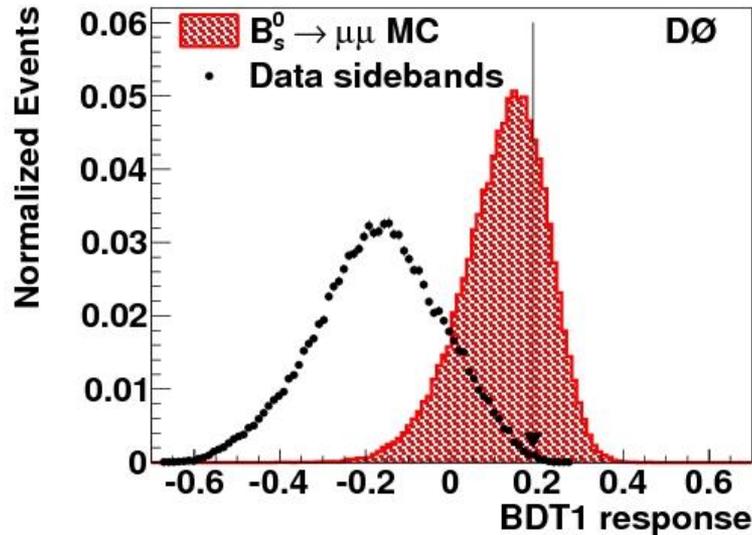
- ▣ Dimuon kinematics and topology
 - p_T , decay length, pointing angle, vertex χ^2 , etc.
- ▣ Individual muon kinematics
 - p_T , impact parameter, ϕ difference, etc.
- ▣ Isolation
 - Dimuon, leading muon, and trailing muon
- ▣ Additional vertices
 - Mass, vertex χ^2 , pointing angle, etc.



BDT Variables



BDT Response



□ BDT 1

□ Sequential decay

□ BDT 2

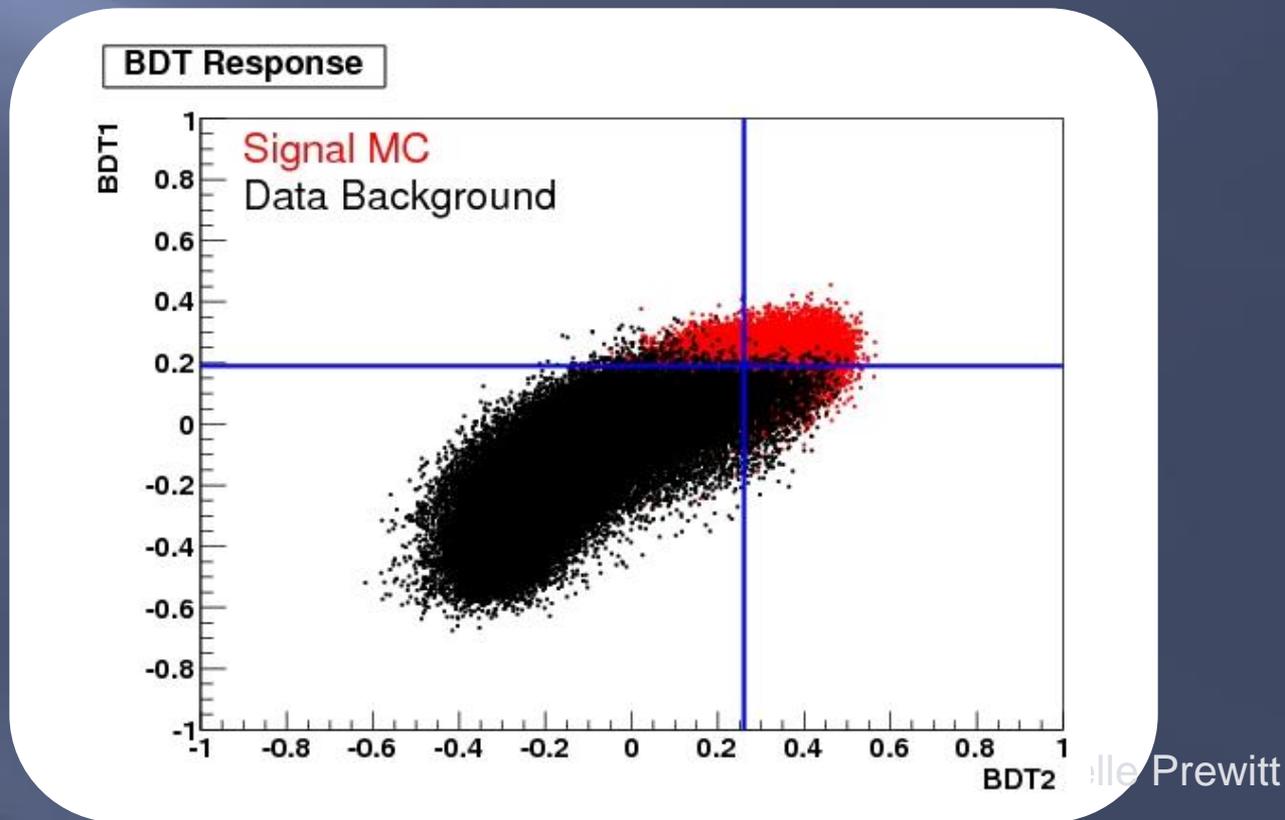
□ Double b decay

Even though the “background” and “signal” samples are equivalent at this stage in the analysis, note that the B_s MC represents about 10 events while the data sidebands represent 10^5 events.



BDT Response Cut

- ▣ Coarse cuts optimization, maximize $S/\sqrt{(S+B)}$
- ▣ Final cuts chosen to optimize the expected limit



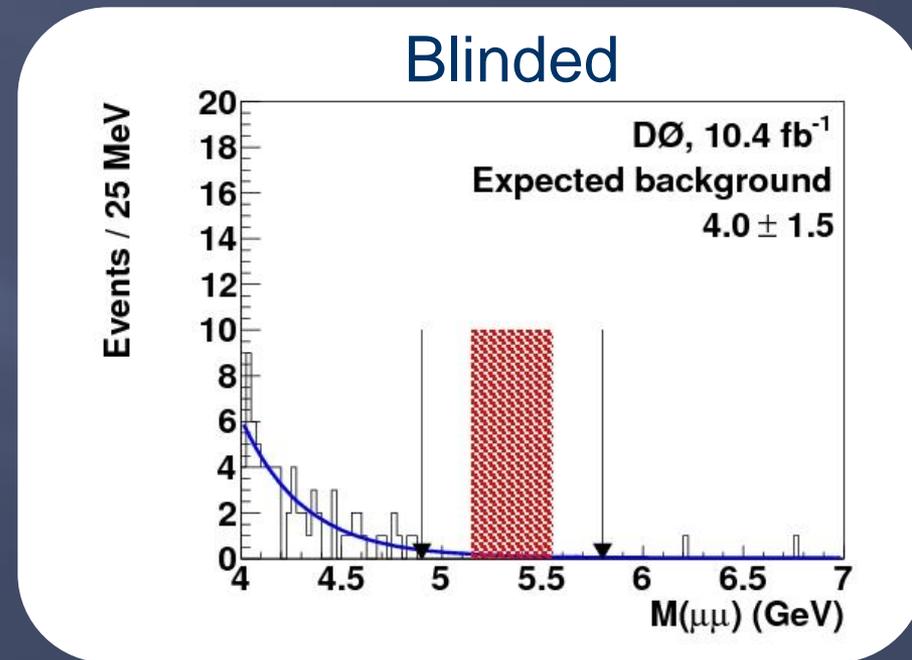
Other Backgrounds

- ▣ $B_s \rightarrow KK$ peaking background
 - K decays in flight after the tracker faking a good muon
 - $K \rightarrow \mu$ fake rate determined from $B \rightarrow \mu D^0 X$ with $D^0 \rightarrow K\pi$
 - Other $B_s \rightarrow hh$ contributions found to be negligible due to low fake rate and lower branching fractions
- ▣ $B_d \rightarrow \mu\mu$
 - Mass resolution not sensitive enough to distinguish B_d from B_s , but assume negligible B_d contribution
 - SM $BR(B_d \rightarrow \mu\mu) = (1.1 \pm 0.1) \times 10^{-10}$



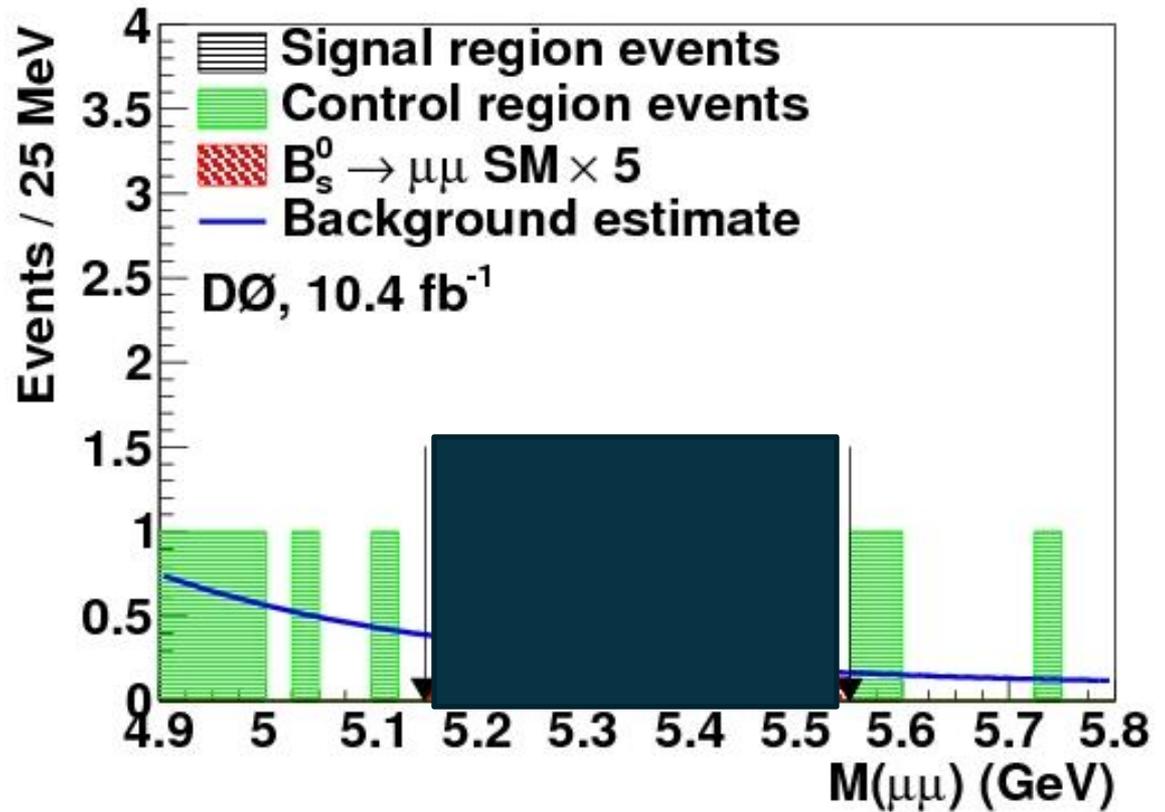
Expected Signal and Background

- ▣ Total Expected Background of 4.3 ± 1.6
 - $4.0 \pm 1.5 \pm 0.6$ dimuon background events
 - 0.3 ± 0.1 $B_s \rightarrow KK$ peaking background events
- ▣ Expected SM Signal 1.23 ± 0.13
- ▣ Expected 95% C.L. upper limit on the branching ratio $BR(B_s \rightarrow \mu^+ \mu^-) < 23 \times 10^{-9}$ using modified frequentist method*



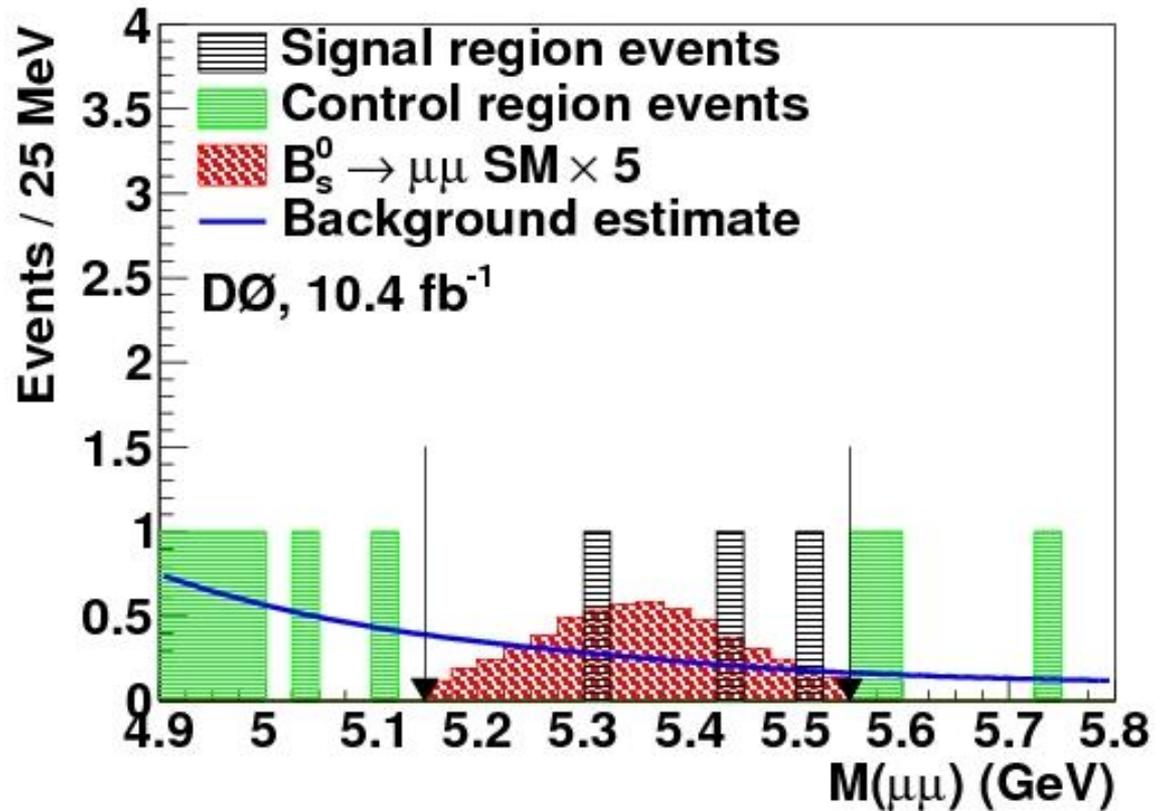
Unblinding

- As a cross check, looked at the control region first
- Expected 6.7 ± 2.6 background events
- Observe 9 events



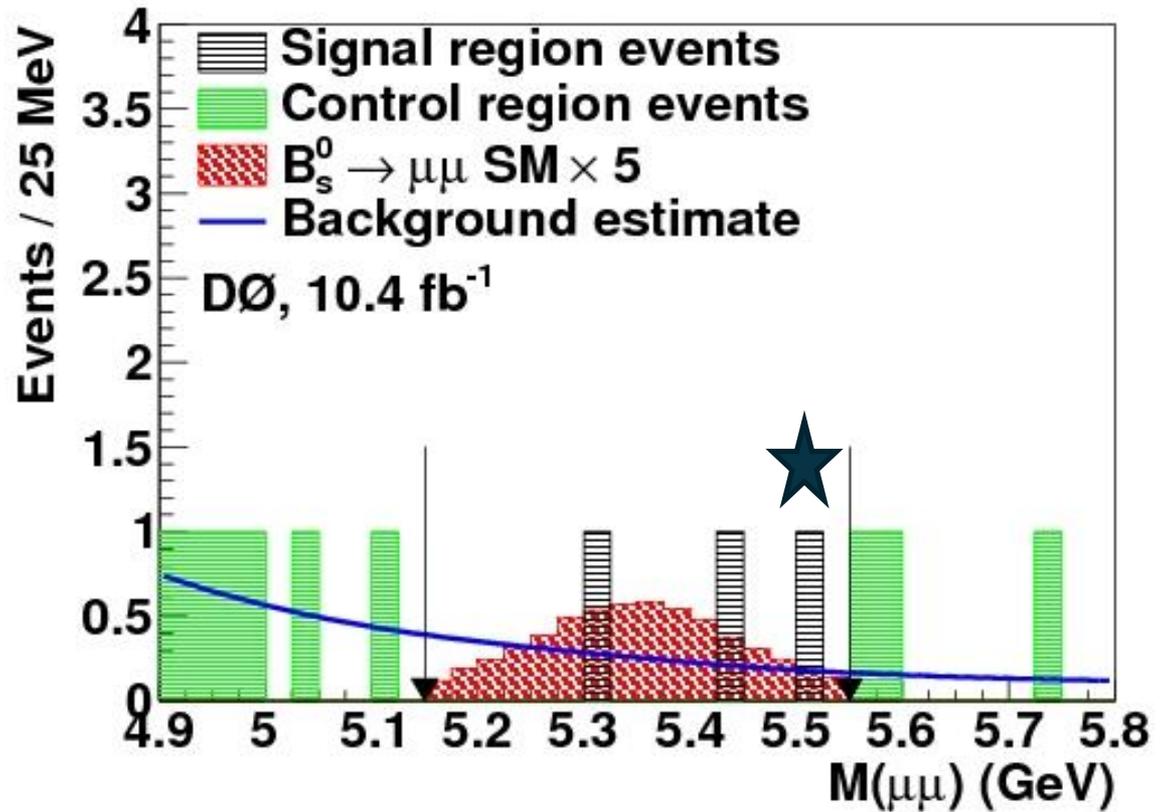
Unblinding

- Expected 4.3 ± 1.6 background events
- Expected 1.23 ± 0.13 signal events
- Observed 3 events in the signal region

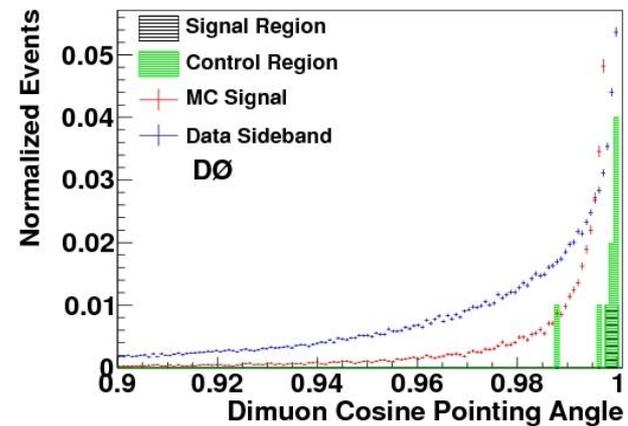
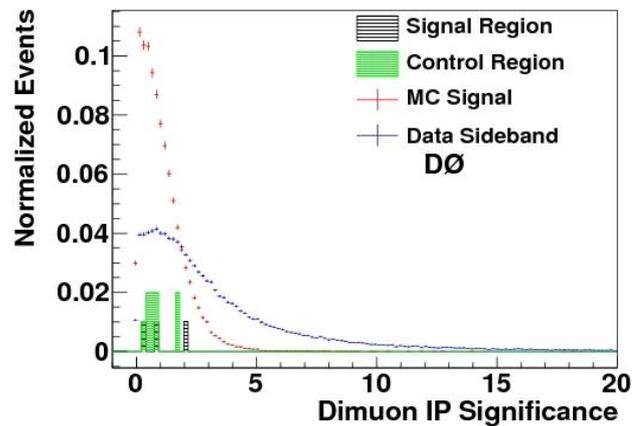
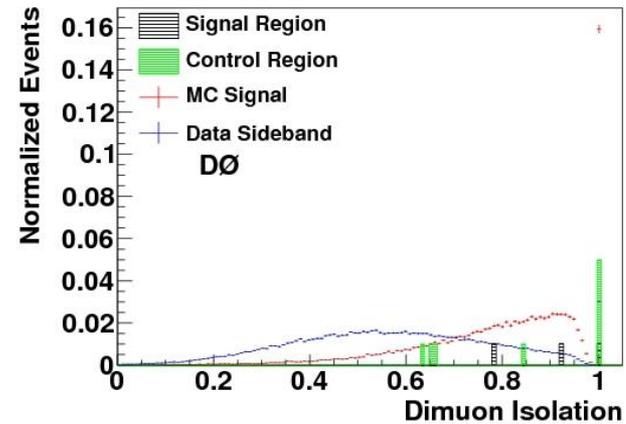
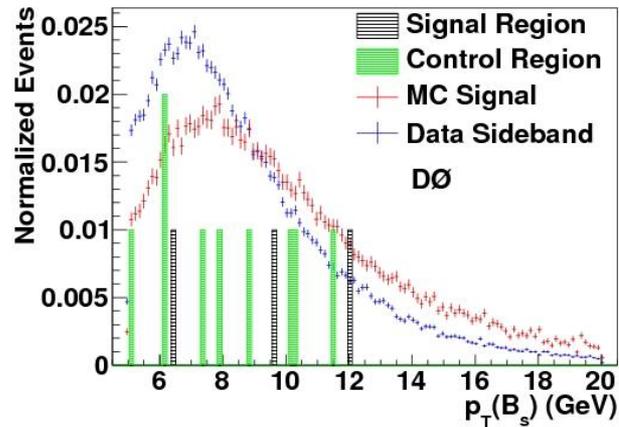


Unblinding

- Expected 4.3 ± 1.6 background events
- Expected 1.23 ± 0.13 signal events
- Observed 3 events in the signal region

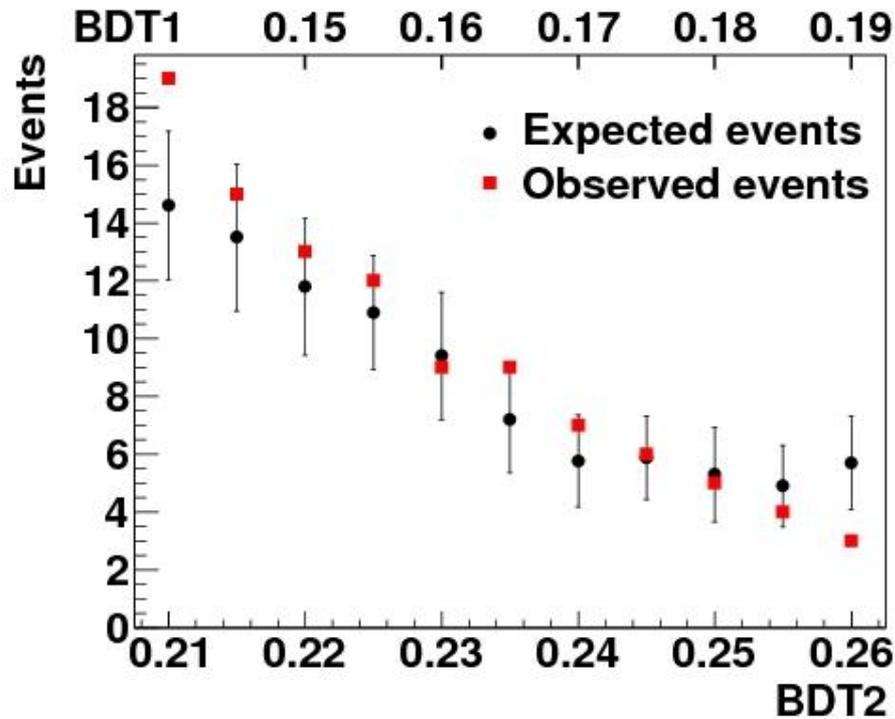


Characteristics of Candidates



Cross Checks

- ▣ Compared the number of expected events to the number of observed events as a function of BDT response cut



Result

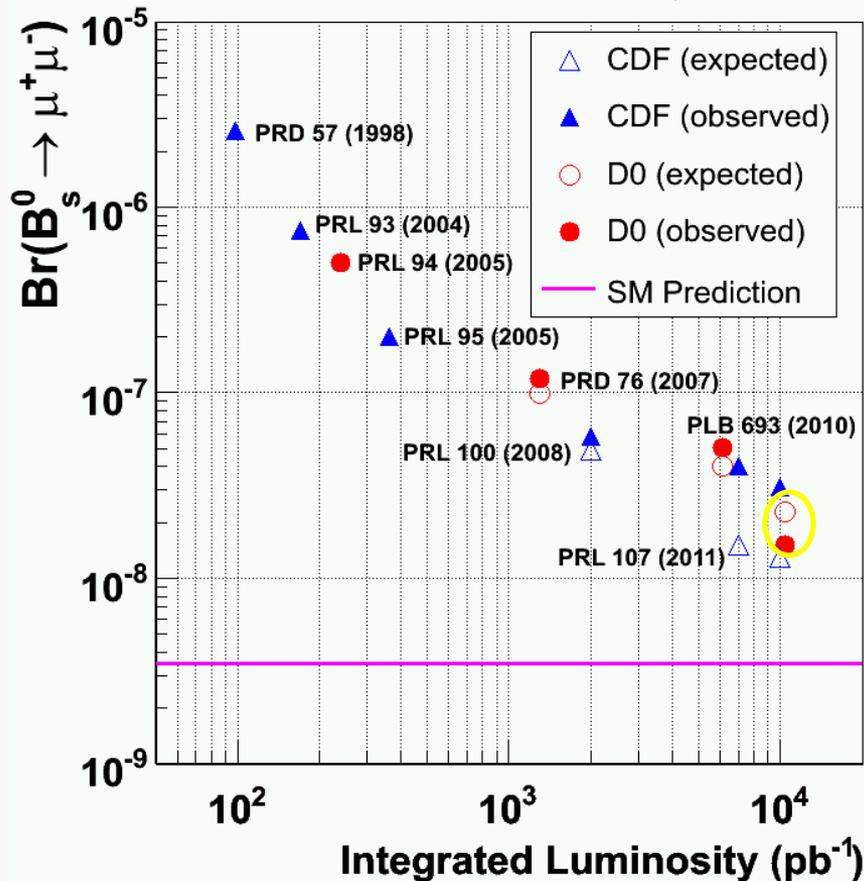
- ▣ Expected
 - SM Signal: 1.23 ± 0.13
 - Background: 4.3 ± 1.6
 - Limit: $\text{BR}(B_s \rightarrow \mu^+ \mu^-) = 23 \times 10^{-9}$
- ▣ Observed 3 events setting a 95% C.L. limit on the branching fraction of

$$\text{BR}(B_s^0 \rightarrow \mu^+ \mu^-) < 15 \times 10^{-9}$$

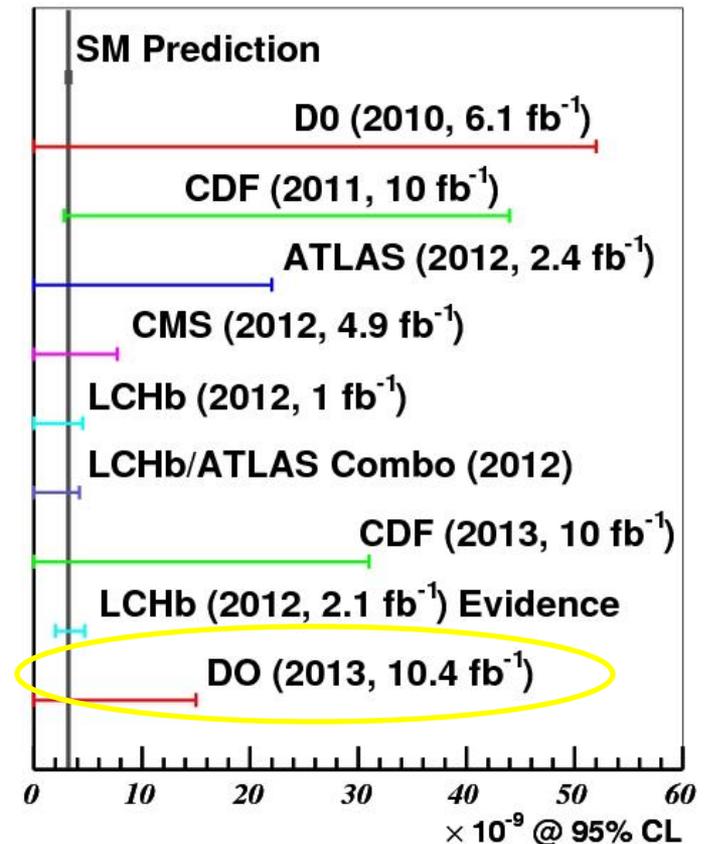


World Measurements

95% C.L. Limits on $\text{Br}(B_s^0 \rightarrow \mu^+\mu^-)$



$\text{BR}(B_s \rightarrow \mu\mu)$



Summary

- ▣ Searched for the decay of $B_s \rightarrow \mu^+ \mu^-$
- ▣ Set a limit on the branching ratio of 15×10^{-9}
- ▣ Improved upon previous D0 results by a factor of 3.4 and a factor of 1.7 better than the $\sqrt{\mathcal{L}}$ for the expected limit
 - Created new variables to distinguish signal from background
 - Used separate BDTs for the different types of backgrounds
- ▣ Best observed Tevatron limit



Summary

- ▣ Searched for the decay of $B_s \rightarrow \mu^+ \mu^-$
- ▣ Set a limit on the branching ratio of 15×10^{-9}
- ▣ Improved upon previous D0 results by a factor of 3.4 and a factor of 1.7 better than the $\sqrt{\mathcal{L}}$
 - Created new variables to distinguish signal from background
 - Used separate BDTs for the different types of backgrounds
- ▣ Best observed Tevatron limit

Thanks!

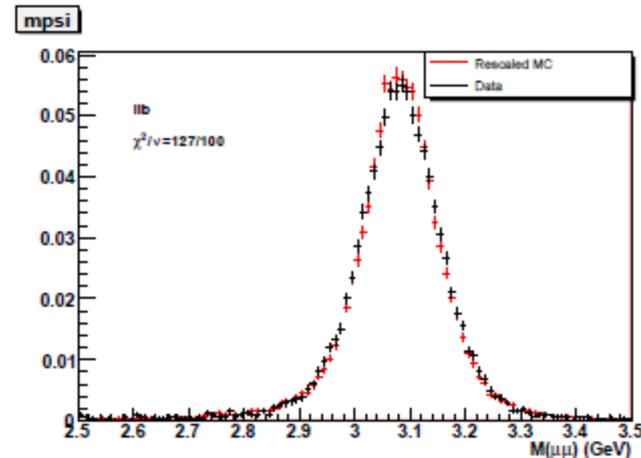
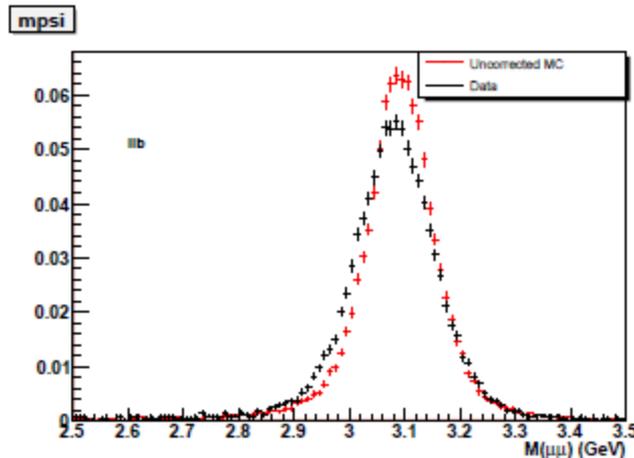


Backup



Mass Width Reweight

- Width of the dimuon mass was reweighted in the MC to match the data using $J/\psi \rightarrow \mu^+ \mu^-$
- The correction was then scaled to the B_s mass
- Approximately 17 MeV shift and 13% stretch



Optimizing the BDT

- ▣ Types of BDT
- ▣ BDT parameters
 - Ex. Number of trees
- ▣ Variables used in BDT
- ▣ Using different BDTs for the different backgrounds
- ▣ Additional cuts before training the BDT



BDT Variables

- $p_{T\mu\mu}$: p_T of the dimuon system.
- $\cos\theta_{xy\mu\mu}$: Cosine of the dimuon pointing angle, calculated using information only in the transverse plane.
- $\cos\theta_{3D\mu\mu}$: Cosine of the dimuon pointing angle using 3D information.
- $L_{xy\mu\mu}$: Dimuon decay length, calculated using information only in the transverse plane.
- $L_{3D\mu\mu}$: Dimuon decay length using 3D information.
- $\sigma_{xy\mu\mu}$: Dimuon decay length significance.
- $\text{IP}_{1\mu\mu}$: Dimuon impact parameter, calculated using information only in the transverse plane.
- $\text{IP}\sigma_{1\mu\mu}$: Dimuon impact parameter significance.
- $\chi^2_{\mu\mu}$: χ^2 of the dimuon vertex.
- $p_{T\perp\mu\mu}^2$: Square of the dimuon momentum component perpendicular to the line from the primary vertex to the dimuon vertex, calculated in the transverse plane.
- $p_{T\perp 3D\mu\mu}^2$: Same as $p_{T\perp\mu\mu}^2$ excepted calculated using 3D information.
- I_{iso} : Standard isolation variable $I = p_T(\mu) / [p_T(\text{cone}) + p_T(\mu)]$ in $R \sim 1$ cone.
- I_{iso1} : Same as I_{iso} , but defined with respect to the leading muon rather than the dimuon direction.
- I_{iso2} : Isolation defined with respect to the trailing muon direction.
- $I_{iso\text{sum}}$: Sum of the individual muon isolation. $I_{iso1} + I_{iso2}$
- $p_{T\mu1}$: p_T of the leading muon.
- $p_{T\mu2}$: p_T of the trailing muon.
- $\text{IP}_{1\mu1}$: Leading muon impact parameter.
- $\text{IP}_{1\mu2}$: Trailing muon impact parameter.
- $\text{IP}\sigma_{1\mu1}$: Leading muon impact parameter significance.
- $\text{IP}\sigma_{1\mu2}$: Trailing muon impact parameter significance.
- $\Delta\phi$: Difference in azimuthal angles between the two muons.
- $\text{IP}\sigma_{\text{less}}$: Smaller of the two impact parameters of the two muons.
- $\cos\theta_{xy\text{New}}$: Cosine of the pointing angle. %need to look up
- m_{Ter} : Invariant mass of the tracks associated with an additional vertex that does not include either muon.
- m_{Termu1} : Invariant mass of the tracks associated with an additional vertex that includes the leading muon.
- m_{Termu2} : Invariant mass of the tracks associated with an additional vertex that includes the trailing muon.
- χ^2_{mu1iso} : χ^2 of the vertex of tracks with the leading muon.
- χ^2_{mu2iso} : χ^2 of the vertex of tracks with the trailing muon.
- $\cos\theta_{xy\text{mu2iso}}$: Cosine of the pointing angle for the vertex of tracks with the trailing muon.



Signal Region

- ▣ Blinded dimuon mass range from 4.9 – 5.8 GeV is a 3σ window about the B_s mass peak
- ▣ Optimized the signal region 1.6σ window
- ▣ Control region from 4.9 – 5.15 & 5.55 – 5.8 GeV
- ▣ Signal region from 5.15 – 5.55 GeV



Expected Signal and BG

- ▣ Apply both BDT trainings to independent sample C to estimate the number of signal and background events after BDT response cuts.
- ▣ Determine estimated background with a loglikelihood fit of exponential + constant to data sidebands and extrapolate into the signal region.
- ▣ Took ratio of MC events before and after BDT cuts to determine efficiency for signal to pass BDT cuts.
- ▣ Then multiplied SES and efficiency to determine final number of expected signal events.

